

# Axions ( $A^0$ ) and Other Very Light Bosons, Searches for

## AXIONS AND OTHER VERY LIGHT BOSONS

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This review is divided into three parts:

Part I (Theory)

Part II (Astrophysical Constraints)

Part III (Experimental Limits)

## AXIONS AND OTHER VERY LIGHT BOSONS, PART I (THEORY)

(by H. Murayama)

In this section we list limits for very light neutral (pseudo) scalar bosons that couple weakly to stable matter. They arise if there is a global continuous symmetry in the theory that is spontaneously broken in the vacuum. If the symmetry is exact, it results in a massless Nambu–Goldstone (NG) boson. If there is a small explicit breaking of the symmetry, either already in the Lagrangian or due to quantum mechanical effects such as anomalies, the would-be NG boson acquires a finite mass; then it is called a pseudo-NG boson. Typical examples are axions ( $A^0$ ) [1], familons [2], and Majorons [3,4], associated, respectively, with spontaneously broken Peccei-Quinn [5], family, and lepton-number symmetries. This Review provides brief descriptions of each of them and their motivations.

One common characteristic for all these particles is that their coupling to the Standard Model particles are suppressed by the energy scale of symmetry breaking, *i.e.* the decay constant  $f$ , where the interaction is described by the Lagrangian

$$\mathcal{L} = \frac{1}{f}(\partial_\mu\phi)J^\mu, \quad (1)$$

where  $J^\mu$  is the Noether current of the spontaneously broken global symmetry.

An axion gives a natural solution to the strong  $CP$  problem: why the effective  $\theta$ -parameter in the QCD Lagrangian  $\mathcal{L}_\theta = \theta_{\text{eff}} \frac{\alpha_s}{8\pi} F^{\mu\nu a} \tilde{F}_{\mu\nu}^a$  is so small ( $\theta_{\text{eff}} \lesssim 10^{-9}$ ) as required by the current limits on the neutron electric dipole moment, even though  $\theta_{\text{eff}} \sim O(1)$  is perfectly allowed by the QCD gauge invariance. Here,  $\theta_{\text{eff}}$  is the effective  $\theta$  parameter after the diagonalization of the quark masses, and  $F^{\mu\nu a}$  is the gluon field strength and  $\tilde{F}_{\mu\nu}^a = \frac{1}{2}\epsilon_{\mu\nu\rho\sigma}F^{\rho\sigma a}$ . An axion is a pseudo-NG boson of a spontaneously broken Peccei–Quinn symmetry, which is an exact symmetry at the classical level, but is broken quantum mechanically due to the triangle anomaly with the gluons. The definition of the Peccei–Quinn symmetry is model dependent. As a result of the triangle anomaly, the axion acquires an effective coupling to gluons

$$\mathcal{L} = \left( \theta_{\text{eff}} - \frac{\phi_A}{f_A} \right) \frac{\alpha_s}{8\pi} F^{\mu\nu a} \tilde{F}_{\mu\nu}^a, \quad (2)$$

where  $\phi_A$  is the axion field. It is often convenient to *define* the axion decay constant  $f_A$  with this Lagrangian [6]. The QCD nonperturbative effect induces a potential for  $\phi_A$  whose minimum is at  $\phi_A = \theta_{\text{eff}} f_A$  cancelling  $\theta_{\text{eff}}$  and solving the strong  $CP$  problem. The mass of the axion is inversely proportional to  $f_A$  as

$$m_A = 0.62 \times 10^{-3} \text{eV} \times (10^{10} \text{GeV}/f_A). \quad (3)$$

The original axion model [1,5] assumes  $f_A \sim v$ , where  $v = (\sqrt{2}G_F)^{-1/2} = 247$  GeV is the scale of the electroweak symmetry breaking, and has two Higgs doublets as minimal ingredients. By requiring tree-level flavor conservation, the axion mass and its couplings are completely fixed in terms of one parameter ( $\tan\beta$ ): the ratio of the vacuum expectation values of two Higgs fields. This model is excluded after extensive experimental searches for such an axion [7]. Observation of a narrow-peak structure in positron spectra from heavy ion collisions [8] suggested a particle of mass 1.8 MeV that decays into  $e^+e^-$ . Variants of the original axion model, which keep  $f_A \sim v$ , but drop the constraints of tree-level flavor conservation, were proposed [9]. Extensive searches for this particle,  $A^0(1.8 \text{ MeV})$ , ended up with another negative result [10].

The popular way to save the Peccei-Quinn idea is to introduce a new scale  $f_A \gg v$ . Then the  $A^0$  coupling becomes weaker, thus one can easily avoid all the existing experimental limits; such models are called invisible axion models [11,12]. Two classes of models are discussed commonly in the literature. One introduces new heavy quarks which carry Peccei-Quinn charge while the usual quarks and leptons do not (KSVZ axion or “hadronic axion”) [11]. The other does not need additional quarks but requires two Higgs doublets, and all quarks and leptons carry Peccei-Quinn charges (DFSZ axion or “GUT-axion”) [12]. All models contain at least one electroweak singlet scalar boson which acquires an expectation value and breaks Peccei-Quinn symmetry. The invisible axion with a large decay constant  $f_A \sim 10^{12}$  GeV was found to be a good candidate of the cold dark matter component of the Universe [13](see Dark Matter review). The energy density is stored in the low-momentum modes of the axion field which are highly occupied and thus represent essentially classical field oscillations.

The constraints on the invisible axion from astrophysics are derived from interactions of the axion with either photons, electrons or nucleons. The strengths of the interactions are model dependent (*i.e.*, not a function of  $f_A$  only), and hence one needs to specify a model in order to place lower bounds on  $f_A$ . Such constraints will be discussed in Part II. Serious experimental searches for an invisible axion are underway; they typically rely on axion-photon coupling, and some of them assume that the axion is the dominant component of our galactic halo density. Part III will discuss experimental techniques and limits.

Familons arise when there is a global family symmetry broken spontaneously. A family symmetry interchanges generations or acts on different generations differently. Such a symmetry may explain the structure of quark and lepton masses and their mixings. A familon could be either a scalar or a pseudoscalar. For instance, an SU(3) family symmetry among three generations is non-anomalous and hence the familons are exactly massless. In this case, familons are scalars. If one has larger family symmetries with separate groups of left-handed and right-handed fields, one also has pseudoscalar familons. Some of them have flavor-off-diagonal couplings such as  $\partial_\mu \phi_F \bar{d} \gamma^\mu s / F_{ds}$  or  $\partial_\mu \phi_F \bar{e} \gamma^\mu \mu / F_{\mu e}$ , and the decay constant  $F$  can be different for individual operators. The decay constants have lower bounds constrained by flavor-changing processes. For instance,  $B(K^+ \rightarrow \pi^+ \phi_F) < 3 \times 10^{-10}$  [14] gives  $F_{ds} > 3.4 \times 10^{11}$  GeV [15]. The constraints on familons primarily coupled to third generation are quite weak [15].

If there is a global lepton-number symmetry and if it breaks spontaneously, there is a Majoron. The triplet Majoron model [4] has a weak-triplet Higgs boson, and Majoron couples

to  $Z$ . It is now excluded by the  $Z$  invisible-decay width. The model is viable if there is an additional singlet Higgs boson and if the Majoron is mainly a singlet [16]. In the singlet Majoron model [3], lepton-number symmetry is broken by a weak-singlet scalar field, and there are right-handed neutrinos which acquire Majorana masses. The left-handed neutrino masses are generated by a “seesaw” mechanism [17]. The scale of lepton number breaking can be much higher than the electroweak scale in this case. Astrophysical constraints require the decay constant to be  $\gtrsim 10^9$  GeV [18].

There is revived interest in a long-lived neutrino, to improve Big-Bang Nucleosynthesis [19] or large scale structure formation theories [20]. Since a decay of neutrinos into electrons or photons is severely constrained, these scenarios require a familon (Majoron) mode  $\nu_1 \rightarrow \nu_2 \phi_F$  (see, *e.g.*, Ref. 15 and references therein).

Other light bosons (scalar, pseudoscalar, or vector) are constrained by “fifth force” experiments. For a compilation of constraints, see Ref. 21.

It has been widely argued that a fundamental theory will not possess global symmetries; gravity, for example, is expected to violate them. Global symmetries such as baryon number arise by accident, typically as a consequence of gauge symmetries. It has been noted [22] that the Peccei-Quinn symmetry, from this perspective, must also arise by accident and must hold to an extraordinary degree of accuracy in order to solve the strong  $CP$  problem. Possible resolutions to this problem, however, have been discussed [22,23]. String theory also provides sufficiently good symmetries, especially using a large compactification radius motivated by recent developments in M-theory [24].

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## AXIONS AND OTHER VERY LIGHT BOSONS: PART II (ASTROPHYSICAL CONSTRAINTS)

(by G.G. Raffelt)

Low-mass weakly-interacting particles (neutrinos, gravitons, axions, baryonic or leptonic gauge bosons, *etc.*) are produced in hot plasmas and thus represent an energy-loss channel for stars. The strength of the interaction with photons, electrons, and nucleons can be constrained from the requirement that stellar-evolution time scales are not modified beyond observational limits. For detailed reviews see Refs. [1,2].

The energy-loss rates are steeply increasing functions of temperature  $T$  and density  $\rho$ . Because the new channel has to compete with the standard neutrino losses which tend to increase even faster, the best limits arise from low-mass stars, notably from horizontal-branch (HB) stars which have a helium-burning core of about 0.5 solar masses at  $\langle\rho\rangle \approx 0.6 \times 10^4 \text{ g cm}^{-3}$  and  $\langle T\rangle \approx 0.7 \times 10^8 \text{ K}$ . The new energy-loss rate must not exceed about  $10 \text{ ergs g}^{-1} \text{ s}^{-1}$  to avoid a conflict with the observed number ratio of HB stars in globular clusters. Likewise the ignition of helium in the degenerate cores of the preceding red-giant phase is delayed too much unless the same constraint holds at  $\langle\rho\rangle \approx 2 \times 10^5 \text{ g cm}^{-3}$  and  $\langle T\rangle \approx 1 \times 10^8 \text{ K}$ . The white-dwarf luminosity function also yields useful bounds.

The new bosons  $X^0$  interact with electrons and nucleons with a dimensionless strength  $g$ . For scalars it is a Yukawa coupling, for new gauge bosons (*e.g.*, from a baryonic or leptonic gauge symmetry) a gauge coupling. Axion-like pseudoscalars couple derivatively as  $f^{-1}\bar{\psi}\gamma_\mu\gamma_5\psi\partial^\mu\phi_X$  with  $f$  an energy scale. Usually this is equivalent to  $(2m/f)\bar{\psi}\gamma_5\psi\phi_X$  with  $m$  the mass

of the fermion  $\psi$  so that  $g = 2m/f$ . For the coupling to electrons, globular-cluster stars yield the constraint

$$g_{Xe} \lesssim \begin{cases} 0.5 \times 10^{-12} & \text{for pseudoscalars [3]} \\ 1.3 \times 10^{-14} & \text{for scalars [4]} \end{cases}, \quad (1)$$

if  $m_X \lesssim 10$  keV. The Compton process  $\gamma + {}^4\text{He} \rightarrow {}^4\text{He} + X^0$  limits the coupling to nucleons to  $g_{XN} \lesssim 0.4 \times 10^{-10}$  [4].

Scalar and vector bosons mediate long-range forces which are severely constrained by “fifth-force” experiments [5]. In the massless case the best limits come from tests of the equivalence principle in the solar system, leading to

$$g_{B,L} \lesssim 10^{-23} \quad (2)$$

for a baryonic or leptonic gauge coupling [6].

In analogy to neutral pions, axions  $A^0$  couple to photons as  $g_{A\gamma} \mathbf{E} \cdot \mathbf{B} \phi_A$  which allows for the Primakoff conversion  $\gamma \leftrightarrow A^0$  in external electromagnetic fields. The most restrictive limit arises from globular-cluster stars [2]

$$g_{A\gamma} \lesssim 0.6 \times 10^{-10} \text{ GeV}^{-1}. \quad (3)$$

The often-quoted “red-giant limit” [7] is slightly weaker.

The duration of the SN 1987A neutrino signal of a few seconds proves that the newborn neutron star cooled mostly by neutrinos rather than through an “invisible channel” such as right-handed (sterile) neutrinos or axions [8]. Therefore,

$$3 \times 10^{-10} \lesssim g_{AN} \lesssim 3 \times 10^{-7} \quad (4)$$

is excluded for the pseudoscalar Yukawa coupling to nucleons [2]. The “strong” coupling side is allowed because axions then escape

only by diffusion, quenching their efficiency as an energy-loss channel [9]. Even then the range

$$10^{-6} \lesssim g_{AN} \lesssim 10^{-3} \quad (5)$$

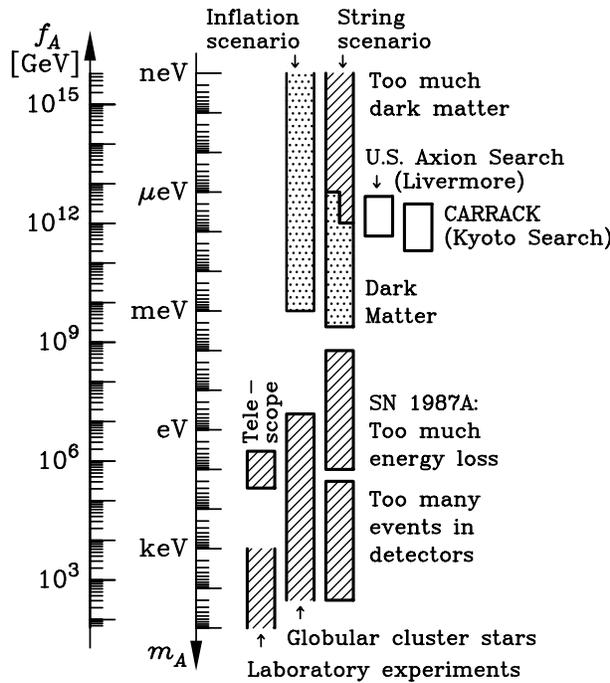
is excluded to avoid excess counts in the water Cherenkov detectors which registered the SN 1987A neutrino signal [11].

In terms of the Peccei-Quinn scale  $f_A$ , the axion couplings to nucleons and photons are  $g_{AN} = C_N m_N / f_A$  ( $N = n$  or  $p$ ) and  $g_{A\gamma} = (\alpha / 2\pi f_A) (E/N - 1.92)$  where  $C_N$  and  $E/N$  are model-dependent numerical parameters of order unity. With  $m_A = 0.62 \text{ eV} (10^7 \text{ GeV} / f_A)$ , Eq. (3) yields  $m_A \lesssim 0.4 \text{ eV}$  for  $E/N = 8/3$  as in GUT models or the DFSZ model. The SN 1987A limit is  $m_A \lesssim 0.008 \text{ eV}$  for KSVZ axions while it varies between about 0.004 and 0.012 eV for DFSZ axions, depending on the angle  $\beta$  which measures the ratio of two Higgs vacuum expectation values [10]. In view of the large uncertainties it is good enough to remember  $m_A \lesssim 0.01 \text{ eV}$  as a generic limit (Fig. 1).

In the early universe, axions come into thermal equilibrium only if  $f_A \lesssim 10^8 \text{ GeV}$  [12]. Some fraction of the relic axions end up in galaxies and galaxy clusters. Their decay  $a \rightarrow 2\gamma$  contributes to the cosmic extragalactic background light and to line emissions from galactic dark-matter haloes and galaxy clusters. An unsuccessful “telescope search” for such features yields  $m_a < 3.5 \text{ eV}$  [13]. For  $m_a \gtrsim 30 \text{ eV}$ , the axion lifetime is shorter than the age of the universe.

For  $f_A \gtrsim 10^8 \text{ GeV}$  cosmic axions are produced nonthermally. If inflation occurred after the Peccei-Quinn symmetry breaking or if  $T_{\text{reheat}} < f_A$ , the “misalignment mechanism” [14] leads to a contribution to the cosmic critical density of

$$\Omega_A h^2 \approx 1.9 \times 3^{\pm 1} (1 \mu\text{eV} / m_A)^{1.175} \Theta_1^2 F(\Theta_1) \quad (6)$$



**Figure 1:** Astrophysical and cosmological exclusion regions (hatched) for the axion mass  $m_A$  or equivalently, the Peccei-Quinn scale  $f_A$ . An “open end” of an exclusion bar means that it represents a rough estimate; its exact location has not been established or it depends on detailed model assumptions. The globular cluster limit depends on the axion-photon coupling; it was assumed that  $E/N = 8/3$  as in GUT models or the DFSZ model. The SN 1987A limits depend on the axion-nucleon couplings; the shown case corresponds to the KSVZ model and approximately to the DFSZ model. The dotted “inclusion regions” indicate where axions could plausibly be the cosmic dark matter. Most of the allowed range in the inflation scenario requires fine-tuned initial conditions. In the string scenario the plausible dark-matter range is controversial as indicated by the step in the low-mass end of the “inclusion bar” (see main text for a discussion). Also shown is the projected sensitivity range of the search experiments for galactic dark-matter axions.

where  $h$  is the Hubble constant in units of  $100 \text{ km s}^{-1} \text{ Mpc}^{-1}$ . The stated range reflects recognized uncertainties of the cosmic conditions at the QCD phase transition and of the temperature-dependent axion mass. The function  $F(\Theta)$  with  $F(0) = 1$  and  $F(\pi) = \infty$  accounts for anharmonic corrections to the axion potential. Because the initial misalignment angle  $\Theta_i$  can be very small or very close to  $\pi$ , there is no real prediction for the mass of dark-matter axions even though one would expect  $\Theta_i^2 F(\Theta_i) \sim 1$  to avoid fine-tuning the initial conditions.

A possible fine-tuning of  $\Theta_i$  is limited by inflation-induced quantum fluctuations which in turn lead to temperature fluctuations of the cosmic microwave background [15,16]. In a broad class of inflationary models one thus finds an upper limit to  $m_A$  where axions could be the dark matter. According to the most recent discussion [16] it is about  $10^{-3} \text{ eV}$  (Fig. 1).

If inflation did not occur at all or if it occurred before the Peccei-Quinn symmetry breaking with  $T_{\text{reheat}} > f_A$ , cosmic axion strings form by the Kibble mechanism [17]. Their motion is damped primarily by axion emission rather than gravitational waves. After axions acquire a mass at the QCD phase transition they quickly become nonrelativistic and thus form a cold dark matter component. Battye and Shellard [18] found that the dominant source of axion radiation are string loops rather than long strings. At a cosmic time  $t$  the average loop creation size is parametrized as  $\langle \ell \rangle = \alpha t$  while the radiation power is  $P = \kappa \mu$  with  $\mu$  the renormalized string tension. The loop contribution to the cosmic axion density is [18]

$$\Omega_A h^2 \approx 88 \times 3^{\pm 1} \left[ (1 + \alpha/\kappa)^{3/2} - 1 \right] (1 \mu\text{eV}/m_A)^{1.175}, \quad (7)$$

where the stated nominal uncertainty has the same source as in Eq. (6). The values of  $\alpha$  and  $\kappa$  are not known, but probably

$0.1 < \alpha/\kappa < 1.0$  [18], taking the expression in square brackets to 0.15–1.83. If axions are the dark matter, we have

$$0.05 \lesssim \Omega_A h^2 \lesssim 0.50 , \quad (8)$$

where it was assumed that the universe is older than 10 Gyr, that the dark-matter density is dominated by axions with  $\Omega_A \gtrsim 0.2$ , and that  $h \gtrsim 0.5$ . This implies  $m_A = 6\text{--}2500 \mu\text{eV}$  for the plausible mass range of dark-matter axions (Fig. 1).

Contrary to Ref. 18, Sikivie *et al.* [19] find that the motion of global strings is strongly damped, leading to a flat axion spectrum. In Battye and Shellard's treatment the axion radiation is strongly peaked at wavelengths of order the loop size. In Sikivie *et al.*'s picture more of the string radiation goes into kinetic axion energy which is redshifted so that ultimately there are fewer axions. In this scenario the contributions from string decay and vacuum realignment are of the same order of magnitude; they are both given by Eq. (6) with  $\Theta_i$  of order one. As a consequence, Sikivie *et al.* allow for a plausible range of dark-matter axions which reaches to smaller masses as indicated in Fig. 1.

The work of both groups implies that the low-mass end of the plausible mass interval in the string scenario overlaps with the projected sensitivity range of the U.S. search experiment for galactic dark-matter axions (Livermore) [20] and of the Kyoto search experiment CARRACK [21] as indicated in Fig. 1. (See also Part III of this Review by Hagmann, van Bibber, and Rosenberg.)

In summary, a variety of robust astrophysical arguments and laboratory experiments (Fig. 1) indicate that  $m_A \lesssim 10^{-2} \text{ eV}$ . The exact value of this limit may change with a more sophisticated treatment of supernova physics and/or the observation

of the neutrino signal from a future galactic supernova, but a dramatic modification is not expected unless someone puts forth a completely new argument. The stellar-evolution limits shown in Fig. 1 depend on the axion couplings to various particles and thus can be irrelevant in fine-tuned models where, for example, the axion-photon coupling strictly vanishes. For nearly any  $m_A$  in the range generically allowed by stellar evolution, axions could be the cosmic dark matter, depending on the cosmological scenario realized in nature. It appears that our only practical chance to discover these “invisible” particles rests with the ongoing or future search experiments for galactic dark-matter.

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## AXIONS AND OTHER VERY LIGHT BOSONS, PART III (EXPERIMENTAL LIMITS)

(Revised November 2003 by C. Hagmann, K. van Bibber, and L.J. Rosenberg, LLNL)

In this section we review the experimental methodology and limits on light axions and light pseudoscalars in general. (A comprehensive overview of axion theory is given by H. Murayama in the Part I of this Review, whose notation we follow [1].) Within its scope are purely laboratory experiments, searches where the axion is assumed to be halo dark matter, and searches where the Sun is presumed to be a source of axions. We restrict the discussion to axions of mass  $m_A < O(\text{eV})$ , as the allowed range for the axion mass is nominally  $10^{-6} < m_A < 10^{-2}$  eV. Experimental work in this range predominantly has been through the axion-to-two-photon coupling  $g_{A\gamma}$ , to which the present review is largely confined. As discussed in Part II of this Review by G. Raffelt, the lower bound to the axion mass derives from a cosmological overclosure argument, and the upper bound most restrictively from SN1987A [2]. Limits from stellar evolution overlap seamlessly above that, connecting with accelerator-based limits that ruled out the original axion. There, it was assumed that the Peccei-Quinn symmetry-breaking scale was the electroweak scale, *i.e.*,  $f_A \sim 250$  GeV, implying axions of mass  $m_A \sim O(100 \text{ keV})$ . These earlier limits from nuclear transitions, particle decays, *etc.*, while not discussed here, are included in the Listings.

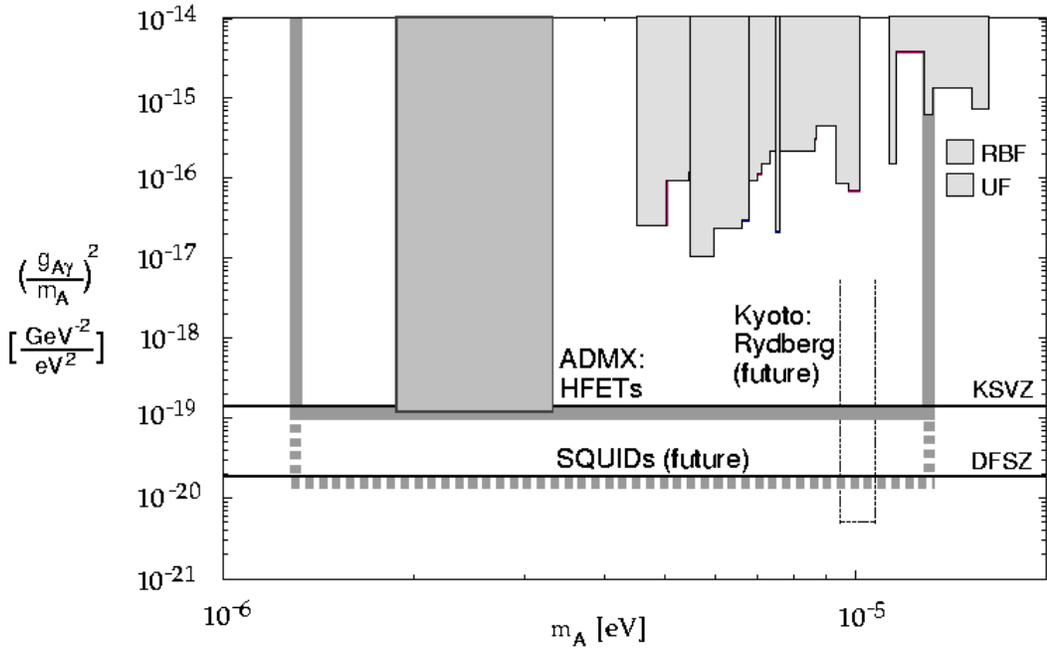
While the axion mass is well-determined by the Peccei-Quinn scale, *i.e.*,  $m_A = 0.62 \text{ eV}(10^7 \text{ GeV}/f_A)$ , the axion-photon coupling  $g_{A\gamma}$  is not:  $g_{A\gamma} = (\alpha/\pi f_A)g_\gamma$ , with  $g_\gamma =$

$(E/N - 1.92)/2$ , and where  $E/N$  is a model-dependent number. It is noteworthy, however, that quite distinct models lead to axion-photon couplings that are not very different. For example, in the case of axions imbedded in Grand Unified Theories, the DFSZ axion [3],  $g_\gamma = 0.37$ , whereas in one popular implementation of the “hadronic” class of axions, the KSVZ axion [4],  $g_\gamma = -0.96$ . Hence, between these two models, rates for axion-photon processes  $\sim g_{A\gamma}^2$  differ by less than a factor of 10. The Lagrangian  $\mathcal{L} = g_{A\gamma} \mathbf{E} \cdot \mathbf{B} \phi_A$ , with  $\phi_A$  the axion field, permits the conversion of an axion into a single real photon in an external electromagnetic field, *i.e.*, a Primakoff interaction. In the case of relativistic axions,  $k_\gamma - k_A \sim m_A^2/2\omega$ , pertinent to several experiments below, coherent axion-photon mixing in long magnetic fields results in significant conversion probability even for very weakly coupled axions [5]. This mixing of photons and axions has been posited to explain dimming from distant supernovae and the apparent long interstellar attenuation length of the most energetic cosmic rays [6].

Below are discussed several experimental techniques constraining  $g_{A\gamma}$ , and their results. Also included are recent unpublished results, and projected sensitivities of experiments soon to be upgraded or made operational. Recent reviews describe these experiments in greater detail [7].

**III.1. Microwave cavity experiments:** Perhaps the most promising avenue to the discovery of the axion presumes that axions constitute a significant fraction of the local dark matter halo in our galaxy. An estimate for the Cold Dark matter (CDM) component of our local galactic halo is  $\rho_{\text{CDM}} = 7.5 \times 10^{-25} \text{g/cm}^3$  (450 MeV/cm<sup>3</sup>) [8]. That the CDM halo is in fact made of axions (rather than, *e.g.*, WIMPs) is in principle an independent assumption. However should very

light axions exist, they would almost necessarily be cosmologically abundant [2]. As shown by Sikivie [9] and Krauss *et al.* [10], halo axions may be detected by their resonant conversion into a quasi-monochromatic microwave signal in a high-Q cavity permeated by a strong static magnetic field. The cavity is tunable and the signal is maximum when the frequency  $\nu = m_A(1 + O(10^{-6}))$ , the width of the peak representing the virial distribution of thermalized axions in the galactic gravitational potential. The signal may possess finer structure due to axions recently fallen into the galaxy and not yet thermalized [11]. The feasibility of the technique was established in early experiments of small sensitive volume,  $V = O(1 \text{ liter})$  [12] with HFET amplifiers, setting limits in the mass range  $4.5 < m_A < 16.3 \mu\text{eV}$ , but lacking by 2–3 orders of magnitude the sensitivity to detect KSVZ and DFSZ axions (the conversion power  $P_{A \rightarrow \gamma} \propto g_{A\gamma}^2$ ). ADMX, a later experiment ( $B \sim 7.8 \text{ T}$ ,  $V \sim 200 \text{ liter}$ ) has achieved sensitivity to KSVZ axions over the mass range 1.9–3.3  $\mu\text{eV}$ , and continues to operate [13]. The exclusion regions shown in Figure 1 for Refs. 12,13 are all normalized to the CDM density  $\rho_{\text{CDM}} = 7.5 \times 10^{-25} \text{ g/cm}^3$  (450  $\text{MeV/cm}^3$ ) and 90% CL. A near quantum-limited low noise DC SQUID amplifier [14] is being installed in the upgraded ADMX experiment. A Rydberg atom single-quantum detector [15] is being commissioned in a new RF cavity axion search [16]. These new technologies promise dramatic improvements in experimental sensitivity, which should enable rapid scanning of the axion mass range at or better than the sensitivity required to detect DFSZ axions. The search region of the microwave cavity experiments is shown in detail in Figure 1.



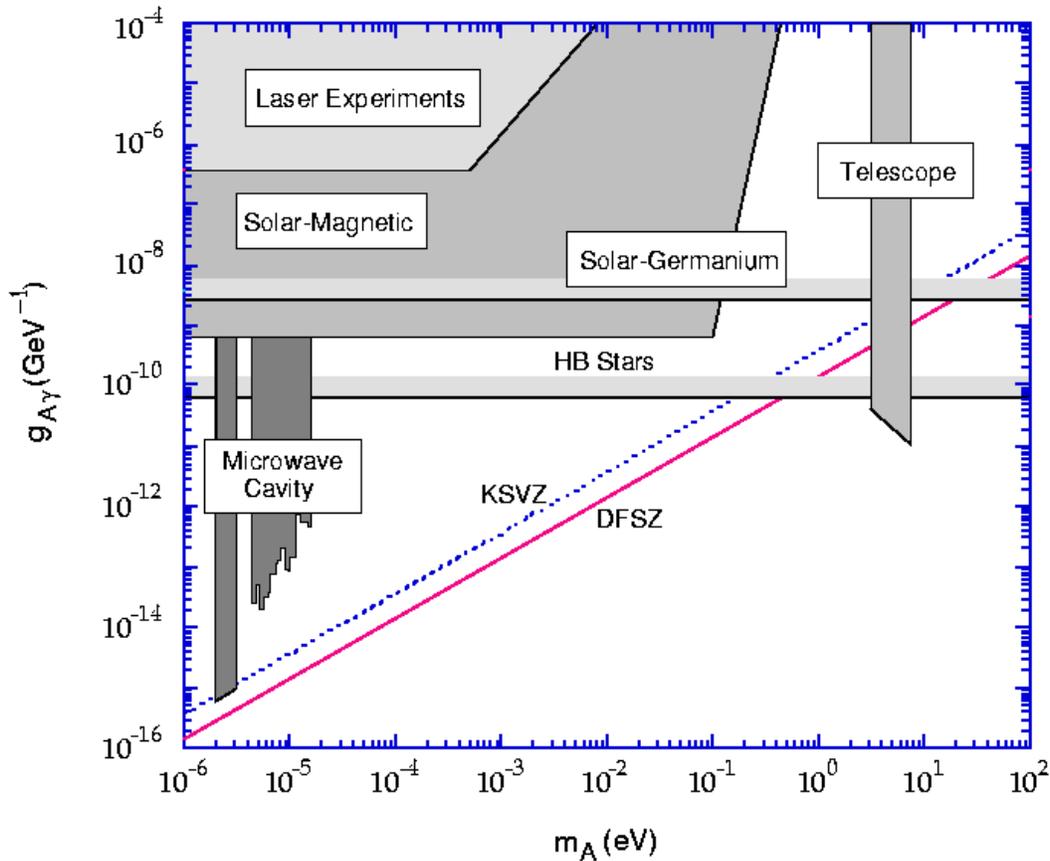
**Figure 1:** Exclusion region from the microwave cavity experiments, where the plot is flattened by presenting  $(g_{A\gamma}/m_A)^2$  versus  $m_A$ . The first-generation experiments (“RBF” and “UF” [12]) and in-progress “ADMX” [13] are all HFET-based. Shown also is the full mass range to be covered by the latter experiment (shaded line), and the improved sensitivity when upgraded with DC SQUID amplifiers [14] (shaded dashed line). The expected sensitivity of “CARRACK II” based on a Rydberg single-quantum receiver (dotted line) is also shown in Ref. 16.

**III.2 Optical and Radio Telescope searches:** For axions of mass greater than about  $10^{-1}$  eV, their cosmological abundance is no longer dominated by vacuum misalignment of string radiation mechanisms, but rather by thermal emission. Their contribution to critical density is small  $\Omega \sim 0.01(m_A/\text{eV})$ . However, the spontaneous-decay lifetime of axions,  $\tau(A \rightarrow$

$2\gamma) \sim 10^{25} \text{sec}(m_A/\text{eV})^{-5}$  while irrelevant for  $\mu\text{eV}$  axions, is short enough to afford a powerful constraint on such thermally produced axions in the eV mass range, by looking for a quasi-monochromatic photon line from galactic clusters. This line, corrected for Doppler shift, would be at half the axion mass and its width would be consistent with the observed virial motion, typically  $\Delta\lambda/\lambda \sim 10^{-2}$ . The expected line intensity would be of the order  $I_A \sim 10^{-17}(m_A/3 \text{eV})^7 \text{erg cm}^{-2} \text{arcsec}^{-2} \text{\AA}^{-1} \text{sec}^{-1}$  for DFSZ axions, comparable to the continuum night emission. The conservative assumption is made that the relative density of thermal axions fallen into the cluster gravitational potential reflects their overall cosmological abundance. A search for thermal axions in three rich Abell clusters was carried out at Kitt Peak National Laboratory [17]; no such line was observed between 3100–8300  $\text{\AA}$  ( $m_A = 3\text{--}8 \text{eV}$ ) after on-off field subtraction of the atmospheric molecular background spectra. A limit everywhere stronger than  $g_{A\gamma} < 10^{-10} \text{GeV}^{-1}$  is set, which is seen from Fig. 2 to easily exclude DFSZ axions throughout the mass range.

Similar in principle to the optical telescope search, microwave photons from spontaneous axion decay in halos of astrophysical objects may be searched for with a radio telescope. One group [18] aimed the Haystack radio dish at several nearby dwarf galaxies. The expected signal is a narrow spectral line with the expected virial width, Doppler shift, and intensity distribution about the center of the galaxies. They reported limits of  $g_{A\gamma} < 1.0 \times 10^{-9} \text{GeV}^{-1}$  for  $m_A \sim \text{few} \times 100 \mu\text{eV}$ . They propose an interferometric radio telescope search with sensitivity near  $g_{A\gamma}$  of  $10^{-10} \text{GeV}^{-1}$ .

**III.3 A search for solar axions:** As with the telescope search for thermally produced axions, the search for solar



**Figure 2:** Exclusion region in mass versus axion-photon coupling ( $m_A, g_{A\gamma}$ ) for various experiments. The limit set by globular cluster Horizontal Branch Stars (“HB Stars”) is shown in Ref. 2.

axions was stimulated by the possibility of there being a “1 eV window” for hadronic axions (*i.e.*, axions with no tree-level coupling to leptons), a “window” subsequently closed by an improved understanding of the evolution of globular cluster stars and SN1987A [2]. Hadronic axions would be copiously produced within our Sun’s interior by a Primakoff process. Their flux at the Earth of  $\sim 10^{12} \text{cm}^{-2} \text{sec}^{-1} (m_A/\text{eV})^2$ , which is independent

of the details of the solar model, is sufficient for a definitive test via the axion reconversion into photons in a large magnetic field. However, their average energy is  $\sim 4$  keV, implying an oscillation length in the vacuum of  $2\pi(m_A^2/2\omega)^{-1} \sim O(\text{mm})$ , precluding the mixing from achieving its theoretically maximum value in any practical magnet. It was recognized that one could endow the photon with an effective mass in the gas,  $m_\gamma = \omega_{\text{pl}}$ , thus permitting the axion and photon dispersion relations to be matched [5]. A first simple implementation of this proposal was carried out using a conventional dipole magnet with a conversion volume of variable-pressure gas and a xenon proportional chamber as the x-ray detector [19]. The magnet was fixed in orientation to take data for  $\sim 1000$  sec/day. Axions were excluded for  $g_{A\gamma} < 3.6 \times 10^{-9} \text{GeV}^{-1}$  for  $m_A < 0.03$  eV, and  $g_{A\gamma} < 7.7 \times 10^{-9} \text{GeV}^{-1}$  for  $0.03 < m_A < 0.11$  eV (95% CL). A more sensitive experiment (Tokyo axion helioscope) has been completed, using a superconducting magnet on a telescope mount to track the sun continuously. This gives an exclusion limit of  $g_{A\gamma} < 6 \times 10^{-10} \text{GeV}^{-1}$  for  $m_A < 0.3$  eV [20]. A new experiment CAST (CERN Axion Solar Telescope), using a decommissioned LHC dipole magnet, is taking first data [21]. The projected sensitivity  $g_{A\gamma} < 10^{-10} \text{GeV}^{-1}$  for  $m_A < 1$  eV, is about that of the globular cluster bounds.

Other searches for solar axions have been carried out using crystal germanium detectors. These exploit the coherent conversion of axions into photons when their angle of incidence satisfies a Bragg condition with a crystalline plane. Analysis of 1.94 kg-yr of data from a 1 kg germanium detector yields a bound of  $g_{A\gamma} < 2.7 \times 10^{-9} \text{GeV}^{-1}$  (95% CL) independent of mass up to  $m_A \sim 1$  keV [22]. Analysis of 0.2 kg-yr of data from a 0.234 kg germanium detector yields a bound of

$g_{A\gamma} < 2.8 \times 10^{-9} \text{GeV}^{-1}$  (95% CL) [23]. A general study of sensitivities [24] concludes these crystal detectors are unlikely to compete with axion bounds arising from globular clusters [25] or helioseismology [26].

**III.4 Photon regeneration (“invisible light shining through walls”):** Photons propagating through a transverse field (with  $\mathbf{E} \parallel \mathbf{B}$  may convert into axions. For light axions with  $m_A^2 l / 2\omega \ll 2\pi$ , where  $l$  is the length of the magnetic field, the axion beam produced is colinear and coherent with the photon beam, and the conversion probability  $\Pi$  is given by  $\Pi \sim (1/4)(g_{A\gamma} B l)^2$ . An ideal implementation for this limit is a laser beam propagating down a long, superconducting dipole magnet like those for high-energy physics accelerators. If another such dipole magnet is set up in line with the first, with an optical barrier interposed between them, then photons may be regenerated from the pure axion beam in the second magnet and detected [27]. The overall probability  $P(\gamma \rightarrow A \rightarrow \gamma) = \Pi^2$ . Such an experiment has been carried out, utilizing two magnets of length  $l = 4.4$  m and  $B = 3.7$  T. Axions with mass  $m_A < 10^{-3}$  eV, and  $g_{A\gamma} > 6.7 \times 10^{-7} \text{GeV}^{-1}$  were excluded at 95% CL [28]. With sufficient effort, limits comparable to those from stellar evolution would be achievable. Due to the  $g_{A\gamma}^4$  rate suppression, however, it does not seem feasible to reach standard axion couplings.

**III.5 Polarization experiments:** The existence of axions can affect the polarization of light propagating through a transverse magnetic field in two ways [29]. First, as the  $\mathbf{E}_{\parallel}$  component, but not the  $\mathbf{E}_{\perp}$  component will be depleted by the production of real axions, there will be in general a small rotation of the polarization vector of linearly polarized light. This effect will be constant for all sufficiently light  $m_A$  such

that the oscillation length is much longer than the magnet  $m_A^2 l / 2\omega \ll 2\pi$ . For heavier axions, the effect oscillates and diminishes with increasing  $m_A$ , and vanishes for  $m_A > \omega$ . The second effect is birefringence of the vacuum, again because there could be a mixing of virtual axions in the  $\mathbf{E}_{\parallel}$  state, but not for the  $\mathbf{E}_{\perp}$  state. This will lead to light that is initially linearly polarized becoming elliptically polarized. Higher-order QED also induces vacuum birefringence, and is much stronger than the contribution due to axions. A search for both polarization-rotation and induced ellipticity has been carried out with the same dipole magnets described above [30]. As in the case of photon regeneration, the observables are boosted linearly by the number of passes of the laser beam in the optical cavity within the magnet. The polarization-rotation resulted in a stronger limit than that from ellipticity,  $g_{A\gamma} < 3.6 \times 10^{-7} \text{GeV}^{-1}$  (95% CL) for  $m_A < 5 \times 10^{-4} \text{ eV}$ . The limits from ellipticity are better at higher masses, as they fall off smoothly and do not terminate at  $m_A$ . Current experiments with greatly improved sensitivity that, while still far from being able to detect standard axions, have measured the QED “light-by-light” contribution for the first time [31]. The overall envelope for limits from the laser-based experiments is shown schematically in Fig. 2.

**III.6 Non-Newtonian monopole-dipole couplings:** Axions mediate a CP violating monopole-dipole Yukawa-type gravitational interaction potential ( $g_s g_p \hat{\sigma} \cdot \hat{r} e^{-r/\lambda}$ ) between spin and matter [32] where  $g_s g_p$  is the product of couplings at the scalar and polarized vertices and  $\lambda$  is the range of the force. Two experiments placed upper limits on the product coupling  $g_s g_p$  in a system of magnetized media and test masses. One experiment [33] had peak sensitivity near 100 mm ( $2 \mu\text{eV}$  axion mass) another [34] had peak sensitivity near 10 mm ( $20 \mu\text{eV}$

axion mass). Both lacked sensitivity by 10 orders of magnitude of the sensitivity required to detect couplings implied by the existing limits on a neutron EDM.

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### $A^0$ (Axion) MASS LIMITS from Astrophysics and Cosmology

These bounds depend on model-dependent assumptions (i.e. — on a combination of axion parameters).

VALUE (MeV)	DOCUMENT ID	TECN	COMMENT
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
>0.2	BARROSO	82 ASTR	Standard Axion
>0.25	<sup>1</sup> RAFFELT	82 ASTR	Standard Axion
>0.2	<sup>2</sup> DICUS	78c ASTR	Standard Axion
	MIKAELIAN	78 ASTR	Stellar emission
>0.3	<sup>2</sup> SATO	78 ASTR	Standard Axion
>0.2	VYSOTSKII	78 ASTR	Standard Axion

<sup>1</sup> Lower bound from 5.5 MeV  $\gamma$ -ray line from the sun.

<sup>2</sup> Lower bound from requiring the red giants' stellar evolution not be disrupted by axion emission.

### $A^0$ (Axion) and Other Light Boson ( $X^0$ ) Searches in Meson Decays

Limits are for branching ratios.

VALUE	CL%	EVTS	DOCUMENT ID	TECN	COMMENT
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●					
<4.5 $\times 10^{-11}$	90		<sup>3</sup> ADLER	02c B787	$K^+ \rightarrow \pi^+ A^0$
<4.9 $\times 10^{-5}$	90		AMMAR	01B CLEO	$B^\pm \rightarrow \pi^\pm (K^\pm) X^0$
<5.3 $\times 10^{-5}$	90		AMMAR	01B CLEO	$B^0 \rightarrow K_S^0 X^0$
<1.1 $\times 10^{-10}$	90		<sup>4</sup> ADLER	00 B787	$K^+ \rightarrow \pi^+ A^0$
<3.3 $\times 10^{-5}$	90		<sup>5</sup> ALTEGOER	98 NOMD	$\pi^0 \rightarrow \gamma X^0$ , $m_{X^0} < 120$ MeV
<5.0 $\times 10^{-8}$	90		<sup>6</sup> KITCHING	97 B787	$K^+ \rightarrow \pi^+ A^0$ ( $A^0 \rightarrow \gamma\gamma$ )
<5.2 $\times 10^{-10}$	90		<sup>7</sup> ADLER	96 B787	$K^+ \rightarrow \pi^+ A^0$
<2.8 $\times 10^{-4}$	90		<sup>8</sup> AMSLER	96B CBAR	$\pi^0 \rightarrow \gamma X^0$ , $m_{X^0} < 65$ MeV
<3 $\times 10^{-4}$	90		<sup>8</sup> AMSLER	96B CBAR	$\eta \rightarrow \gamma X^0$ , $m_{X^0} =$ 50–200 MeV
<4 $\times 10^{-5}$	90		<sup>8</sup> AMSLER	96B CBAR	$\eta' \rightarrow \gamma X^0$ , $m_{X^0} = 50$ –925 MeV
<6 $\times 10^{-5}$	90		<sup>8</sup> AMSLER	94B CBAR	$\pi^0 \rightarrow \gamma X^0$ , $m_{X^0} = 65$ –125 MeV
<6 $\times 10^{-5}$	90		<sup>8</sup> AMSLER	94B CBAR	$\eta \rightarrow \gamma X^0$ , $m_{X^0} = 200$ –525 MeV

<0.007	90	<sup>9</sup> MEIJERDREES94	CNTR	$\pi^0 \rightarrow \gamma X^0$ , $m_{X^0}=25$ MeV
<0.002	90	<sup>9</sup> MEIJERDREES94	CNTR	$\pi^0 \rightarrow \gamma X^0$ , $m_{X^0}=100$ MeV
<2 $\times 10^{-7}$	90	<sup>10</sup> ATIYA	93B B787	$K^+ \rightarrow \pi^+ A^0$
<3 $\times 10^{-13}$		<sup>11</sup> NG	93 COSM	$\pi^0 \rightarrow \gamma X^0$
<1.1 $\times 10^{-8}$	90	<sup>12</sup> ALLIEGRO	92 SPEC	$K^+ \rightarrow \pi^+ A^0$ ( $A^0 \rightarrow e^+ e^-$ )
<5 $\times 10^{-4}$	90	<sup>13</sup> ATIYA	92 B787	$\pi^0 \rightarrow \gamma X^0$
<4 $\times 10^{-6}$	90	<sup>14</sup> MEIJERDREES92	SPEC	$\pi^0 \rightarrow \gamma X^0$ , $X^0 \rightarrow e^+ e^-$ , $m_{X^0}=100$ MeV
<1 $\times 10^{-7}$	90	<sup>15</sup> ATIYA	90B B787	Sup. by KITCH- ING 97
<1.3 $\times 10^{-8}$	90	<sup>16</sup> KORENCHE...	87 SPEC	$\pi^+ \rightarrow e^+ \nu A^0$ ( $A^0 \rightarrow e^+ e^-$ )
<1 $\times 10^{-9}$	90	0 <sup>17</sup> EICHLER	86 SPEC	Stopped $\pi^+ \rightarrow$ $e^+ \nu A^0$
<2 $\times 10^{-5}$	90	<sup>18</sup> YAMAZAKI	84 SPEC	For $160 < m < 260$ MeV
<(1.5-4) $\times 10^{-6}$	90	<sup>18</sup> YAMAZAKI	84 SPEC	$K$ decay, $m_{A^0} \ll$ 100 MeV
		0 <sup>19</sup> ASANO	82 CNTR	Stopped $K^+ \rightarrow$ $\pi^+ A^0$
		0 <sup>20</sup> ASANO	81B CNTR	Stopped $K^+ \rightarrow$ $\pi^+ A^0$
		<sup>21</sup> ZHITNITSKII	79	Heavy axion

<sup>3</sup> ADLER 02C bound is for  $m_{A^0} < 60$  MeV. See Fig. 2 for limits at higher masses.

<sup>4</sup> ADLER 00 bound is for massless  $A^0$ .

<sup>5</sup> ALTEGOER 98 looked for  $X^0$  from  $\pi^0$  decay which penetrate the shielding and convert to  $\pi^0$  in the external Coulomb field of a nucleus.

<sup>6</sup> KITCHING 97 limit is for  $B(K^+ \rightarrow \pi^+ A^0) \cdot B(A^0 \rightarrow \gamma\gamma)$  and applies for  $m_{A^0} \simeq 50$  MeV,  $\tau_{A^0} < 10^{-10}$  s. Limits are provided for  $0 < m_{A^0} < 100$  MeV,  $\tau_{A^0} < 10^{-8}$  s.

<sup>7</sup> ADLER 96 looked for a peak in missing-mass distribution. This work is an update of ATIYA 93. The limit is for massless stable  $A^0$  particles and extends to  $m_{A^0}=80$  MeV at the same level. See paper for dependence on finite lifetime.

<sup>8</sup> AMSLER 94B and AMSLER 96B looked for a peak in missing-mass distribution.

<sup>9</sup> The MEIJERDREES 94 limit is based on inclusive photon spectrum and is independent of  $X^0$  decay modes. It applies to  $\tau(X^0) > 10^{-23}$  sec.

<sup>10</sup> ATIYA 93B looked for a peak in missing mass distribution. The bound applies for stable  $A^0$  of  $m_{A^0}=150-250$  MeV, and the limit becomes stronger ( $10^{-8}$ ) for  $m_{A^0}=180-240$  MeV.

<sup>11</sup> NG 93 studied the production of  $X^0$  via  $\gamma\gamma \rightarrow \pi^0 \rightarrow \gamma X^0$  in the early universe at  $T \simeq 1$  MeV. The bound on extra neutrinos from nucleosynthesis  $\Delta N_\nu < 0.3$  (WALKER 91) is employed. It applies to  $m_{X^0} \ll 1$  MeV in order to be relativistic down to nucleosynthesis temperature. See paper for heavier  $X^0$ .

<sup>12</sup> ALLIEGRO 92 limit applies for  $m_{A^0}=150-340$  MeV and is the branching ratio times the decay probability. Limit is  $< 1.5 \times 10^{-8}$  at 99%CL.

<sup>13</sup> ATIYA 92 looked for a peak in missing mass distribution. The limit applies to  $m_{X^0}=0-130$  MeV in the narrow resonance limit. See paper for the dependence on lifetime. Covariance requires  $X^0$  to be a vector particle.

- <sup>14</sup> MEIJERDREES 92 limit applies for  $\tau_{X^0} = 10^{-23}$ – $10^{-11}$  sec. Limits between  $2 \times 10^{-4}$  and  $4 \times 10^{-6}$  are obtained for  $m_{X^0} = 25$ – $120$  MeV. Angular momentum conservation requires that  $X^0$  has spin  $\geq 1$ .
- <sup>15</sup> ATIYA 90B limit is for  $B(K^+ \rightarrow \pi^+ A^0) \cdot B(A^0 \rightarrow \gamma\gamma)$  and applies for  $m_{A^0} = 50$  MeV,  $\tau_{A^0} < 10^{-10}$  s. Limits are also provided for  $0 < m_{A^0} < 100$  MeV,  $\tau_{A^0} < 10^{-8}$  s.
- <sup>16</sup> KORENCHENKO 87 limit assumes  $m_{A^0} = 1.7$  MeV,  $\tau_{A^0} \lesssim 10^{-12}$  s, and  $B(A^0 \rightarrow e^+e^-) = 1$ .
- <sup>17</sup> EICHLER 86 looked for  $\pi^+ \rightarrow e^+ \nu A^0$  followed by  $A^0 \rightarrow e^+e^-$ . Limits on the branching fraction depend on the mass and and lifetime of  $A^0$ . The quoted limits are valid when  $\tau(A^0) \gtrsim 3. \times 10^{-10}$ s if the decays are kinematically allowed.
- <sup>18</sup> YAMAZAKI 84 looked for a discrete line in  $K^+ \rightarrow \pi^+ X$ . Sensitive to wide mass range (5–300 MeV), independent of whether X decays promptly or not.
- <sup>19</sup> ASANO 82 at KEK set limits for  $B(K^+ \rightarrow \pi^+ A^0)$  for  $m_{A^0} < 100$  MeV as  $BR < 4. \times 10^{-8}$  for  $\tau(A^0 \rightarrow n\gamma's) > 1. \times 10^{-9}$  s,  $BR < 1.4 \times 10^{-6}$  for  $\tau < 1. \times 10^{-9}$ s.
- <sup>20</sup> ASANO 81B is KEK experiment. Set  $B(K^+ \rightarrow \pi^+ A^0) < 3.8 \times 10^{-8}$  at CL = 90%.
- <sup>21</sup> ZHITNITSKII 79 argue that a heavy axion predicted by YANG 78 ( $3 < m < 40$  MeV) contradicts experimental muon anomalous magnetic moments.

## **$A^0$ (Axion) Searches in Quarkonium Decays**

Decay or transition of quarkonium. Limits are for branching ratio.

VALUE	CL%	EVTS	DOCUMENT ID	TECN	COMMENT
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●					
$<1.3 \times 10^{-5}$	90		<sup>22</sup> BALEST 95	CLEO	$\Upsilon(1S) \rightarrow A^0 \gamma$
$<4.0 \times 10^{-5}$	90		ANTREASYAN 90C	CBAL	$\Upsilon(1S) \rightarrow A^0 \gamma$
			<sup>23</sup> ANTREASYAN 90C	RVUE	
$<5 \times 10^{-5}$	90		<sup>24</sup> DRUZHININ 87	ND	$\phi \rightarrow A^0 \gamma$ ( $A^0 \rightarrow e^+e^-$ )
$<2 \times 10^{-3}$	90		<sup>25</sup> DRUZHININ 87	ND	$\phi \rightarrow A^0 \gamma$ ( $A^0 \rightarrow \gamma\gamma$ )
$<7 \times 10^{-6}$	90		<sup>26</sup> DRUZHININ 87	ND	$\phi \rightarrow A^0 \gamma$ ( $A^0 \rightarrow$ missing)
$<3.1 \times 10^{-4}$	90	0	<sup>27</sup> ALBRECHT 86D	ARG	$\Upsilon(1S) \rightarrow A^0 \gamma$ ( $A^0 \rightarrow e^+e^-$ )
$<4 \times 10^{-4}$	90	0	<sup>27</sup> ALBRECHT 86D	ARG	$\Upsilon(1S) \rightarrow A^0 \gamma$ ( $A^0 \rightarrow \mu^+ \mu^-$ , $\pi^+ \pi^-$ , $K^+ K^-$ )
$<8 \times 10^{-4}$	90	1	<sup>28</sup> ALBRECHT 86D	ARG	$\Upsilon(1S) \rightarrow A^0 \gamma$
$<1.3 \times 10^{-3}$	90	0	<sup>29</sup> ALBRECHT 86D	ARG	$\Upsilon(1S) \rightarrow A^0 \gamma$ ( $A^0 \rightarrow e^+e^-, \gamma\gamma$ )
$<2. \times 10^{-3}$	90		<sup>30</sup> BOWCOCK 86	CLEO	$\Upsilon(2S) \rightarrow \Upsilon(1S) \rightarrow A^0$
$<5. \times 10^{-3}$	90		<sup>31</sup> MAGERAS 86	CUSB	$\Upsilon(1S) \rightarrow A^0 \gamma$
$<3. \times 10^{-4}$	90		<sup>32</sup> ALAM 83	CLEO	$\Upsilon(1S) \rightarrow A^0 \gamma$
$<9.1 \times 10^{-4}$	90		<sup>33</sup> NICZYPORUK 83	LENA	$\Upsilon(1S) \rightarrow A^0 \gamma$
$<1.4 \times 10^{-5}$	90		<sup>34</sup> EDWARDS 82	CBAL	$J/\psi \rightarrow A^0 \gamma$
$<3.5 \times 10^{-4}$	90		<sup>35</sup> SIVERTZ 82	CUSB	$\Upsilon(1S) \rightarrow A^0 \gamma$
$<1.2 \times 10^{-4}$	90		<sup>35</sup> SIVERTZ 82	CUSB	$\Upsilon(3S) \rightarrow A^0 \gamma$

- <sup>22</sup> BALEST 95 looked for a monochromatic  $\gamma$  from  $\Upsilon(1S)$  decay. The bound is for  $m_{A^0} < 5.0$  GeV. See Fig. 7 in the paper for bounds for heavier  $m_{A^0}$ . They also quote a bound on branching ratios  $10^{-3}$ – $10^{-5}$  of three-body decay  $\gamma X \bar{X}$  for  $0 < m_X < 3.1$  GeV.
- <sup>23</sup> The combined limit of ANTREASYAN 90C and EDWARDS 82 excludes standard axion with  $m_{A^0} < 2m_e$  at 90% CL as long as  $C_\Upsilon C_{J/\psi} > 0.09$ , where  $C_V$  ( $V = \Upsilon, J/\psi$ ) is the reduction factor for  $\Gamma(V \rightarrow A^0 \gamma)$  due to QCD and/or relativistic corrections. The same data excludes  $0.02 < x < 260$  (90% CL) if  $C_\Upsilon = C_{J/\psi} = 0.5$ , and further combining with ALBRECHT 86D result excludes  $5 \times 10^{-5} < x < 260$ .  $x$  is the ratio of the vacuum expectation values of the two Higgs fields. These limits use conventional assumption  $\Gamma(A^0 \rightarrow ee) \propto x^{-2}$ . The alternative assumption  $\Gamma(A^0 \rightarrow ee) \propto x^2$  gives a somewhat different excluded region  $0.00075 < x < 44$ .
- <sup>24</sup> The first DRUZHININ 87 limit is valid when  $\tau_{A^0}/m_{A^0} < 3 \times 10^{-13}$  s/MeV and  $m_{A^0} < 20$  MeV.
- <sup>25</sup> The second DRUZHININ 87 limit is valid when  $\tau_{A^0}/m_{A^0} < 5 \times 10^{-13}$  s/MeV and  $m_{A^0} < 20$  MeV.
- <sup>26</sup> The third DRUZHININ 87 limit is valid when  $\tau_{A^0}/m_{A^0} > 7 \times 10^{-12}$  s/MeV and  $m_{A^0} < 200$  MeV.
- <sup>27</sup>  $\tau_{A^0} < 1 \times 10^{-13}$  s and  $m_{A^0} < 1.5$  GeV. Applies for  $A^0 \rightarrow \gamma\gamma$  when  $m_{A^0} < 100$  MeV.
- <sup>28</sup>  $\tau_{A^0} > 1 \times 10^{-7}$  s.
- <sup>29</sup> Independent of  $\tau_{A^0}$ .
- <sup>30</sup> BOWCOCK 86 looked for  $A^0$  that decays into  $e^+e^-$  in the cascade decay  $\Upsilon(2S) \rightarrow \Upsilon(1S)\pi^+\pi^-$  followed by  $\Upsilon(1S) \rightarrow A^0\gamma$ . The limit for  $B(\Upsilon(1S) \rightarrow A^0\gamma)B(A^0 \rightarrow e^+e^-)$  depends on  $m_{A^0}$  and  $\tau_{A^0}$ . The quoted limit for  $m_{A^0}=1.8$  MeV is at  $\tau_{A^0} \sim 2. \times 10^{-12}$  s, where the limit is the worst. The same limit  $2. \times 10^{-3}$  applies for all lifetimes for masses  $2m_e < m_{A^0} < 2m_\mu$  when the results of this experiment are combined with the results of ALAM 83.
- <sup>31</sup> MAGERAS 86 looked for  $\Upsilon(1S) \rightarrow \gamma A^0$  ( $A^0 \rightarrow e^+e^-$ ). The quoted branching fraction limit is for  $m_{A^0} = 1.7$  MeV, at  $\tau(A^0) \sim 4. \times 10^{-13}$  s where the limit is the worst.
- <sup>32</sup> ALAM 83 is at CESR. This limit combined with limit for  $B(J/\psi \rightarrow A^0\gamma)$  (EDWARDS 82) excludes standard axion.
- <sup>33</sup> NICZYPORUK 83 is DESY-DORIS experiment. This limit together with lower limit  $9.2 \times 10^{-4}$  of  $B(\Upsilon \rightarrow A^0\gamma)$  derived from  $B(J/\psi(1S) \rightarrow A^0\gamma)$  limit (EDWARDS 82) excludes standard axion.
- <sup>34</sup> EDWARDS 82 looked for  $J/\psi \rightarrow \gamma A^0$  decays by looking for events with a single  $\gamma$  [of energy  $\sim 1/2$  the  $J/\psi(1S)$  mass], plus nothing else in the detector. The limit is inconsistent with the axion interpretation of the FAISSNER 81B result.
- <sup>35</sup> SIVERTZ 82 is CESR experiment. Looked for  $\Upsilon \rightarrow \gamma A^0$ ,  $A^0$  undetected. Limit for 1S (3S) is valid for  $m_{A^0} < 7$  GeV (4 GeV).

## **$A^0$ (Axion) Searches in Positronium Decays**

Decay or transition of positronium. Limits are for branching ratio.

VALUE	CL%	DOCUMENT ID	TECN	COMMENT
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• • • We do not use the following data for averages, fits, limits, etc. • • •

$<4.4 \times 10^{-5}$	90	<sup>36</sup> BADERT...	02	CNTR	$\alpha\text{-Ps} \rightarrow \gamma X_1 X_2,$ $m_{X_1} + m_{X_2} \leq 900$ keV
$<2 \times 10^{-4}$	90	MAENO	95	CNTR	$\alpha\text{-Ps} \rightarrow A^0 \gamma$ $m_{A^0} = 850\text{--}1013$ keV
$<3.0 \times 10^{-3}$	90	<sup>37</sup> ASAI	94	CNTR	$\alpha\text{-Ps} \rightarrow A^0 \gamma$ $m_{A^0} = 30\text{--}500$ keV
$<2.8 \times 10^{-5}$	90	<sup>38</sup> AKOPYAN	91	CNTR	$\alpha\text{-Ps} \rightarrow A^0 \gamma$ $(A^0 \rightarrow \gamma\gamma),$ $m_{A^0} < 30$ keV
$<1.1 \times 10^{-6}$	90	<sup>39</sup> ASAI	91	CNTR	$\alpha\text{-Ps} \rightarrow A^0 \gamma,$ $m_{A^0} < 800$ keV
$<3.8 \times 10^{-4}$	90	GNINENKO	90	CNTR	$\alpha\text{-Ps} \rightarrow A^0 \gamma, m_{A^0} <$ $30$ keV
$<(1\text{--}5) \times 10^{-4}$	95	<sup>40</sup> TSUCHIAKI	90	CNTR	$\alpha\text{-Ps} \rightarrow A^0 \gamma, m_{A^0} =$ $300\text{--}900$ keV
$<6.4 \times 10^{-5}$	90	<sup>41</sup> ORITO	89	CNTR	$\alpha\text{-Ps} \rightarrow A^0 \gamma,$ $m_{A^0} < 30$ keV
		<sup>42</sup> AMALDI	85	CNTR	Ortho-positronium
		<sup>43</sup> CARBONI	83	CNTR	Ortho-positronium

<sup>36</sup> BADERTSCHER 02 looked for a three-body decay of ortho-positronium into a photon and two penetrating (neutral or milli-charged) particles.

<sup>37</sup> The ASAI 94 limit is based on inclusive photon spectrum and is independent of  $A^0$  decay modes.

<sup>38</sup> The AKOPYAN 91 limit applies for a short-lived  $A^0$  with  $\tau_{A^0} < 10^{-13} m_{A^0}$  [keV] s.

<sup>39</sup> ASAI 91 limit translates to  $g_{A^0 e^+ e^-}^2 / 4\pi < 1.1 \times 10^{-11}$  (90%CL) for  $m_{A^0} < 800$  keV.

<sup>40</sup> The TSUCHIAKI 90 limit is based on inclusive photon spectrum and is independent of  $A^0$  decay modes.

<sup>41</sup> ORITO 89 limit translates to  $g_{A^0 e e}^2 / 4\pi < 6.2 \times 10^{-10}$ . Somewhat more sensitive limits are obtained for larger  $m_{A^0}$ :  $B < 7.6 \times 10^{-6}$  at 100 keV.

<sup>42</sup> AMALDI 85 set limits  $B(A^0 \gamma) / B(\gamma\gamma\gamma) < (1\text{--}5) \times 10^{-6}$  for  $m_{A^0} = 900\text{--}100$  keV which are about 1/10 of the CARBONI 83 limits.

<sup>43</sup> CARBONI 83 looked for orthopositronium  $\rightarrow A^0 \gamma$ . Set limit for  $A^0$  electron coupling squared,  $g(e e A^0)^2 / (4\pi) < 6. \times 10^{-10}\text{--}7. \times 10^{-9}$  for  $m_{A^0}$  from 150–900 keV (CL = 99.7%). This is about 1/10 of the bound from  $g\text{--}2$  experiments.

## $A^0$ (Axion) Search in Photoproduction

<u>VALUE</u>	<u>DOCUMENT ID</u>	<u>COMMENT</u>
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• • • We do not use the following data for averages, fits, limits, etc. • • •

<sup>44</sup> BASSOMPIE... 95  $m_{A^0} = 1.8 \pm 0.2$  MeV

<sup>44</sup> BASSOMPIERRE 95 is an extension of BASSOMPIERRE 93. They looked for a peak in the invariant mass of  $e^+ e^-$  pairs in the region  $m_{e^+ e^-} = 1.8 \pm 0.2$  MeV. They obtained bounds on the production rate  $A^0$  for  $\tau(A^0) = 10^{-18}\text{--}10^{-9}$  sec. They also found an excess of events in the range  $m_{e^+ e^-} = 2.1\text{--}3.5$  MeV.

## $A^0$ (Axion) Production in Hadron Collisions

Limits are for  $\sigma(A^0) / \sigma(\pi^0)$ .

<u>VALUE</u>	<u>CL%</u>	<u>EVTS</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●					
			45 AHMAD	97 SPEC	$e^+$ production
			46 LEINBERGER	97 SPEC	$A^0 \rightarrow e^+ e^-$
			47 GANZ	96 SPEC	$A^0 \rightarrow e^+ e^-$
			48 KAMEL	96 EMUL	$^{32}\text{S}$ emulsion, $A^0 \rightarrow e^+ e^-$
			49 BLUEMLEIN	92 BDMP	$A^0 N_Z \rightarrow \ell^+ \ell^- N_Z$
			50 MEIJERDREES	92 SPEC	$\pi^- p \rightarrow n A^0, A^0 \rightarrow e^+ e^-$
			51 BLUEMLEIN	91 BDMP	$A^0 \rightarrow e^+ e^-, 2\gamma$
			52 FAISSNER	89 OSPK	Beam dump, $A^0 \rightarrow e^+ e^-$
			53 DEBOER	88 RVUE	$A^0 \rightarrow e^+ e^-$
			54 EL-NADI	88 EMUL	$A^0 \rightarrow e^+ e^-$
			55 FAISSNER	88 OSPK	Beam dump, $A^0 \rightarrow 2\gamma$
			56 BADIER	86 BDMP	$A^0 \rightarrow e^+ e^-$
$<2. \times 10^{-11}$	90	0	57 BERGSMA	85 CHRM	CERN beam dump
$<1. \times 10^{-13}$	90	0	57 BERGSMA	85 CHRM	CERN beam dump
		24	58 FAISSNER	83 OSPK	Beam dump, $A^0 \rightarrow 2\gamma$
			59 FAISSNER	83B RVUE	LAMPF beam dump
			60 FRANK	83B RVUE	LAMPF beam dump
			61 HOFFMAN	83 CNTR	$\pi p \rightarrow n A^0$ ( $A^0 \rightarrow e^+ e^-$ )
			62 FETSCHER	82 RVUE	See FAISSNER 81B
		12	63 FAISSNER	81 OSPK	CERN PS $\nu$ wideband
		15	64 FAISSNER	81B OSPK	Beam dump, $A^0 \rightarrow 2\gamma$
		8	65 KIM	81 OSPK	26 GeV $pN \rightarrow A^0 X$
		0	66 FAISSNER	80 OSPK	Beam dump, $A^0 \rightarrow e^+ e^-$
$<1. \times 10^{-8}$	90		67 JACQUES	80 HLBC	28 GeV protons
$<1. \times 10^{-14}$	90		67 JACQUES	80 HLBC	Beam dump
			68 SOUKAS	80 CALO	28 GeV $p$ beam dump
			69 BECHIS	79 CNTR	
$<1. \times 10^{-8}$	90		70 COTEUS	79 OSPK	Beam dump
$<1. \times 10^{-3}$	95		71 DISHAW	79 CALO	400 GeV $pp$
$<1. \times 10^{-8}$	90		ALIBRAN	78 HYBR	Beam dump
$<6. \times 10^{-9}$	95		ASRATYAN	78B CALO	Beam dump
$<1.5 \times 10^{-8}$	90		72 BELLOTTI	78 HLBC	Beam dump
$<5.4 \times 10^{-14}$	90		72 BELLOTTI	78 HLBC	$m_{A^0}=1.5$ MeV
$<4.1 \times 10^{-9}$	90		72 BELLOTTI	78 HLBC	$m_{A^0}=1$ MeV
$<1. \times 10^{-8}$	90		73 BOSETTI	78B HYBR	Beam dump
			74 DONNELLY	78	
$<0.5 \times 10^{-8}$	90		HANSL	78D WIRE	Beam dump
			75 MICELMAC...	78	
			76 VYSOTSKII	78	

- 45 AHMAD 97 reports a result of APEX Collaboration which studied positron production in  $^{238}\text{U}+^{232}\text{Ta}$  and  $^{238}\text{U}+^{181}\text{Ta}$  collisions, without requiring a coincident electron. No narrow lines were found for  $250 < E_{e^+} < 750$  keV.
- 46 LEINBERGER 97 (ORANGE Collaboration) at GSI looked for a narrow sum-energy  $e^+e^-$ -line at  $\sim 635$  keV in  $^{238}\text{U}+^{181}\text{Ta}$  collision. Limits on the production probability for a narrow sum-energy  $e^+e^-$  line are set. See their Table 2.
- 47 GANZ 96 (EPos II Collaboration) has placed upper bounds on the production cross section of  $e^+e^-$  pairs from  $^{238}\text{U}+^{181}\text{Ta}$  and  $^{238}\text{U}+^{232}\text{Th}$  collisions at GSI. See Table 2 for limits both for back-to-back and isotropic configurations of  $e^+e^-$  pairs. These limits rule out the existence of peaks in the  $e^+e^-$  sum-energy distribution, reported by an earlier version of this experiment.
- 48 KAMEL 96 looked for  $e^+e^-$  pairs from the collision of  $^{32}\text{S}$  (200 GeV/nucleon) and emulsion. No evidence of mass peaks is found in the region of sensitivity  $m_{ee} > 2$  MeV.
- 49 BLUEMLEIN 92 is a proton beam dump experiment at Serpukhov with a secondary target to induce Bethe-Heitler production of  $e^+e^-$  or  $\mu^+\mu^-$  from the produce  $A^0$ . See Fig. 5 for the excluded region in  $m_{A^0-x}$  plane. For the standard axion,  $0.3 < x < 25$  is excluded at 95% CL. If combined with BLUEMLEIN 91,  $0.008 < x < 32$  is excluded.
- 50 MEIJERDREES 92 give  $\Gamma(\pi^- p \rightarrow n A^0) \cdot \text{B}(A^0 \rightarrow e^+e^-) / \Gamma(\pi^- p \rightarrow \text{all}) < 10^{-5}$  (90% CL) for  $m_{A^0} = 100$  MeV,  $\tau_{A^0} = 10^{-11}-10^{-23}$  sec. Limits ranging from  $2.5 \times 10^{-3}$  to  $10^{-7}$  are given for  $m_{A^0} = 25-136$  MeV.
- 51 BLUEMLEIN 91 is a proton beam dump experiment at Serpukhov. No candidate event for  $A^0 \rightarrow e^+e^-, 2\gamma$  are found. Fig. 6 gives the excluded region in  $m_{A^0-x}$  plane ( $x = \tan\beta = v_2/v_1$ ). Standard axion is excluded for  $0.2 < m_{A^0} < 3.2$  MeV for most  $x > 1$ ,  $0.2-11$  MeV for most  $x < 1$ .
- 52 FAISSNER 89 searched for  $A^0 \rightarrow e^+e^-$  in a proton beam dump experiment at SIN. No excess of events was observed over the background. A standard axion with mass  $2m_e-20$  MeV is excluded. Lower limit on  $f_{A^0}$  of  $\simeq 10^4$  GeV is given for  $m_{A^0} = 2m_e-20$  MeV.
- 53 DEBOER 88 reanalyze EL-NADI 88 data and claim evidence for three distinct states with mass  $\sim 1.1$ ,  $\sim 2.1$ , and  $\sim 9$  MeV, lifetimes  $10^{-16}-10^{-15}$  s decaying to  $e^+e^-$  and note the similarity of the data with those of a cosmic-ray experiment by Bristol group (B.M. Anand, Proc. of the Royal Society of London, Section A **A22** 183 (1953)). For a criticism see PERKINS 89, who suggests that the events are compatible with  $\pi^0$  Dalitz decay. DEBOER 89B is a reply which contests the criticism.
- 54 EL-NADI 88 claim the existence of a neutral particle decaying into  $e^+e^-$  with mass  $1.60 \pm 0.59$  MeV, lifetime  $(0.15 \pm 0.01) \times 10^{-14}$  s, which is produced in heavy ion interactions with emulsion nuclei at  $\sim 4$  GeV/c/nucleon.
- 55 FAISSNER 88 is a proton beam dump experiment at SIN. They found no candidate event for  $A^0 \rightarrow \gamma\gamma$ . A standard axion decaying to  $2\gamma$  is excluded except for a region  $x \simeq 1$ . Lower limit on  $f_{A^0}$  of  $10^2-10^3$  GeV is given for  $m_{A^0} = 0.1-1$  MeV.
- 56 BADIER 86 did not find long-lived  $A^0$  in 300 GeV  $\pi^-$  Beam Dump Experiment that decays into  $e^+e^-$  in the mass range  $m_{A^0} = (20-200)$  MeV, which excludes the  $A^0$  decay constant  $f(A^0)$  in the interval (60-600) GeV. See their figure 6 for excluded region on  $f(A^0)-m_{A^0}$  plane.
- 57 BERGSMA 85 look for  $A^0 \rightarrow 2\gamma, e^+e^-, \mu^+\mu^-$ . First limit above is for  $m_{A^0} = 1$  MeV; second is for 200 MeV. See their figure 4 for excluded region on  $f_{A^0}-m_{A^0}$  plane, where  $f_{A^0}$  is  $A^0$  decay constant. For Peccei-Quinn PECCEI 77  $A^0$ ,  $m_{A^0} < 180$  keV and  $\tau > 0.037$  s. (CL = 90%). For the axion of FAISSNER 81B at 250 keV, BERGSMA 85 expect 15 events but observe zero.

- 58 FAISSNER 83 observed 19 1- $\gamma$  and 12 2- $\gamma$  events where a background of 4.8 and 2.3 respectively is expected. A small-angle peak is observed even if iron wall is set in front of the decay region.
- 59 FAISSNER 83B extrapolate SIN  $\gamma$  signal to LAMPF  $\nu$  experimental condition. Resulting 370  $\gamma$ 's are not at variance with LAMPF upper limit of 450  $\gamma$ 's. Derived from LAMPF limit that  $[d\sigma(A^0)/d\omega \text{ at } 90^\circ] m_{A^0}/\tau_{A^0} < 14 \times 10^{-35} \text{ cm}^2 \text{ sr}^{-1} \text{ MeV ms}^{-1}$ . See comment on FRANK 83B.
- 60 FRANK 83B stress the importance of LAMPF data bins with negative net signal. By statistical analysis say that LAMPF and SIN-A0 are at variance when extrapolation by phase-space model is done. They find LAMPF upper limit is 248 not 450  $\gamma$ 's. See comment on FAISSNER 83B.
- 61 HOFFMAN 83 set CL = 90% limit  $d\sigma/dt B(e^+ e^-) < 3.5 \times 10^{-32} \text{ cm}^2/\text{GeV}^2$  for  $140 < m_{A^0} < 160 \text{ MeV}$ . Limit assumes  $\tau(A^0) < 10^{-9} \text{ s}$ .
- 62 FETSCHER 82 reanalyzes SIN beam-dump data of FAISSNER 81. Claims no evidence for axion since 2- $\gamma$  peak rate remarkably decreases if iron wall is set in front of the decay region.
- 63 FAISSNER 81 see excess  $\mu e$  events. Suggest axion interactions.
- 64 FAISSNER 81B is SIN 590 MeV proton beam dump. Observed  $14.5 \pm 5.0$  events of 2 $\gamma$  decay of long-lived neutral penetrating particle with  $m_{2\gamma} \lesssim 1 \text{ MeV}$ . Axion interpretation with  $\eta$ - $A^0$  mixing gives  $m_{A^0} = 250 \pm 25 \text{ keV}$ ,  $\tau_{(2\gamma)} = (7.3 \pm 3.7) \times 10^{-3} \text{ s}$  from above rate. See critical remarks below in comments of FETSCHER 82, FAISSNER 83, FAISSNER 83B, FRANK 83B, and BERGSMA 85. Also see in the next subsection ALEK-SEEV 82, CAVAINAC 83, and ANANEV 85.
- 65 KIM 81 analyzed 8 candidates for  $A^0 \rightarrow 2\gamma$  obtained by Aachen-Padova experiment at CERN with 26 GeV protons on Be. Estimated axion mass is about 300 keV and lifetime is  $(0.86 \sim 5.6) \times 10^{-3} \text{ s}$  depending on models. Faissner (private communication), says axion production underestimated and mass overestimated. Correct value around 200 keV.
- 66 FAISSNER 80 is SIN beam dump experiment with 590 MeV protons looking for  $A^0 \rightarrow e^+ e^-$  decay. Assuming  $A^0/\pi^0 = 5.5 \times 10^{-7}$ , obtained decay rate limit  $20/(A^0 \text{ mass}) \text{ MeV/s}$  (CL = 90%), which is about  $10^{-7}$  below theory and interpreted as upper limit to  $m_{A^0} < 2m_{e^-}$ .
- 67 JACQUES 80 is a BNL beam dump experiment. First limit above comes from nonobservation of excess neutral-current-type events  $[\sigma(\text{production})\sigma(\text{interaction}) < 7. \times 10^{-68} \text{ cm}^4, \text{ CL} = 90\%]$ . Second limit is from nonobservation of axion decays into 2 $\gamma$ 's or  $e^+ e^-$ , and for axion mass a few MeV.
- 68 SOUKAS 80 at BNL observed no excess of neutral-current-type events in beam dump.
- 69 BECHIS 79 looked for the axion production in low energy electron Bremsstrahlung and the subsequent decay into either 2 $\gamma$  or  $e^+ e^-$ . No signal found. CL = 90% limits for model parameter(s) are given.
- 70 COTEUS 79 is a beam dump experiment at BNL.
- 71 DISHAW 79 is a calorimetric experiment and looks for low energy tail of energy distributions due to energy lost to weakly interacting particles.
- 72 BELLOTTI 78 first value comes from search for  $A^0 \rightarrow e^+ e^-$ . Second value comes from search for  $A^0 \rightarrow 2\gamma$ , assuming mass  $< 2m_{e^-}$ . For any mass satisfying this, limit is above value  $\times (\text{mass}^{-4})$ . Third value uses data of PL 60B 401 and quotes  $\sigma(\text{production})\sigma(\text{interaction}) < 10^{-67} \text{ cm}^4$ .
- 73 BOSETTI 78B quotes  $\sigma(\text{production})\sigma(\text{interaction}) < 2. \times 10^{-67} \text{ cm}^4$ .
- 74 DONNELLY 78 examines data from reactor neutrino experiments of REINES 76 and GURR 74 as well as SLAC beam dump experiment. Evidence is negative.
- 75 MICELMACHER 78 finds no evidence of axion existence in reactor experiments of REINES 76 and GURR 74. (See reference under DONNELLY 78 below).

<sup>76</sup> VYSOTSKII 78 derived lower limit for the axion mass 25 keV from luminosity of the sun and 200 keV from red supergiants.

## **A<sup>0</sup> (Axion) Searches in Reactor Experiments**

<u>VALUE</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
	<sup>77</sup> ALTMANN 95	CNTR	Reactor; $A^0 \rightarrow e^+ e^-$
	<sup>78</sup> KETOV 86	SPEC	Reactor, $A^0 \rightarrow \gamma\gamma$
	<sup>79</sup> KOCH 86	SPEC	Reactor; $A^0 \rightarrow \gamma\gamma$
	<sup>80</sup> DATAR 82	CNTR	Light water reactor
	<sup>81</sup> VUILLEUMIER 81	CNTR	Reactor, $A^0 \rightarrow 2\gamma$
<sup>77</sup> ALTMANN 95 looked for $A^0$ decaying into $e^+ e^-$ from the Bugey5 nuclear reactor. They obtain an upper limit on the $A^0$ production rate of $\omega(A^0)/\omega(\gamma) \times B(A^0 \rightarrow e^+ e^-) < 10^{-16}$ for $m_{A^0} = 1.5$ MeV at 90% CL. The limit is weaker for heavier $A^0$ . In the case of a standard axion, this limit excludes a mass in the range $2m_e < m_{A^0} < 4.8$ MeV at 90% CL. See Fig. 5 of their paper for exclusion limits of axion-like resonances $Z^0$ in the $(m_{X^0}, f_{X^0})$ plane.			
<sup>78</sup> KETOV 86 searched for $A^0$ at the Rovno nuclear power plant. They found an upper limit on the $A^0$ production probability of $0.8 [100 \text{ keV}/m_{A^0}]^6 \times 10^{-6}$ per fission. In the standard axion model, this corresponds to $m_{A^0} > 150$ keV. Not valid for $m_{A^0} \gtrsim 1$ MeV.			
<sup>79</sup> KOCH 86 searched for $A^0 \rightarrow \gamma\gamma$ at nuclear power reactor Biblis A. They found an upper limit on the $A^0$ production rate of $\omega(A^0)/\omega(\gamma(M1)) < 1.5 \times 10^{-10}$ (CL=95%). Standard axion with $m_{A^0} = 250$ keV gives $10^{-5}$ for the ratio. Not valid for $m_{A^0} > 1022$ keV.			
<sup>80</sup> DATAR 82 looked for $A^0 \rightarrow 2\gamma$ in neutron capture ( $np \rightarrow dA^0$ ) at Tarapur 500 MW reactor. Sensitive to sum of $l = 0$ and $l = 1$ amplitudes. With ZEHNDER 81 [ $(l = 0) - (l = 1)$ ] result, assert nonexistence of standard $A^0$ .			
<sup>81</sup> VUILLEUMIER 81 is at Grenoble reactor. Set limit $m_{A^0} < 280$ keV.			

## **A<sup>0</sup> (Axion) and Other Light Boson (X<sup>0</sup>) Searches in Nuclear Transitions**

Limits are for branching ratio.

<u>VALUE</u>	<u>CL%</u>	<u>EVTS</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●					
$< 8.5 \times 10^{-6}$	90		<sup>82</sup> DERBIN	02 CNTR	$^{125m}\text{Te}$ decay
			<sup>83</sup> DEBOER	97C RVUE	M1 transitions
$< 5.5 \times 10^{-10}$	95		<sup>84</sup> TSUNODA	95 CNTR	$^{252}\text{Cf}$ fission, $A^0 \rightarrow ee$
$< 1.2 \times 10^{-6}$	95		<sup>85</sup> MINOWA	93 CNTR	$^{139}\text{La}^* \rightarrow ^{139}\text{La}A^0$
$< 2 \times 10^{-4}$	90		<sup>86</sup> HICKS	92 CNTR	$^{35}\text{S}$ decay, $A^0 \rightarrow \gamma\gamma$
$< 1.5 \times 10^{-9}$	95		<sup>87</sup> ASANUMA	90 CNTR	$^{241}\text{Am}$ decay
$< (0.4-10) \times 10^{-3}$	95		<sup>88</sup> DEBOER	90 CNTR	$^8\text{Be}^* \rightarrow ^8\text{Be}A^0$ , $A^0 \rightarrow e^+ e^-$
$< (0.2-1) \times 10^{-3}$	90		<sup>89</sup> BINI	89 CNTR	$^{16}\text{O}^* \rightarrow ^{16}\text{O}X^0$ , $X^0 \rightarrow e^+ e^-$
			<sup>90</sup> AVIGNONE	88 CNTR	$\text{Cu}^* \rightarrow \text{Cu}A^0$ ( $A^0 \rightarrow$ $2\gamma$ , $A^0 e \rightarrow \gamma e$ , $A^0 Z \rightarrow \gamma Z$ )

< 1.5 × 10 <sup>-4</sup>	90	91	DATAR	88	CNTR	$^{12}\text{C}^* \rightarrow ^{12}\text{C}A^0,$ $A^0 \rightarrow e^+e^-$
< 5 × 10 <sup>-3</sup>	90	92	DEBOER	88C	CNTR	$^{16}\text{O}^* \rightarrow ^{16}\text{O}X^0,$ $X^0 \rightarrow e^+e^-$
< 3.4 × 10 <sup>-5</sup>	95	93	DOEHNER	88	SPEC	$^2\text{H}^*, A^0 \rightarrow e^+e^-$
< 4 × 10 <sup>-4</sup>	95	94	SAVAGE	88	CNTR	Nuclear decay (isovector)
< 3 × 10 <sup>-3</sup>	95	94	SAVAGE	88	CNTR	Nuclear decay (isoscalar)
< 0.106	90	95	HALLIN	86	SPEC	$^6\text{Li}$ isovector decay
<10.8	90	95	HALLIN	86	SPEC	$^{10}\text{B}$ isoscalar decays
< 2.2	90	95	HALLIN	86	SPEC	$^{14}\text{N}$ isoscalar decays
< 4 × 10 <sup>-4</sup>	90	0	96 SAVAGE	86B	CNTR	$^{14}\text{N}^*$
			97 ANANEV	85	CNTR	$\text{Li}^*, \text{deut}^* A^0 \rightarrow 2\gamma$
			98 CAVAIGNAC	83	CNTR	$^{97}\text{Nb}^*, \text{deut}^* \text{ transition}$ $A^0 \rightarrow 2\gamma$
			99 ALEKSEEV	82B	CNTR	$\text{Li}^*, \text{deut}^* \text{ transition}$ $A^0 \rightarrow 2\gamma$
			100 LEHMANN	82	CNTR	$\text{Cu}^* \rightarrow \text{Cu}A^0$ $(A^0 \rightarrow 2\gamma)$
		0	101 ZEHNDER	82	CNTR	$\text{Li}^*, \text{Nb}^* \text{ decay, } n\text{-capt.}$
		0	102 ZEHNDER	81	CNTR	$\text{Ba}^* \rightarrow \text{Ba}A^0$ $(A^0 \rightarrow 2\gamma)$
			103 CALAPRICE	79		Carbon

<sup>82</sup>DERBIN 02 looked for the axion emission in an M1 transition in  $^{125m}\text{Te}$  decay. They looked for a possible presence of a shifted energy spectrum in gamma rays due to the undetected axion.

<sup>83</sup>DEBOER 97C reanalyzed the existent data on Nuclear M1 transitions and find that a 9 MeV boson decaying into  $e^+e^-$  would explain the excess of events with large opening angles. See also DEBOER 01 for follow-up experiments.

<sup>84</sup>TSUNODA 95 looked for axion emission when  $^{252}\text{Cf}$  undergoes a spontaneous fission, with the axion decaying into  $e^+e^-$ . The bound is for  $m_{A^0}=40$  MeV. It improves to  $2.5 \times 10^{-5}$  for  $m_{A^0}=200$  MeV.

<sup>85</sup>MINOWA 93 studied chain process,  $^{139}\text{Ce} \rightarrow ^{139}\text{La}^*$  by electron capture and M1 transition of  $^{139}\text{La}^*$  to the ground state. It does not assume decay modes of  $A^0$ . The bound applies for  $m_{A^0} < 166$  keV.

<sup>86</sup>HICKS 92 bound is applicable for  $\tau_{X^0} < 4 \times 10^{-11}$  sec.

<sup>87</sup>The ASANUMA 90 limit is for the branching fraction of  $X^0$  emission per  $^{241}\text{Am}$   $\alpha$  decay and valid for  $\tau_{X^0} < 3 \times 10^{-11}$  s.

<sup>88</sup>The DEBOER 90 limit is for the branching ratio  $^8\text{Be}^* (18.15 \text{ MeV}, 1^+) \rightarrow ^8\text{Be}A^0, A^0 \rightarrow e^+e^-$  for the mass range  $m_{A^0} = 4\text{--}15$  MeV.

<sup>89</sup>The BINI 89 limit is for the branching fraction of  $^{16}\text{O}^* (6.05 \text{ MeV}, 0^+) \rightarrow ^{16}\text{O}X^0, X^0 \rightarrow e^+e^-$  for  $m_X = 1.5\text{--}3.1$  MeV.  $\tau_{X^0} \lesssim 10^{-11}$  s is assumed. The spin-parity of  $X$  is restricted to  $0^+$  or  $1^-$ .

<sup>90</sup>AVIGNONE 88 looked for the 1115 keV transition  $\text{C}^* \rightarrow \text{Cu}A^0$ , either from  $A^0 \rightarrow 2\gamma$  in-flight decay or from the secondary  $A^0$  interactions by Compton and by Primakoff processes. Limits for axion parameters are obtained for  $m_{A^0} < 1.1$  MeV.

<sup>91</sup>DATAR 88 rule out light pseudoscalar particle emission through its decay  $A^0 \rightarrow e^+e^-$  in the mass range 1.02–2.5 MeV and lifetime range  $10^{-13}\text{--}10^{-8}$  s. The above limit is for  $\tau = 5 \times 10^{-13}$  s and  $m = 1.7$  MeV; see the paper for the  $\tau$ - $m$  dependence of the limit.

- 92 The limit is for the branching fraction of  $^{16}\text{O}^*(6.05 \text{ MeV}, 0^+) \rightarrow ^{16}\text{O}X^0, X^0 \rightarrow e^+e^-$  against internal pair conversion for  $m_{X^0} = 1.7 \text{ MeV}$  and  $\tau_{X^0} < 10^{-11} \text{ s}$ . Similar limits are obtained for  $m_{X^0} = 1.3\text{--}3.2 \text{ MeV}$ . The spin parity of  $X^0$  must be either  $0^+$  or  $1^-$ . The limit at 1.7 MeV is translated into a limit for the  $X^0$ -nucleon coupling constant:  $g_{X^0 NN}^2/4\pi < 2.3 \times 10^{-9}$ .
- 93 The DOEHNER 88 limit is for  $m_{A^0} = 1.7 \text{ MeV}$ ,  $\tau(A^0) < 10^{-10} \text{ s}$ . Limits less than  $10^{-4}$  are obtained for  $m_{A^0} = 1.2\text{--}2.2 \text{ MeV}$ .
- 94 SAVAGE 88 looked for  $A^0$  that decays into  $e^+e^-$  in the decay of the 9.17 MeV  $J^P = 2^+$  state in  $^{14}\text{N}$ , 17.64 MeV state  $J^P = 1^+$  in  $^8\text{Be}$ , and the 18.15 MeV state  $J^P = 1^+$  in  $^8\text{Be}$ . This experiment constrains the isovector coupling of  $A^0$  to hadrons, if  $m_{A^0} = (1.1 \rightarrow 2.2) \text{ MeV}$  and the isoscalar coupling of  $A^0$  to hadrons, if  $m_{A^0} = (1.1 \rightarrow 2.6) \text{ MeV}$ . Both limits are valid only if  $\tau(A^0) \lesssim 1 \times 10^{-11} \text{ s}$ .
- 95 Limits are for  $\Gamma(A^0(1.8 \text{ MeV}))/\Gamma(\pi M1)$ ; i.e., for 1.8 MeV axion emission normalized to the rate for internal emission of  $e^+e^-$  pairs. Valid for  $\tau_{A^0} < 2 \times 10^{-11} \text{ s}$ .  $^6\text{Li}$  isovector decay data strongly disfavor PECCEI 86 model I, whereas the  $^{10}\text{B}$  and  $^{14}\text{N}$  isoscalar decay data strongly reject PECCEI 86 model II and III.
- 96 SAVAGE 86B looked for  $A^0$  that decays into  $e^+e^-$  in the decay of the 9.17 MeV  $J^P = 2^+$  state in  $^{14}\text{N}$ . Limit on the branching fraction is valid if  $\tau_{A^0} \lesssim 1 \times 10^{-11} \text{ s}$  for  $m_{A^0} = (1.1\text{--}1.7) \text{ MeV}$ . This experiment constrains the iso-vector coupling of  $A^0$  to hadrons.
- 97 ANANEV 85 with IBR-2 pulsed reactor exclude standard  $A^0$  at CL = 95% masses below 470 keV ( $\text{Li}^*$  decay) and below  $2m_e$  for deuteron\* decay.
- 98 CAVIGNAC 83 at Bugey reactor exclude axion at any  $m_{97\text{Nb}^*\text{decay}}$  and axion with  $m_{A^0}$  between 275 and 288 keV (deuteron\* decay).
- 99 ALEKSEEV 82 with IBR-2 pulsed reactor exclude standard  $A^0$  at CL = 95% mass-ranges  $m_{A^0} < 400 \text{ keV}$  ( $\text{Li}^*$  decay) and  $330 \text{ keV} < m_{A^0} < 2.2 \text{ MeV}$ . (deuteron\* decay).
- 100 LEHMANN 82 obtained  $A^0 \rightarrow 2\gamma$  rate  $< 6.2 \times 10^{-5}/\text{s}$  (CL = 95%) excluding  $m_{A^0}$  between 100 and 1000 keV.
- 101 ZEHNDER 82 used Goesgen 2.8GW light-water reactor to check  $A^0$  production. No  $2\gamma$  peak in  $\text{Li}^*$ ,  $\text{Nb}^*$  decay (both single  $p$  transition) nor in  $n$  capture (combined with previous  $\text{Ba}^*$  negative result) rules out standard  $A^0$ . Set limit  $m_{A^0} < 60 \text{ keV}$  for any  $A^0$ .
- 102 ZEHNDER 81 looked for  $\text{Ba}^* \rightarrow A^0\text{Ba}$  transition with  $A^0 \rightarrow 2\gamma$ . Obtained  $2\gamma$  coincidence rate  $< 2.2 \times 10^{-5}/\text{s}$  (CL = 95%) excluding  $m_{A^0} > 160 \text{ keV}$  (or 200 keV depending on Higgs mixing). However, see BARROSO 81.
- 103 CALAPRICE 79 saw no axion emission from excited states of carbon. Sensitive to axion mass between 1 and 15 MeV.

## $A^0$ (Axion) Limits from Its Electron Coupling

Limits are for  $\tau(A^0 \rightarrow e^+e^-)$ .

VALUE (s)	CL%	DOCUMENT ID	TECN	COMMENT
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• • • We do not use the following data for averages, fits, limits, etc. • • •

none	$4 \times 10^{-16}$ – $4.5 \times 10^{-12}$	90	104 BROSS	91	BDMP	$eN \rightarrow eA^0 N$ ( $A^0 \rightarrow ee$ )
			105 GUO	90	BDMP	$eN \rightarrow eA^0 N$ ( $A^0 \rightarrow ee$ )
			106 BJORKEN	88	CALO	$A \rightarrow e^+e^-$ or $2\gamma$
			107 BLINOV	88	MD1	$ee \rightarrow eeA^0$ ( $A^0 \rightarrow ee$ )
none	$1 \times 10^{-14}$ – $1 \times 10^{-10}$	90	108 RIORDAN	87	BDMP	$eN \rightarrow eA^0 N$ ( $A^0 \rightarrow ee$ )
none	$1 \times 10^{-14}$ – $1 \times 10^{-11}$	90	109 BROWN	86	BDMP	$eN \rightarrow eA^0 N$ ( $A^0 \rightarrow ee$ )
none	$6 \times 10^{-14}$ – $9 \times 10^{-11}$	95	110 DAVIER	86	BDMP	$eN \rightarrow eA^0 N$ ( $A^0 \rightarrow ee$ )
none	$3 \times 10^{-13}$ – $1 \times 10^{-7}$	90	111 KONAKA	86	BDMP	$eN \rightarrow eA^0 N$ ( $A^0 \rightarrow ee$ )

<sup>104</sup> The listed BROSS 91 limit is for  $m_{A^0} = 1.14$  MeV.  $B(A^0 \rightarrow e^+e^-) = 1$  assumed. Excluded domain in the  $\tau_{A^0}$ – $m_{A^0}$  plane extends up to  $m_{A^0} \approx 7$  MeV (see Fig. 5). Combining with electron  $g$ –2 constraint, axions coupling only to  $e^+e^-$  ruled out for  $m_{A^0} < 4.8$  MeV (90%CL).

<sup>105</sup> GUO 90 use the same apparatus as BROWN 86 and improve the previous limit in the shorter lifetime region. Combined with  $g$ –2 constraint, axions coupling only to  $e^+e^-$  are ruled out for  $m_{A^0} < 2.7$  MeV (90% CL).

<sup>106</sup> BJORKEN 88 reports limits on axion parameters ( $f_A, m_A, \tau_A$ ) for  $m_{A^0} < 200$  MeV from electron beam-dump experiment with production via Primakoff photoproduction, bremsstrahlung from electrons, and resonant annihilation of positrons on atomic electrons.

<sup>107</sup> BLINOV 88 assume zero spin,  $m = 1.8$  MeV and lifetime  $< 5 \times 10^{-12}$  s and find  $\Gamma(A^0 \rightarrow \gamma\gamma)B(A^0 \rightarrow e^+e^-) < 2$  eV (CL=90%).

<sup>108</sup> Assumes  $A^0\gamma\gamma$  coupling is small and hence Primakoff production is small. Their figure 2 shows limits on axions for  $m_{A^0} < 15$  MeV.

<sup>109</sup> Uses electrons in hadronic showers from an incident 800 GeV proton beam. Limits for  $m_{A^0} < 15$  MeV are shown in their figure 3.

<sup>110</sup>  $m_{A^0} = 1.8$  MeV assumed. The excluded domain in the  $\tau_{A^0}$ – $m_{A^0}$  plane extends up to  $m_{A^0} \approx 14$  MeV, see their figure 4.

<sup>111</sup> The limits are obtained from their figure 3. Also given is the limit on the  $A^0\gamma\gamma$ – $A^0e^+e^-$  coupling plane by assuming Primakoff production.

### Search for $A^0$ (Axion) Resonance in Bhabha Scattering

The limit is for  $\Gamma(A^0)[B(A^0 \rightarrow e^+e^-)]^2$ .

VALUE ( $10^{-3}$ eV)	CL%	DOCUMENT ID	TECN	COMMENT
$< 1.3$	97	<sup>112</sup> HALLIN	92	CNTR $m_{A^0} = 1.75$ – $1.88$ MeV
none 0.0016–0.47	90	<sup>113</sup> HENDERSON	92C	CNTR $m_{A^0} = 1.5$ – $1.86$ MeV
$< 2.0$	90	<sup>114</sup> WU	92	CNTR $m_{A^0} = 1.56$ – $1.86$ MeV
$< 0.013$	95	TSERTOS	91	CNTR $m_{A^0} = 1.832$ MeV
none 0.19–3.3	95	<sup>115</sup> WIDMANN	91	CNTR $m_{A^0} = 1.78$ – $1.92$ MeV
$< 5$	97	BAUER	90	CNTR $m_{A^0} = 1.832$ MeV
none 0.09–1.5	95	<sup>116</sup> JUDGE	90	CNTR $m_{A^0} = 1.832$ MeV, elastic

< 1.9	97	117 TSERTOS	89 CNTR	$m_{A^0} = 1.82$ MeV
<(10-40)	97	117 TSERTOS	89 CNTR	$m_{A^0} = 1.51-1.65$ MeV
<(1-2.5)	97	117 TSERTOS	89 CNTR	$m_{A^0} = 1.80-1.86$ MeV
< 31	95	LORENZ	88 CNTR	$m_{A^0} = 1.646$ MeV
< 94	95	LORENZ	88 CNTR	$m_{A^0} = 1.726$ MeV
< 23	95	LORENZ	88 CNTR	$m_{A^0} = 1.782$ MeV
< 19	95	LORENZ	88 CNTR	$m_{A^0} = 1.837$ MeV
< 3.8	97	118 TSERTOS	88 CNTR	$m_{A^0} = 1.832$ MeV
		119 VANKLINKEN	88 CNTR	
		120 MAIER	87 CNTR	
<2500	90	MILLS	87 CNTR	$m_{A^0} = 1.8$ MeV
		121 VONWIMMER	87 CNTR	

112 HALLIN 92 quote limits on lifetime,  $8 \times 10^{-14} - 5 \times 10^{-13}$  sec depending on mass, assuming  $B(A^0 \rightarrow e^+ e^-) = 100\%$ . They say that TSERTOS 91 overstated their sensitivity by a factor of 3.

113 HENDERSON 92C exclude axion with lifetime  $\tau_{A^0} = 1.4 \times 10^{-12} - 4.0 \times 10^{-10}$  s, assuming  $B(A^0 \rightarrow e^+ e^-) = 100\%$ . HENDERSON 92C also exclude a vector boson with  $\tau = 1.4 \times 10^{-12} - 6.0 \times 10^{-10}$  s.

114 WU 92 quote limits on lifetime  $> 3.3 \times 10^{-13}$  s assuming  $B(A^0 \rightarrow e^+ e^-) = 100\%$ . They say that TSERTOS 89 overestimate the limit by a factor of  $\pi/2$ . WU 92 also quote a bound for vector boson,  $\tau > 8.2 \times 10^{-13}$  s.

115 WIDMANN 91 bound applies exclusively to the case  $B(A^0 \rightarrow e^+ e^-) = 1$ , since the detection efficiency varies substantially as  $\Gamma(A^0)_{\text{total}}$  changes. See their Fig. 6.

116 JUDGE 90 excludes an elastic pseudoscalar  $e^+ e^-$  resonance for  $4.5 \times 10^{-13}$  s  $< \tau(A^0) < 7.5 \times 10^{-12}$  s (95% CL) at  $m_{A^0} = 1.832$  MeV. Comparable limits can be set for  $m_{A^0} = 1.776-1.856$  MeV.

117 See also TSERTOS 88B in references.

118 The upper limit listed in TSERTOS 88 is too large by a factor of 4. See TSERTOS 88B, footnote 3.

119 VANKLINKEN 88 looked for relatively long-lived resonance ( $\tau = 10^{-10} - 10^{-12}$  s). The sensitivity is not sufficient to exclude such a narrow resonance.

120 MAIER 87 obtained limits  $R\Gamma \lesssim 60$  eV (100 eV) at  $m_{A^0} \simeq 1.64$  MeV (1.83 MeV) for energy resolution  $\Delta E_{\text{cm}} \simeq 3$  keV, where  $R$  is the resonance cross section normalized to that of Bhabha scattering, and  $\Gamma = \Gamma_{e^+ e^-}^2 / \Gamma_{\text{total}}$ . For a discussion implying that  $\Delta E_{\text{cm}} \simeq 10$  keV, see TSERTOS 89.

121 VONWIMMERSPERG 87 measured Bhabha scattering for  $E_{\text{cm}} = 1.37-1.86$  MeV and found a possible peak at 1.73 with  $\int \sigma dE_{\text{cm}} = 14.5 \pm 6.8$  keV·b. For a comment and a reply, see VANKLINKEN 88B and VONWIMMERSPERG 88. Also see CONNELL 88.

### Search for $A^0$ (Axion) Resonance in $e^+ e^- \rightarrow \gamma\gamma$

The limit is for  $\Gamma(A^0 \rightarrow e^+ e^-) \cdot \Gamma(A^0 \rightarrow \gamma\gamma) / \Gamma_{\text{total}}$

VALUE ( $10^{-3}$ eV)	CL%	DOCUMENT ID	TECN	COMMENT
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• • • We do not use the following data for averages, fits, limits, etc. • • •

< 0.18	95	VO	94	CNTR	$m_{A^0}=1.1$ MeV
< 1.5	95	VO	94	CNTR	$m_{A^0}=1.4$ MeV
<12	95	VO	94	CNTR	$m_{A^0}=1.7$ MeV
< 6.6	95	<sup>122</sup> TRZASKA	91	CNTR	$m_{A^0} = 1.8$ MeV
< 4.4	95	WIDMANN	91	CNTR	$m_{A^0}= 1.78-1.92$ MeV
		<sup>123</sup> FOX	89	CNTR	
< 0.11	95	<sup>124</sup> MINOWA	89	CNTR	$m_{A^0} = 1.062$ MeV
<33	97	CONNELL	88	CNTR	$m_{A^0} = 1.580$ MeV
<42	97	CONNELL	88	CNTR	$m_{A^0} = 1.642$ MeV
<73	97	CONNELL	88	CNTR	$m_{A^0} = 1.782$ MeV
<79	97	CONNELL	88	CNTR	$m_{A^0} = 1.832$ MeV

<sup>122</sup> TRZASKA 91 also give limits in the range  $(6.6-30) \times 10^{-3}$  eV (95%CL) for  $m_{A^0} = 1.6-2.0$  MeV.

<sup>123</sup> FOX 89 measured positron annihilation with an electron in the source material into two photons and found no signal at 1.062 MeV ( $< 9 \times 10^{-5}$  of two-photon annihilation at rest).

<sup>124</sup> Similar limits are obtained for  $m_{A^0} = 1.045-1.085$  MeV.

### Search for $X^0$ (Light Boson) Resonance in $e^+ e^- \rightarrow \gamma\gamma\gamma$

The limit is for  $\Gamma(X^0 \rightarrow e^+ e^-) \cdot \Gamma(X^0 \rightarrow \gamma\gamma\gamma) / \Gamma_{\text{total}}$ . C invariance forbids spin-0  $X^0$  coupling to both  $e^+ e^-$  and  $\gamma\gamma\gamma$ .

VALUE ( $10^{-3}$ eV)	CL%	DOCUMENT ID	TECN	COMMENT
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
< 0.2	95	<sup>125</sup> VO	94	CNTR $m_{X^0}=1.1-1.9$ MeV
< 1.0	95	<sup>126</sup> VO	94	CNTR $m_{X^0}=1.1$ MeV
< 2.5	95	<sup>126</sup> VO	94	CNTR $m_{X^0}=1.4$ MeV
<120	95	<sup>126</sup> VO	94	CNTR $m_{X^0}=1.7$ MeV
< 3.8	95	<sup>127</sup> SKALSEY	92	CNTR $m_{X^0} = 1.5$ MeV

<sup>125</sup> VO 94 looked for  $X^0 \rightarrow \gamma\gamma\gamma$  decaying at rest. The precise limits depend on  $m_{X^0}$ . See Fig. 2(b) in paper.

<sup>126</sup> VO 94 looked for  $X^0 \rightarrow \gamma\gamma\gamma$  decaying in flight.

<sup>127</sup> SKALSEY 92 also give limits 4.3 for  $m_{X^0} = 1.54$  and 7.5 for 1.64 MeV. The spin of  $X^0$  is assumed to be one.

### Light Boson ( $X^0$ ) Search in Nonresonant $e^+ e^-$ Annihilation at Rest

Limits are for the ratio of  $n\gamma + X^0$  production relative to  $\gamma\gamma$ .

VALUE (units $10^{-6}$ )	CL%	DOCUMENT ID	TECN	COMMENT
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
< 4.2	90	<sup>128</sup> MITSUI	96	CNTR $\gamma X^0$
< 4	68	<sup>129</sup> SKALSEY	95	CNTR $\gamma X^0$
<40	68	<sup>130</sup> SKALSEY	95	RVUE $\gamma X^0$
< 0.18	90	<sup>131</sup> ADACHI	94	CNTR $\gamma\gamma X^0, X^0 \rightarrow \gamma\gamma$
< 0.26	90	<sup>132</sup> ADACHI	94	CNTR $\gamma\gamma X^0, X^0 \rightarrow \gamma\gamma$
< 0.33	90	<sup>133</sup> ADACHI	94	CNTR $\gamma X^0, X^0 \rightarrow \gamma\gamma\gamma$

- 128 MITSUI 96 looked for a monochromatic  $\gamma$ . The bound applies for a vector  $X^0$  with  $C=-1$  and  $m_{X^0} < 200$  keV. They derive an upper bound on  $e e X^0$  coupling and hence on the branching ratio  $B(o\text{-Ps} \rightarrow \gamma\gamma X^0) < 6.2 \times 10^{-6}$ . The bounds weaken for heavier  $X^0$ .
- 129 SKALSEY 95 looked for a monochromatic  $\gamma$  without an accompanying  $\gamma$  in  $e^+ e^-$  annihilation. The bound applies for scalar and vector  $X^0$  with  $C = -1$  and  $m_{X^0} = 100\text{--}1000$  keV.
- 130 SKALSEY 95 reinterpreted the bound on  $\gamma A^0$  decay of  $o\text{-Ps}$  by ASAI 91 where 3% of delayed annihilations are not from  $^3S_1$  states. The bound applies for scalar and vector  $X^0$  with  $C = -1$  and  $m_{X^0} = 0\text{--}800$  keV.
- 131 ADACHI 94 looked for a peak in the  $\gamma\gamma$  invariant mass distribution in  $\gamma\gamma\gamma\gamma$  production from  $e^+ e^-$  annihilation. The bound applies for  $m_{X^0} = 70\text{--}800$  keV.
- 132 ADACHI 94 looked for a peak in the missing-mass mass distribution in  $\gamma\gamma$  channel, using  $\gamma\gamma\gamma\gamma$  production from  $e^+ e^-$  annihilation. The bound applies for  $m_{X^0} < 800$  keV.
- 133 ADACHI 94 looked for a peak in the missing mass distribution in  $\gamma\gamma\gamma$  channel, using  $\gamma\gamma\gamma\gamma$  production from  $e^+ e^-$  annihilation. The bound applies for  $m_{X^0} = 200\text{--}900$  keV.

### Searches for Goldstone Bosons ( $X^0$ )

(Including Horizontal Bosons and Majorons.) Limits are for branching ratios.

VALUE	CL%	EVTS	DOCUMENT ID	TECN	COMMENT
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• • • We do not use the following data for averages, fits, limits, etc. • • •

			134 DIAZ	98 THEO	$H^0 \rightarrow X^0 X^0, A^0 \rightarrow X^0 X^0 X^0$ , Majoron
			135 BOBRAKOV	91	Electron quasi-magnetic interaction
$< 3.3 \times 10^{-2}$	95		136 ALBRECHT	90E ARG	$\tau \rightarrow \mu X^0$ . Familon
$< 1.8 \times 10^{-2}$	95		136 ALBRECHT	90E ARG	$\tau \rightarrow e X^0$ . Familon
$< 6.4 \times 10^{-9}$	90		137 ATIYA	90 B787	$K^+ \rightarrow \pi^+ X^0$ . Familon
$< 1.1 \times 10^{-9}$	90		138 BOLTON	88 CBOX	$\mu^+ \rightarrow e^+ \gamma X^0$ . Familon
			139 CHANDA	88 ASTR	Sun, Majoron
			140 CHOI	88 ASTR	Majoron, SN 1987A
$< 5 \times 10^{-6}$	90		141 PICCIOTTO	88 CNTR	$\pi \rightarrow e \nu X^0$ , Majoron
$< 1.3 \times 10^{-9}$	90		142 GOLDMAN	87 CNTR	$\mu \rightarrow e \gamma X^0$ . Familon
$< 3 \times 10^{-4}$	90		143 BRYMAN	86B RVUE	$\mu \rightarrow e X^0$ . Familon
$< 1. \times 10^{-10}$	90	0	144 EICHLER	86 SPEC	$\mu^+ \rightarrow e^+ X^0$ . Familon
$< 2.6 \times 10^{-6}$	90		145 JODIDIO	86 SPEC	$\mu^+ \rightarrow e^+ X^0$ . Familon
			146 BALTRUSAIT..	85 MRK3	$\tau \rightarrow \ell X^0$ . Familon
			147 DICUS	83 COSM	$\nu(h\nu) \rightarrow \nu(\text{light}) X^0$

134 DIAZ 98 studied models of spontaneously broken lepton number with both singlet and triplet Higgses. They obtain limits on the parameter space from invisible decay  $Z \rightarrow H^0 A^0 \rightarrow X^0 X^0 X^0 X^0 X^0$  and  $e^+ e^- \rightarrow Z H^0$  with  $H^0 \rightarrow X^0 X^0$ .

135 BOBRAKOV 91 searched for anomalous magnetic interactions between polarized electrons expected from the exchange of a massless pseudoscalar boson (arion). A limit  $x_e^2 < 2 \times 10^{-4}$  (95%CL) is found for the effective anomalous magneton parametrized as  $x_e(G_F/8\pi\sqrt{2})^{1/2}$ .

- 136 ALBRECHT 90E limits are for  $B(\tau \rightarrow \ell X^0)/B(\tau \rightarrow \ell \nu \bar{\nu})$ . Valid for  $m_{X^0} < 100$  MeV. The limits rise to 7.1% (for  $\mu$ ), 5.0% (for  $e$ ) for  $m_{X^0} = 500$  MeV.
- 137 ATIYA 90 limit is for  $m_{X^0} = 0$ . The limit  $B < 1 \times 10^{-8}$  holds for  $m_{X^0} < 95$  MeV. For the reduction of the limit due to finite lifetime of  $X^0$ , see their Fig. 3.
- 138 BOLTON 88 limit corresponds to  $F > 3.1 \times 10^9$  GeV, which does not depend on the chirality property of the coupling.
- 139 CHANDA 88 find  $v_T < 10$  MeV for the weak-triplet Higgs vacuum expectation value in Gelmini-Roncadelli model, and  $v_S > 5.8 \times 10^6$  GeV in the singlet Majoron model.
- 140 CHOI 88 used the observed neutrino flux from the supernova SN 1987A to exclude the neutrino Majoron Yukawa coupling  $h$  in the range  $2 \times 10^{-5} < h < 3 \times 10^{-4}$  for the interaction  $L_{\text{int}} = \frac{1}{2} i h \bar{\psi}_\nu^c \gamma_5 \psi_\nu \phi_X$ . For several families of neutrinos, the limit applies for  $(\sum h_i^4)^{1/4}$ .
- 141 PICCIOTTO 88 limit applies when  $m_{X^0} < 55$  MeV and  $\tau_{X^0} > 2$  ns, and it decreases to  $4 \times 10^{-7}$  at  $m_{X^0} = 125$  MeV, beyond which no limit is obtained.
- 142 GOLDMAN 87 limit corresponds to  $F > 2.9 \times 10^9$  GeV for the family symmetry breaking scale from the Lagrangian  $L_{\text{int}} = (1/F) \bar{\psi}_\mu \gamma^\mu (a + b \gamma_5) \psi_e \partial_\mu \phi_{X^0}$  with  $a^2 + b^2 = 1$ . This is not as sensitive as the limit  $F > 9.9 \times 10^9$  GeV derived from the search for  $\mu^+ \rightarrow e^+ X^0$  by JODIDIO 86, but does not depend on the chirality property of the coupling.
- 143 Limits are for  $\Gamma(\mu \rightarrow e X^0)/\Gamma(\mu \rightarrow e \nu \bar{\nu})$ . Valid when  $m_{X^0} = 0-93.4, 98.1-103.5$  MeV.
- 144 EICHLER 86 looked for  $\mu^+ \rightarrow e^+ X^0$  followed by  $X^0 \rightarrow e^+ e^-$ . Limits on the branching fraction depend on the mass and and lifetime of  $X^0$ . The quoted limits are valid when  $\tau_{X^0} \lesssim 3. \times 10^{-10}$  s if the decays are kinematically allowed.
- 145 JODIDIO 86 corresponds to  $F > 9.9 \times 10^9$  GeV for the family symmetry breaking scale with the parity-conserving effective Lagrangian  $L_{\text{int}} = (1/F) \bar{\psi}_\mu \gamma^\mu \psi_e \partial^\mu \phi_{X^0}$ .
- 146 BALTRUSAITIS 85 search for light Goldstone boson ( $X^0$ ) of broken U(1). CL = 95% limits are  $B(\tau \rightarrow \mu^+ X^0)/B(\tau \rightarrow \mu^+ \nu \nu) < 0.125$  and  $B(\tau \rightarrow e^+ X^0)/B(\tau \rightarrow e^+ \nu \nu) < 0.04$ . Inferred limit for the symmetry breaking scale is  $m > 3000$  TeV.
- 147 The primordial heavy neutrino must decay into  $\nu$  and familon,  $f_A$ , early so that the red-shifted decay products are below critical density, see their table. In addition,  $K \rightarrow \pi f_A$  and  $\mu \rightarrow e f_A$  are unseen. Combining these excludes  $m_{\text{heavy}\nu}$  between  $5 \times 10^{-5}$  and  $5 \times 10^{-4}$  MeV ( $\mu$  decay) and  $m_{\text{heavy}\nu}$  between  $5 \times 10^{-5}$  and 0.1 MeV ( $K$ -decay).

### Majoron Searches in Neutrinoless Double $\beta$ Decay

Limits are for the half-life of neutrinoless  $\beta\beta$  decay with a Majoron emission.

No experiment currently claims any such evidence. Only the best or comparable limits for each isotope are reported. Also see the reviews ZUBER 98 and FAESSLER 98B.

$t_{1/2}(10^{21} \text{ yr})$	CL% ISOTOPE	TRANSITION	METHOD	DOCUMENT ID
<b>&gt;7200</b>	<b>90 <sup>128</sup>Te</b>		<b>CNTR</b>	148 BERNATOW... 92
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
> 2.2	90 <sup>130</sup> Te	$0\nu 1\chi$	Cryog. det.	149 ARNABOLDI 03
> 0.9	90 <sup>130</sup> Te	$0\nu 2\chi$	Cryog. det.	150 ARNABOLDI 03
> 8	90 <sup>116</sup> Cd	$0\nu 1\chi$	CdWO <sub>4</sub> scint.	151 DANEVICH 03
> 0.8	90 <sup>116</sup> Cd	$0\nu 2\chi$	CdWO <sub>4</sub> scint.	152 DANEVICH 03
> 500	90 <sup>136</sup> Xe	$0\nu \chi$	Liquid Xe Scint.	153 BERNABEI 02D
> 5.8	90 <sup>100</sup> Mo	$0\nu \chi$	ELEGANT V	154 FUSHIMI 02

>	0.32	90	<sup>100</sup> Mo	$0\nu\chi$	Liq. Ar ioniz.	155	ASHITKOV	01
>	0.0035	90	<sup>160</sup> Gd	$0\nu\chi$	<sup>160</sup> Gd <sub>2</sub> SiO <sub>5</sub> :Ce	156	DANEVICH	01
>	0.013	90	<sup>160</sup> Gd	$0\nu2\chi$	<sup>160</sup> Gd <sub>2</sub> SiO <sub>5</sub> :Ce	157	DANEVICH	01
>	2.3	90	<sup>82</sup> Se	$0\nu\chi$	NEMO 2	158	ARNOLD	00
>	0.31	90	<sup>96</sup> Zr	$0\nu\chi$	NEMO 2	159	ARNOLD	00
>	0.63	90	<sup>82</sup> Se	$0\nu2\chi$	NEMO 2	160	ARNOLD	00
>	0.063	90	<sup>96</sup> Zr	$0\nu2\chi$	NEMO 2	160	ARNOLD	00
>	0.16	90	<sup>100</sup> Mo	$0\nu2\chi$	NEMO 2	160	ARNOLD	00
>	2.4	90	<sup>82</sup> Se	$0\nu\chi$	NEMO 2	161	ARNOLD	98
>	7.2	90	<sup>136</sup> Xe	$0\nu2\chi$	TPC	162	LUESCHER	98
>	7.91	90	<sup>76</sup> Ge		SPEC	163	GUENTHER	96
>	17	90	<sup>76</sup> Ge		CNTR		BECK	93

148 BERNATOWICZ 92 studied double- $\beta$  decays of <sup>128</sup>Te and <sup>130</sup>Te, and found the ratio  $\tau(^{130}\text{Te})/\tau(^{128}\text{Te}) = (3.52 \pm 0.11) \times 10^{-4}$  in agreement with relatively stable theoretical predictions. The bound is based on the requirement that Majoron-emitting decay cannot be larger than the observed double-beta rate of <sup>128</sup>Te of  $(7.7 \pm 0.4) \times 10^{24}$  year. We calculated 90% CL limit as  $(7.7-1.28 \times 0.4=7.2) \times 10^{24}$ .

149 Supersedes ALESSANDRELLO 00. Array of TeO<sub>2</sub> crystals in high resolution cryogenic calorimeter. Some enriched in <sup>130</sup>Te. Derive  $\langle g_{\nu\chi} \rangle < 17-33 \times 10^{-5}$  depending on matrix element.

150 Supersedes ALESSANDRELLO 00. Cryogenic calorimeter search.

151 Limit for the  $0\nu\chi$  decay with Majoron emission of <sup>116</sup>Cd using enriched CdWO<sub>4</sub> scintillators.  $\langle g_{\nu\chi} \rangle < 4.6-8.1 \times 10^{-5}$  depending on the matrix element. Supersedes DANEVICH 00.

152 Limit for the  $0\nu2\chi$  decay of <sup>116</sup>Cd. Supersedes DANEVICH 00.

153 BERNABEI 02D obtain limit for  $0\nu\chi$  decay with Majoron emission of <sup>136</sup>Xe using liquid Xe scintillation detector. They derive  $\langle g_{\nu\chi} \rangle < 2.0-3.0 \times 10^{-5}$  with several nuclear matrix elements.

154 Replaces TANAKA 93. FUSHIMI 02 derive half-life limit for the  $0\nu\chi$  decay by means of tracking calorimeter ELEGANT V. Considering various matrix element calculations, a range of limits for the Majoron-neutrino coupling is given:  $\langle g_{\nu\chi} \rangle < (6.3-360) \times 10^{-5}$ .

155 ASHITKOV 01 result for  $0\nu\chi$  of <sup>100</sup>Mo is less stringent than ARNOLD 00.

156 DANEVICH 01 obtain limit for the  $0\nu\chi$  decay with Majoron emission of <sup>160</sup>Gd using Gd<sub>2</sub>SiO<sub>5</sub>:Ce crystal scintillators.

157 DANEVICH 01 obtain limit for the  $0\nu2\chi$  decay with 2 Majoron emission of <sup>160</sup>Gd.

158 ARNOLD 00 reports limit for the  $0\nu\chi$  decay with Majoron emission derived from tracking calorimeter NEMO 2. Using <sup>82</sup>Se source:  $\langle g_{\nu\chi} \rangle < 1.6 \times 10^{-4}$ . Matrix element from GUENTHER 96.

159 Using <sup>96</sup>Zr source:  $\langle g_{\nu\chi} \rangle < 2.6 \times 10^{-4}$ . Matrix element from ARNOLD 99.

160 ARNOLD 00 reports limit for the  $0\nu2\chi$  decay with two Majoron emission derived from tracking calorimeter NEMO 2.

161 ARNOLD 98 determine the limit for  $0\nu\chi$  decay with Majoron emission of <sup>82</sup>Se using the NEMO-2 tracking detector. They derive  $\langle g_{\nu\chi} \rangle < 2.3-4.3 \times 10^{-4}$  with several nuclear matrix elements.

162 LUESCHER 98 report a limit for the  $0\nu$  decay with Majoron emission of <sup>136</sup>Xe using Xe TPC. This result is more stringent than BARABASH 89. Using the matrix elements of ENGEL 88, they obtain a limit on  $\langle g_{\nu\chi} \rangle$  of  $2.0 \times 10^{-4}$ .

163 See Table 1 in GUENTHER 96 for limits on the Majoron coupling in different models.

## Invisible $A^0$ (Axion) MASS LIMITS from Astrophysics and Cosmology

$v_1 = v_2$  is usually assumed ( $v_i =$  vacuum expectation values). For a review of these limits, see RAFFELT 90C and TURNER 90. In the comment lines below, D and K refer to DFSZ and KSVZ axion types, discussed in the above minireview.

<u>VALUE (eV)</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
3 to 20	164 MOROI	98 COSM	K, hot dark matter
< 0.007	165 BORISOV	97 ASTR	D, neutron star
< 4	166 KACHELRIESS	97 ASTR	D, neutron star cooling
<(0.5–6) × 10 <sup>-3</sup>	167 KEIL	97 ASTR	SN 1987A
< 0.018	168 RAFFELT	95 ASTR	D, red giant
< 0.010	169 ALTHERR	94 ASTR	D, red giants, white dwarfs
	170 CHANG	93 ASTR	K, SN 1987A
< 0.01	WANG	92 ASTR	D, white dwarf
< 0.03	WANG	92C ASTR	D, C-O burning
none 3–8	171 BERSHADY	91 ASTR	D, K, intergalactic light
<10	172 KIM	91C COSM	D, K, mass density of the universe, supersymmetry
	173 RAFFELT	91B ASTR	D,K, SN 1987A
< 1 × 10 <sup>-3</sup>	174 RESSELL	91 ASTR	K, intergalactic light
none 10 <sup>-3</sup> –3	BURROWS	90 ASTR	D,K, SN 1987A
	175 ENGEL	90 ASTR	D,K, SN 1987A
< 0.02	176 RAFFELT	90D ASTR	D, red giant
< 1 × 10 <sup>-3</sup>	177 BURROWS	89 ASTR	D,K, SN 1987A
<(1.4–10) × 10 <sup>-3</sup>	178 ERICSON	89 ASTR	D,K, SN 1987A
< 3.6 × 10 <sup>-4</sup>	179 MAYLE	89 ASTR	D,K, SN 1987A
<12	CHANDA	88 ASTR	D, Sun
< 1 × 10 <sup>-3</sup>	RAFFELT	88 ASTR	D,K, SN 1987A
	180 RAFFELT	88B ASTR	red giant
< 0.07	FRIEMAN	87 ASTR	D, red giant
< 0.7	181 RAFFELT	87 ASTR	K, red giant
< 2–5	TURNER	87 COSM	K, thermal production
< 0.01	182 DEARBORN	86 ASTR	D, red giant
< 0.06	RAFFELT	86 ASTR	D, red giant
< 0.7	183 RAFFELT	86 ASTR	K, red giant
< 0.03	RAFFELT	86B ASTR	D, white dwarf
< 1	184 KAPLAN	85 ASTR	K, red giant
< 0.003–0.02	IWAMOTO	84 ASTR	D, K, neutron star
> 1 × 10 <sup>-5</sup>	ABBOTT	83 COSM	D,K, mass density of the universe
> 1 × 10 <sup>-5</sup>	DINE	83 COSM	D,K, mass density of the universe
< 0.04	ELLIS	83B ASTR	D, red giant
> 1 × 10 <sup>-5</sup>	PRESKILL	83 COSM	D,K, mass density of the universe
< 0.1	BARROSO	82 ASTR	D, red giant
< 1	185 FUKUGITA	82 ASTR	D, stellar cooling
< 0.07	FUKUGITA	82B ASTR	D, red giant

- 164 MOROI 98 points out that a KSVZ axion of this mass range (see CHANG 93) can be a viable hot dark matter of Universe, as long as the model-dependent  $g_{A\gamma}$  is accidentally small enough as originally emphasized by KAPLAN 85; see Fig. 1.
- 165 BORISOV 97 bound is on the axion-electron coupling  $g_{ae} < 1 \times 10^{-13}$  from the photo-production of axions off of magnetic fields in the outer layers of neutron stars.
- 166 KACHELRIESS 97 bound is on the axion-electron coupling  $g_{ae} < 1 \times 10^{-10}$  from the production of axions in strongly magnetized neutron stars. The authors also quote a stronger limit,  $g_{ae} < 9 \times 10^{-13}$  which is strongly dependent on the strength of the magnetic field in white dwarfs.
- 167 KEIL 97 uses new measurements of the axial-vector coupling strength of nucleons, as well as a reanalysis of many-body effects and pion-emission processes in the core of the neutron star, to update limits on the invisible-axion mass.
- 168 RAFFELT 95 reexamined the constraints on axion emission from red giants due to the axion-electron coupling. They improve on DEARBORN 86 by taking into proper account degeneracy effects in the bremsstrahlung rate. The limit comes from requiring the red giant core mass at helium ignition not to exceed its standard value by more than 5% (0.025 solar masses).
- 169 ALTHERR 94 bound is on the axion-electron coupling  $g_{ae} < 1.5 \times 10^{-13}$ , from energy loss via axion emission.
- 170 CHANG 93 updates ENGEL 90 bound with the Kaplan-Manohar ambiguity in  $z=m_u/m_d$  (see the Note on the Quark Masses in the Quark Particle Listings). It leaves the window  $f_A=3 \times 10^5-3 \times 10^6$  GeV open. The constraint from Big-Bang Nucleosynthesis is satisfied in this window as well.
- 171 BERSHADY 91 searched for a line at wave length from 3100–8300 Å expected from  $2\gamma$  decays of relic thermal axions in intergalactic light of three rich clusters of galaxies.
- 172 KIM 91C argues that the bound from the mass density of the universe will change drastically for the supersymmetric models due to the entropy production of saxion (scalar component in the axionic chiral multiplet) decay. Note that it is an *upperbound* rather than a lowerbound.
- 173 RAFFELT 91B argue that previous SN 1987A bounds must be relaxed due to corrections to nucleon bremsstrahlung processes.
- 174 RESSELL 91 uses absence of any intracluster line emission to set limit.
- 175 ENGEL 90 rule out  $10^{-10} \lesssim g_{AN} \lesssim 10^{-3}$ , which for a hadronic axion with EMC motivated axion-nucleon couplings corresponds to  $2.5 \times 10^{-3} \text{ eV} \lesssim m_{A0} \lesssim 2.5 \times 10^4 \text{ eV}$ . The constraint is loose in the middle of the range, i.e. for  $g_{AN} \sim 10^{-6}$ .
- 176 RAFFELT 90D is a re-analysis of DEARBORN 86.
- 177 The region  $m_{A0} \gtrsim 2 \text{ eV}$  is also allowed.
- 178 ERICSON 89 considered various nuclear corrections to axion emission in a supernova core, and found a reduction of the previous limit (MAYLE 88) by a large factor.
- 179 MAYLE 89 limit based on naive quark model couplings of axion to nucleons. Limit based on couplings motivated by EMC measurements is 2–4 times weaker. The limit from axion-electron coupling is weak: see HATSUDA 88B.
- 180 RAFFELT 88B derives a limit for the energy generation rate by exotic processes in helium-burning stars  $\epsilon < 100 \text{ erg g}^{-1} \text{ s}^{-1}$ , which gives a firmer basis for the axion limits based on red giant cooling.
- 181 RAFFELT 87 also gives a limit  $g_{A\gamma} < 1 \times 10^{-10} \text{ GeV}^{-1}$ .
- 182 DEARBORN 86 also gives a limit  $g_{A\gamma} < 1.4 \times 10^{-11} \text{ GeV}^{-1}$ .
- 183 RAFFELT 86 gives a limit  $g_{A\gamma} < 1.1 \times 10^{-10} \text{ GeV}^{-1}$  from red giants and  $< 2.4 \times 10^{-9} \text{ GeV}^{-1}$  from the sun.
- 184 KAPLAN 85 says  $m_{A0} < 23 \text{ eV}$  is allowed for a special choice of model parameters.
- 185 FUKUGITA 82 gives a limit  $g_{A\gamma} < 2.3 \times 10^{-10} \text{ GeV}^{-1}$ .

### Search for Relic Invisible Axions

Limits are for  $[G_{A\gamma\gamma}/m_{A^0}]^2\rho_A$  where  $G_{A\gamma\gamma}$  denotes the axion two-photon coupling,

$L_{\text{int}} = \frac{G_{A\gamma\gamma}}{4}\phi_A F_{\mu\nu}\tilde{F}^{\mu\nu} = G_{A\gamma\gamma}\phi_A\mathbf{E}\cdot\mathbf{B}$ , and  $\rho_A$  is the axion energy density near the earth.

VALUE	CL%	DOCUMENT ID	TECN	COMMENT
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
$<5.5 \times 10^{-43}$	95	186 HAGMANN	98 CNTR	$m_{A^0} = 2.9\text{--}3.3 \times 10^{-6}$ eV
		187 KIM	98 THEO	
$<2 \times 10^{-41}$		188 HAGMANN	90 CNTR	$m_{A^0} =$ $(5.4\text{--}5.9)10^{-6}$ eV
$<1.3 \times 10^{-42}$	95	189 WUENSCH	89 CNTR	$m_{A^0} = (4.5\text{--}10.2)10^{-6}$ eV
$<2 \times 10^{-41}$	95	189 WUENSCH	89 CNTR	$m_{A^0} = (11.3\text{--}16.3)10^{-6}$ eV

186 Based on the conversion of halo axions to microwave photons. Limit assumes  $\rho_A=0.45$  GeV cm<sup>-3</sup>. At 90%CL this result excludes a version of KSVZ axions as dark matter in the halo of our Galaxy, for the quoted axion mass range. See ASZTALOS 01 for more details.

187 KIM 98 calculated the axion-to-photon couplings for various axion models and compared them to the HAGMANN 90 bounds. This analysis demonstrates a strong model dependence of  $G_{A\gamma\gamma}$  and hence the bound from relic axion search.

188 HAGMANN 90 experiment is based on the proposal of SIKIVIE 83.

189 WUENSCH 89 looks for condensed axions near the earth that could be converted to photons in the presence of an intense electromagnetic field via the Primakoff effect, following the proposal of SIKIVIE 83. The theoretical prediction with  $[G_{A\gamma\gamma}/m_{A^0}]^2 = 2 \times 10^{-14}$  MeV<sup>-4</sup> (the three generation DFSZ model) and  $\rho_A = 300$  MeV/cm<sup>3</sup> that makes up galactic halos gives  $(G_{A\gamma\gamma}/m_{A^0})^2\rho_A = 4 \times 10^{-44}$ . Note that our definition of  $G_{A\gamma\gamma}$  is  $(1/4\pi)$  smaller than that of WUENSCH 89.

### Invisible $A^0$ (Axion) Limits from Photon Coupling

Limits are for the axion-two-photon coupling  $G_{A\gamma\gamma}$  defined by  $L = G_{A\gamma\gamma}\phi_A\mathbf{E}\cdot\mathbf{B}$ .

Related limits from astrophysics can be found in the "Invisible  $A^0$  (Axion) Mass Limits from Astrophysics and Cosmology" section.

VALUE (GeV <sup>-1</sup> )	CL%	DOCUMENT ID	TECN	COMMENT
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
$<1.1 \times 10^{-9}$	95	190 INOUE	02	$m_{A^0} = 0.05\text{--}0.27$ eV
$<2.78 \times 10^{-9}$	95	191 MORALES	02B	$m_{A^0} < 1$ keV
$<1.7 \times 10^{-9}$	90	192 BERNABEI	01B	$m_{A^0} < 100$ eV
$<1.5 \times 10^{-4}$	90	193 ASTIER	00B	NOMD $m_{A^0} < 40$ eV
		194 MASSO	00	THEO induced photon coupling
$<2.7 \times 10^{-9}$	95	195 AVIGNONE	98 SLAX	$m_{A^0} < 1$ keV
$<6.0 \times 10^{-10}$	95	196 MORIYAMA	98	$m_{A^0} < 0.03$ eV
$<3.6 \times 10^{-7}$	95	197 CAMERON	93	$m_{A^0} < 10^{-3}$ eV, optical rotation
$<6.7 \times 10^{-7}$	95	198 CAMERON	93	$m_{A^0} < 10^{-3}$ eV, photon regeneration

$<3.6 \times 10^{-9}$	99.7	199 LAZARUS	92	$m_{A^0} < 0.03$ eV
$<7.7 \times 10^{-9}$	99.7	199 LAZARUS	92	$m_{A^0} = 0.03\text{--}0.11$ eV
$<7.7 \times 10^{-7}$	99	200 RUOSO	92	$m_{A^0} < 10^{-3}$ eV
$<2.5 \times 10^{-6}$		201 SEMERTZIDIS	90	$m_{A^0} < 7 \times 10^{-4}$ eV
190 INOUE 02 looked for Primakoff conversion of solar axions in 4T superconducting magnet into X ray.				
191 MORALES 02B looked for the coherent conversion of solar axions to photons via the Primakoff effect in Germanium detector.				
192 BERNABEI 01B looked for Primakoff coherent conversion of solar axions into photons via Bragg scattering in NaI crystal in DAMA dark matter detector.				
193 ASTIER 00B looked for production of axions from the interaction of high-energy photons with the horn magnetic field and their subsequent re-conversion to photons via the interaction with the NOMAD dipole magnetic field.				
194 MASSO 00 studied limits on axion-proton coupling using the induced axion-photon coupling through the proton loop and CAMERON 93 bound on the axion-photon coupling using optical rotation. They obtained the bound $g_p^2/4\pi < 1.7 \times 10^{-9}$ for the coupling $g_p \bar{p} \gamma_5 p \phi_A$ .				
195 AVIGNONE 98 result is based on the coherent conversion of solar axions to photons via the Primakoff effect in a single crystal germanium detector.				
196 Based on the conversion of solar axions to X-rays in a strong laboratory magnetic field.				
197 Experiment based on proposal by MAIANI 86.				
198 Experiment based on proposal by VANBIBBER 87.				
199 LAZARUS 92 experiment is based on proposal found in VANBIBBER 89.				
200 RUOSO 92 experiment is based on the proposal by VANBIBBER 87.				
201 SEMERTZIDIS 90 experiment is based on the proposal of MAIANI 86. The limit is obtained by taking the noise amplitude as the upper limit. Limits extend to $m_{A^0} = 4 \times 10^{-3}$ where $G_{A\gamma\gamma} < 1 \times 10^{-4}$ GeV $^{-1}$ .				

### Limit on Invisible $A^0$ (Axion) Electron Coupling

The limit is for  $G_{Aee} \partial_\mu \phi_A \bar{e} \gamma^\mu \gamma_5 e$  in GeV $^{-1}$ , or equivalently, the dipole-dipole potential  $\frac{G_{Aee}^2}{4\pi} ((\boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2) - 3(\boldsymbol{\sigma}_1 \cdot \mathbf{n})(\boldsymbol{\sigma}_2 \cdot \mathbf{n}))/r^3$  where  $\mathbf{n} = \mathbf{r}/r$ .

The limits below apply to invisible axion of  $m_A \leq 10^{-6}$  eV.

VALUE (GeV $^{-1}$ )	CL%	DOCUMENT ID	TECN	COMMENT
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
$<5.3 \times 10^{-5}$	66	202 NI	94	Induced magnetism
$<6.7 \times 10^{-5}$	66	202 CHUI	93	Induced magnetism
$<3.6 \times 10^{-4}$	66	203 PAN	92	Torsion pendulum
$<2.7 \times 10^{-5}$	95	202 BOBRAKOV	91	Induced magnetism
$<1.9 \times 10^{-3}$	66	204 WINELAND	91	NMR
$<8.9 \times 10^{-4}$	66	203 RITTER	90	Torsion pendulum
$<6.6 \times 10^{-5}$	95	202 VOROBYOV	88	Induced magnetism

- 202 These experiments measured induced magnetization of a bulk material by the spin-dependent potential generated from other bulk material with aligned electron spins, where the magnetic field is shielded with superconductor.
- 203 These experiments used a torsion pendulum to measure the potential between two bulk matter objects where the spins are polarized but without a net magnetic field in either of them.
- 204 WINELAND 91 looked for an effect of bulk matter with aligned electron spins on atomic hyperfine splitting using nuclear magnetic resonance.

### Invisible $A^0$ (Axion) Limits from Nucleon Coupling

Limits are for the axion mass in eV.

<u>VALUE (eV)</u>	<u>CL%</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
< $3.2 \times 10^4$	95	205 KRCMAR	01 CNTR	Solar axion
<745	90	206 KRCMAR	98 CNTR	Solar axion
205 KRCMAR 01 looked for solar axions emitted by the M1 transition of ${}^7\text{Li}$ after the electron capture by ${}^7\text{Be}$ and the emission of 384 keV line neutrino, using their resonant capture on ${}^7\text{Li}$ in the laboratory. The mass bound assumes $m_U/m_D=0.56$ and the flavor-singlet axial-vector matrix element $S=0.4$ .				
206 KRCMAR 98 looked for solar axions emitted by the M1 transition of thermally excited ${}^{57}\text{Fe}$ nuclei in the Sun, using their possible resonant capture on ${}^{57}\text{Fe}$ in the laboratory, following MORIYAMA 95B. The mass bound assumes $m_U/m_D=0.56$ and the flavor-singlet axial-vector matrix element $S=3F-D \simeq 0.5$ .				

### Axion Limits from $T$ -violating Medium-Range Forces

The limit is for the coupling  $g$  in a  $T$ -violating potential between nucleons or nucleon

and electron of the form  $V = \frac{g\hbar^2}{8\pi m_p} (\boldsymbol{\sigma} \cdot \hat{\mathbf{r}}) \left( \frac{1}{r^2} + \frac{m_A c}{\hbar r} \right) e^{-m_A c r / \hbar}$

<u>VALUE</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
	207 NI	99	paramagnetic Tb F <sub>3</sub>
	208 POSPELOV	98 THEO	neutron EDM
	209 YOUNDIN	96	
	210 RITTER	93	torsion pendulum
	211 VENEMA	92	nuclear spin-precession frequencies
	212 WINELAND	91 NMR	

- 207 NI 99 searched for a  $T$ -violating medium-range force acting on paramagnetic Tb F<sub>3</sub> salt. See their Fig. 1 for the result.
- 208 POSPELOV 98 studied the possible contribution of  $T$ -violating Medium-Range Force to the neutron electric dipole moment, which is possible when axion interactions violate  $CP$ . The size of the force among nucleons must be smaller than gravity by a factor of  $2 \times 10^{-10}$  ( $1 \text{ cm}/\lambda_A$ ), where  $\lambda_A = \hbar/m_A c$ .
- 209 YOUNDIN 96 compared the precession frequencies of atomic  ${}^{199}\text{Hg}$  and Cs when a large mass is positioned near the cells, relative to an applied magnetic field. See Fig. 3 for their limits.
- 210 RITTER 93 used a torsion pendulum to study the influence of bulk mass with polarized electrons on the pendulum.

- 211 VENEMA 92 looked for an effect of Earth's gravity on nuclear spin-precession frequencies of  $^{199}\text{Hg}$  and  $^{201}\text{Hg}$  atoms.
- 212 WINELAND 91 looked for an effect of bulk matter with aligned electron spins on atomic hyperfine resonances in stored  $^9\text{Be}^+$  ions using nuclear magnetic resonance.

## REFERENCES FOR Searches for Axions ( $A^0$ ) and Other Very Light Bosons

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DANEVICH	03	PR C68 035501	F.A. Danevich <i>et al.</i>	
ADLER	02C	PL B537 211	S. Adler <i>et al.</i>	(BNL E787 Collab.)
BADERT...	02	PL B542 29	A. Badertscher <i>et al.</i>	
BERNABEI	02D	PL B546 23	R. Bernabei <i>et al.</i>	(DAMA Collab.)
DERBIN	02	PAN 65 1302	A.V. Derbin <i>et al.</i>	
FUSHIMI	02	Translated from YAF 65 1335. PL B531 190	K. Fushimi <i>et al.</i>	(ELEGANT V Collab.)
INOUE	02	PL B536 18	Y. Inoue <i>et al.</i>	
MORALES	02B	ASP 16 325	A. Morales <i>et al.</i>	(COSME Collab.)
AMMAR	01B	PRL 87 271801	R. Ammar <i>et al.</i>	(CLEO Collab.)
ASHITKOV	01	JETPL 74 529	V.D. Ashitkov <i>et al.</i>	
ASZTALOS	01	Translated from ZETFP 74 601. PR D64 092003	S. Asztalos <i>et al.</i>	
BERNABEI	01B	PL B515 6	R. Bernabei <i>et al.</i>	(DAMA Collab.)
DANEVICH	01	NP A694 375	F.A. Danevich <i>et al.</i>	
DEBOER	01	JPG 27 L29	F.W.N. de Boer <i>et al.</i>	
KRCMAR	01	PR D64 115016	M. Krcmar <i>et al.</i>	
ADLER	00	PRL 84 3768	S. Adler <i>et al.</i>	(BNL E787 Collab.)
ALESSAND...	00	PL B486 13	A. Alessandrello <i>et al.</i>	
ARNOLD	00	NP A678 341	R. Arnold <i>et al.</i>	
ASTIER	00B	PL B479 371	P. Astier <i>et al.</i>	(NOMAD Collab.)
DANEVICH	00	PR C62 045501	F.A. Danevich <i>et al.</i>	
MASSO	00	PR D61 011701R	E. Masso	
ARNOLD	99	NP A658 299	R. Arnold <i>et al.</i>	(NEMO Collab.)
NI	99	PRL 82 2439	W.-T. Ni <i>et al.</i>	
ALTEGOER	98	PL B428 197	J. Altegoer <i>et al.</i>	
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HAGMANN	98	PRL 80 2043	C. Hagmann <i>et al.</i>	
KIM	98	PR D58 055006	J.E. Kim	
KRCMAR	98	PL B442 38	M. Krcmar <i>et al.</i>	
LUESCHER	98	PL B434 407	R. Luescher <i>et al.</i>	
MORIYAMA	98	PL B434 147	S. Moriyama <i>et al.</i>	
MOROI	98	PL B440 69	T. Moroi, H. Murayama	
POSPELOV	98	PR D58 097703	M. Pospelov	
ZUBER	98	PRPL 305 295	K. Zuber	
AHMAD	97	PRL 78 618	I. Ahmad <i>et al.</i>	(APEX Collab.)
BORISOV	97	JETP 83 868	A.V. Borisov, V.Y. Grishinia	(MOSU)
DEBOER	97C	JPG 23 L85	F.W.N. de Boer <i>et al.</i>	
KACHELRIESS	97	PR D56 1313	M. Kachelriess, C. Wilke, G. Wunner	(BOCH)
KEIL	97	PR D56 2419	W. Keil <i>et al.</i>	
KITCHING	97	PRL 79 4079	P. Kitching <i>et al.</i>	(BNL E787 Collab.)
LEINBERGER	97	PL B394 16	U. Leinberger <i>et al.</i>	(ORANGE Collab.)
ADLER	96	PRL 76 1421	S. Adler <i>et al.</i>	(BNL E787 Collab.)
AMSLER	96B	ZPHY C70 219	C. Amsler <i>et al.</i>	(Crystal Barrel Collab.)
GANZ	96	PL B389 4	R. Ganz <i>et al.</i>	(GSI, HEID, FRAN, JAGL+)
GUENTHER	96	PR D54 3641	M. Gunther <i>et al.</i>	(MPIH, SASSO)
KAMEL	96	PL B368 291	S. Kamel	(SHAMS)
MITSUI	96	EPL 33 111	T. Mitsui <i>et al.</i>	(TOKY)
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ALTMANN	95	ZPHY C68 221	M. Altmann <i>et al.</i>	(MUNT, LAPP, CPPM)
BALEST	95	PR D51 2053	R. Balest <i>et al.</i>	(CLEO Collab.)
BASSOMPIE...	95	PL B355 584	G. Bassompierre <i>et al.</i>	(LAPP, LCGT, LYON)
MAENO	95	PL B351 574	T. Maeno <i>et al.</i>	(TOKY)
MORIYAMA	95B	PRL 75 3222	S. Moriyama	
RAFFELT	95	PR D51 1495	G. Raffelt, A. Weiss	(MPIM, MPIA)
SKALSEY	95	PR D51 6292	M. Skalsey, R.S. Conti	(MICH)
TSUNODA	95	EPL 30 273	T. Tsunoda <i>et al.</i>	(TOKY)

ADACHI	94	PR A49 3201	S. Adachi <i>et al.</i>	(TMU)
ALTHERR	94	ASP 2 175	T. Altherr, E. Petitgirard, T. del Rio Gaztelurrutia	
AMSLER	94B	PL B333 271	C. Amsler <i>et al.</i>	(Crystal Barrel Collab.)
ASAI	94	PL B323 90	S. Asai <i>et al.</i>	(TOKY)
MEIJERDREES	94	PR D49 4937	M.R. Drees <i>et al.</i>	(BRCO, OREG, TRIU)
NI	94	Physica B194 153	W.T. Ni <i>et al.</i>	(NTHU)
VO	94	PR C49 1551	D.T. Vo <i>et al.</i>	(ISU, LBL, LLNL, UCD)
ATIYA	93	PRL 70 2521	M.S. Atiya <i>et al.</i>	(BNL E787 Collab.)
Also	93C	PRL 71 305 (erratum)	M.S. Atiya <i>et al.</i>	(BNL E787 Collab.)
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BECK	93	PRL 70 2853	M. Beck <i>et al.</i>	(MPIH, KIAE, SASSO)
CAMERON	93	PR D47 3707	R.E. Cameron <i>et al.</i>	(ROCH, BNL, FNAL+)
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MINOWA	93	PRL 71 4120	M. Minowa <i>et al.</i>	(TOKY)
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ATIYA	92	PRL 69 733	M.S. Atiya <i>et al.</i>	(BNL, LANL, PRIN+)
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HICKS	92	PL B276 423	K.H. Hicks, D.E. Alburger	(OHIO, BNL)
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PAN	92	MPL A7 1287	S.S. Pan, W.T. Ni, S.C. Chen	(NTHU)
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WU	92	PRL 69 1729	X.Y. Wu <i>et al.</i>	(BNL, YALE, CUNY)
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BROSS	91	PRL 67 2942	A.D. Bross <i>et al.</i>	(FNAL, ILL)
KIM	91C	PRL 67 3465	J.E. Kim	(SEOUL)
RAFFELT	91B	PRL 67 2605	G. Raffelt, D. Seckel	(MPIM, BART)
RESSELL	91	PR D44 3001	M.T. Ressell	(CHIC, FNAL)
TRZASKA	91	PL B269 54	W.H. Trzaska <i>et al.</i>	(TAMU)
TSERTOS	91	PL B266 259	H. Tsertos <i>et al.</i>	(ILLG, GSI)
WALKER	91	APJ 376 51	T.P. Walker <i>et al.</i>	(HSCA, OSU, CHIC+)
WIDMANN	91	ZPHY A340 209	E. Widmann <i>et al.</i>	(STUT, GSI, STUTM)
WINELAND	91	PRL 67 1735	D.J. Wineland <i>et al.</i>	(NBSB)
ALBRECHT	90E	PL B246 278	H. Albrecht <i>et al.</i>	(ARGUS Collab.)
ANTREASYAN	90C	PL B251 204	D. Antreasyan <i>et al.</i>	(Crystal Ball Collab.)
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ATIYA	90B	PRL 65 1188	M.S. Atiya <i>et al.</i>	(BNL E787 Collab.)
BAUER	90	NIM B50 300	W. Bauer <i>et al.</i>	(STUT, VILL, GSI)
BURROWS	90	PR D42 3297	A. Burrows, M.T. Ressell, M.S. Turner	(ARIZ+)
DEBOER	90	JPG 16 L1	F.W.N. de Boer, J. Lehmann, J. Steyaert	(LOUV)
ENGEL	90	PRL 65 960	J. Engel, D. Seckel, A.C. Hayes	(BART, LANL)
GNINENKO	90	PL B237 287	S.N. Gninenko <i>et al.</i>	(INRM)
GUO	90	PR D41 2924	R. Guo <i>et al.</i>	(NIU, LANL, FNAL, CASE+)
HAGMANN	90	PR D42 1297	C. Hagmann <i>et al.</i>	(FLOR)
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RITTER	90	PR D42 977	R.C. Ritter <i>et al.</i>	(VIRG)
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Also	88	PRL 60 1797	M.S. Turner	(FNAL, EFI)
DEBOER	89B	PRL 62 2639	F.W.N. de Boer, R. van Dantzig	(ANIK)
ERICSON	89	PL B219 507	T.E.O. Ericson, J.F. Mathiot	(CERN, IPN)
FAISSNER	89	ZPHY C44 557	H. Faissner <i>et al.</i>	(AACH3, BERL, PSI)
FOX	89	PR C39 288	J.D. Fox <i>et al.</i>	(FSU)
MAYLE	89	PL B219 515	R. Mayle <i>et al.</i>	(LLL, CERN, MINN, FNAL+)
Also	88	PL B203 188	R. Mayle <i>et al.</i>	(LLL, CERN, MINN, FNAL+)
MINOWA	89	PRL 62 1091	H. Minowa <i>et al.</i>	(ICEPP)
ORITO	89	PRL 63 597	S. Orito <i>et al.</i>	(ICEPP)
PERKINS	89	PRL 62 2638	D.H. Perkins	(OXF)
TSERTOS	89	PR D40 1397	H. Tsertos <i>et al.</i>	(GSI, ILLG)
VANBIBBER	89	PR D39 2089	K. van Bibber <i>et al.</i>	(LLL, TAMU, LBL)
WUENSCH	89	PR D40 3153	W.U. Wuensch <i>et al.</i>	(ROCH, BNL, FNAL)
Also	87	PRL 59 839	S. de Panfilis <i>et al.</i>	(ROCH, BNL, FNAL)
AVIGNONE	88	PR D37 618	F.T. Avignone <i>et al.</i>	(PRIN, SCUC, ORNL+)
BJORKEN	88	PR D38 3375	J.D. Bjorken <i>et al.</i>	(FNAL, SLAC, VPI)
BLINOV	88	SJNP 47 563	A.E. Blinov <i>et al.</i>	(NOVO)
BOLTON	88	PR D38 2077	R.D. Bolton <i>et al.</i>	(LANL, STAN, CHIC+)
Also	86	PRL 56 2461	R.D. Bolton <i>et al.</i>	(LANL, STAN, CHIC+)
Also	86	PRL 57 3241	D. Grosnick <i>et al.</i>	(CHIC, LANL, STAN+)
CHANDA	88	PR D37 2714	R. Chanda, J.F. Nieves, P.B. Pal	(UMD, UPR+)
CHOI	88	PR D37 3225	K. Choi <i>et al.</i>	(JHU)
CONNELL	88	PRL 60 2242	S.H. Connell <i>et al.</i>	(WITW)
DATAR	88	PR C37 250	V.M. Datar <i>et al.</i>	(IPN)
DEBOER	88	PRL 61 1274	F.W.N. de Boer, R. van Dantzig	(ANIK)
Also	89	PRL 62 2644 erratum	F.W.N. de Boer, R. van Dantzig	(ANIK)
Also	89	PRL 62 2638	D.H. Perkins	(OXF)
Also	89B	PRL 62 2639	F.W.N. de Boer, R. van Dantzig	(ANIK)
DEBOER	88C	JPG 14 L131	F.W.N. de Boer <i>et al.</i>	(LOUV)
DOEHNER	88	PR D38 2722	J. Dohner <i>et al.</i>	(HEIDP, ANL, ILLG)
EL-NADI	88	PRL 61 1271	M. el Nadi, O.E. Badawy	(CAIR)
ENGEL	88	PR C37 731	J. Engel, P. Vogel, M.R. Zirnbauer	
FAISSNER	88	ZPHY C37 231	H. Faissner <i>et al.</i>	(AACH3, BERL, SIN)
HATSUDA	88B	PL B203 469	T. Hatsuda, M. Yoshimura	(KEK)
LORENZ	88	PL B214 10	E. Lorenz <i>et al.</i>	(MPIM, PSI)
MAYLE	88	PL B203 188	R. Mayle <i>et al.</i>	(LLL, CERN, MINN, FNAL+)
PICCIOTTO	88	PR D37 1131	C.E. Picciotto <i>et al.</i>	(TRIU, CNRC)
RAFFELT	88	PRL 60 1793	G. Raffelt, D. Seckel	(UCB, LLL, UCSC)
RAFFELT	88B	PR D37 549	G.G. Raffelt, D.S.P. Dearborn	(UCB, LLL)
SAVAGE	88	PR D37 1134	M.J. Savage, B.W. Filippone, L.W. Mitchell	(CIT)
TSERTOS	88	PL B207 273	A. Tsertos <i>et al.</i>	(GSI, ILLG)
TSERTOS	88B	ZPHY A331 103	A. Tsertos <i>et al.</i>	(GSI, ILLG)
VANKLINKEN	88	PL B205 223	J. van Klinken <i>et al.</i>	(GRON, GSI)
VANKLINKEN	88B	PRL 60 2442	J. van Klinken	(GRON)
VONWIMMER..	88	PRL 60 2443	U. von Wimmersperg	(BNL)
VOROBYOV	88	PL B208 146	P.V. Vorobiev, Y.I. Gitarts	(NOVO)
DRUZHININ	87	ZPHY C37 1	V.P. Druzhinin <i>et al.</i>	(NOVO)
FRIEMAN	87	PR D36 2201	J.A. Frieman, S. Dimopoulos, M.S. Turner	(SLAC+)
GOLDMAN	87	PR D36 1543	T. Goldman <i>et al.</i>	(LANL, CHIC, STAN+)
KORENCHE...	87	SJNP 46 192	S.M. Korenchenko <i>et al.</i>	(JINR)
		Translated from YAF 46	313.	
MAIER	87	ZPHY A326 527	K. Maier <i>et al.</i>	(STUT, GSI)
MILLS	87	PR D36 707	A.P. Mills, J. Levy	(BELL)
RAFFELT	87	PR D36 2211	G.G. Raffelt, D.S.P. Dearborn	(LLL, UCB)
RIORDAN	87	PRL 59 755	E.M. Riordan <i>et al.</i>	(ROCH, CIT+)
TURNER	87	PRL 59 2489	M.S. Turner	(FNAL, EFI)
VANBIBBER	87	PRL 59 759	K. van Bibber <i>et al.</i>	(LLL, CIT, MIT+)
VONWIMMER..	87	PRL 59 266	U. von Wimmersperg <i>et al.</i>	(WITW)
ALBRECHT	86D	PL B179 403	H. Albrecht <i>et al.</i>	(ARGUS Collab.)
BADIER	86	ZPHY C31 21	J. Badier <i>et al.</i>	(NA3 Collab.)
BOWCOCK	86	PRL 56 2676	T.J.V. Bowcock <i>et al.</i>	(CLEO Collab.)
BROWN	86	PRL 57 2101	C.N. Brown <i>et al.</i>	(FNAL, WASH, KYOT+)
BRYMAN	86B	PRL 57 2787	D.A. Bryman, E.T.H. Clifford	(TRIU)
DAVIER	86	PL B180 295	M. Davier, J. Jeanjean, H. Nguyen Ngoc	(LALO)
DEARBORN	86	PRL 56 26	D.S.P. Dearborn, D.N. Schramm, G. Steigman	(LLL+)
EICHLER	86	PL B175 101	R.A. Eichler <i>et al.</i>	(SINDRUM Collab.)
HALLIN	86	PRL 57 2105	A.L. Hallin <i>et al.</i>	(PRIN)
JODIDIO	86	PR D34 1967	A. Jodidio <i>et al.</i>	(LBL, NWES, TRIU)
Also	88	PR D37 237 erratum	A. Jodidio <i>et al.</i>	(LBL, NWES, TRIU)

KETOV	86	JETPL 44 146	S.N. Ketov <i>et al.</i>	(KIAE)
		Translated from ZETFP 44 114.		
KOCH	86	NC 96A 182	H.R. Koch, O.W.B. Schult	(JULI)
KONAKA	86	PRL 57 659	A. Konaka <i>et al.</i>	(KYOT, KEK)
MAGERAS	86	PRL 56 2672	G. Mageras <i>et al.</i>	(MPIM, COLU, STON)
MAIANI	86	PL B175 359	L. Maiani, R. Petronzio, E. Zavattini	(CERN)
PECCEI	86	PL B172 435	R.D. Peccei, T.T. Wu, T. Yanagida	(DESY)
RAFFELT	86	PR D33 897	G.G. Raffelt	(MPIM)
RAFFELT	86B	PL 166B 402	G.G. Raffelt	(MPIM)
SAVAGE	86B	PRL 57 178	M.J. Savage <i>et al.</i>	(CIT)
AMALDI	85	PL 153B 444	U. Amaldi <i>et al.</i>	(CERN)
ANANEV	85	SJNP 41 585	V.D. Ananev <i>et al.</i>	(JINR)
		Translated from YAF 41 912.		
BALTRUSAIT...	85	PRL 55 1842	R.M. Baltrusaitis <i>et al.</i>	(Mark III Collab.)
BERGSMA	85	PL 157B 458	F. Bergsma <i>et al.</i>	(CHARM Collab.)
KAPLAN	85	NP B260 215	D.B. Kaplan	(HARV)
IWAMOTO	84	PRL 53 1198	N. Iwamoto	(UCSB, WUSL)
YAMAZAKI	84	PRL 52 1089	T. Yamazaki <i>et al.</i>	(INUS, KEK)
ABBOTT	83	PL 120B 133	L.F. Abbott, P. Sikivie	(BRAN, FLOR)
ALAM	83	PR D27 1665	M.S. Alam <i>et al.</i>	(VAND, CORN, ITHA, HARV+)
CARBONI	83	PL 123B 349	G. Carboni, W. Dahme	(CERN, MUNI)
CAVAIGNAC	83	PL 121B 193	J.F. Cavaignac <i>et al.</i>	(ISNG, LAPP)
DICUS	83	PR D28 1778	D.A. Dicus, V.L. Teplitz	(TEXA, UMD)
DINE	83	PL 120B 137	M. Dine, W. Fischler	(IAS, PENN)
ELLIS	83B	NP B223 252	J. Ellis, K.A. Olive	(CERN)
FAISSNER	83	PR D28 1198	H. Faissner <i>et al.</i>	(AACH)
FAISSNER	83B	PR D28 1787	H. Faissner <i>et al.</i>	(AACH3)
FRANK	83B	PR D28 1790	J.S. Frank <i>et al.</i>	(LANL, YALE, LBL+)
HOFFMAN	83	PR D28 660	C.M. Hoffman <i>et al.</i>	(LANL, ARZS)
NICZYPORUK	83	ZPHY C17 197	B. Niczyporuk <i>et al.</i>	(LENA Collab.)
PRESKILL	83	PL 120B 127	J. Preskill, M.B. Wise, F. Wilczek	(HARV, UCSBT)
SIKIVIE	83	PRL 51 1415	P. Sikivie	(FLOR)
Also	84	PRL 52 695 erratum	P. Sikivie	(FLOR)
ALEKSEEV	82	JETP 55 591	E.A. Alekseeva <i>et al.</i>	(KIAE)
		Translated from ZETF 82 1007.		
ALEKSEEV	82B	JETPL 36 116	G.D. Alekseev <i>et al.</i>	(MOSU, JINR)
		Translated from ZETFP 36 94.		
ASANO	82	PL 113B 195	Y. Asano <i>et al.</i>	(KEK, TOKY, INUS, OSAK)
BARROSO	82	PL 116B 247	A. Barroso, G.C. Branco	(LISB)
DATAR	82	PL 114B 63	V.M. Datar <i>et al.</i>	(BHAB)
EDWARDS	82	PRL 48 903	C. Edwards <i>et al.</i>	(Crystal Ball Collab.)
FETSCHER	82	JPG 8 L147	W. Fetscher	(ETH)
FUKUGITA	82	PRL 48 1522	M. Fukugita, S. Watamura, M. Yoshimura	(KEK)
FUKUGITA	82B	PR D26 1840	M. Fukugita, S. Watamura, M. Yoshimura	(KEK)
LEHMANN	82	PL 115B 270	P. Lehmann <i>et al.</i>	(SACL)
RAFFELT	82	PL 119B 323	G. Raffelt, L. Stodolsky	(MPIM)
SIVERTZ	82	PR D26 717	J.M. Sivertz <i>et al.</i>	(CUSB Collab.)
ZEHNDER	82	PL 110B 419	A. Zehnder, K. Gabathuler, J.L. Vuilleumier	(ETH+)
ASANO	81B	PL 107B 159	Y. Asano <i>et al.</i>	(KEK, TOKY, INUS, OSAK)
BARROSO	81	PL 106B 91	A. Barroso, N.C. Mukhopadhyay	(SIN)
FAISSNER	81	ZPHY C10 95	H. Faissner <i>et al.</i>	(AACH3)
FAISSNER	81B	PL 103B 234	H. Faissner <i>et al.</i>	(AACH3)
KIM	81	PL 105B 55	B.R. Kim, C. Stamm	(AACH3)
VUILLEUMIER	81	PL 101B 341	J.L. Vuilleumier <i>et al.</i>	(CIT, MUNI)
ZEHNDER	81	PL 104B 494	A. Zehnder	(ETH)
FAISSNER	80	PL 96B 201	H. Faissner <i>et al.</i>	(AACH3)
JACQUES	80	PR D21 1206	P.F. Jacques <i>et al.</i>	(RUTG, STEV, COLU)
SOUKAS	80	PRL 44 564	A. Soukas <i>et al.</i>	(BNL, HARV, ORNL, PENN)
BECHIS	79	PRL 42 1511	D.J. Bechis <i>et al.</i>	(UMD, COLU, AFRR)
CALAPRICE	79	PR D20 2708	F.P. Calaprice <i>et al.</i>	(PRIN)
COTEUS	79	PRL 42 1438	P. Coteus <i>et al.</i>	(COLU, ILL, BNL)
DISHAW	79	PL 85B 142	J.P. Dishaw <i>et al.</i>	(SLAC, CIT)
ZHITNITSKII	79	SJNP 29 517	A.R. Zhitnitsky, Y.I. Skovpen	(NOVO)
		Translated from YAF 29 1001.		
ALIBRAN	78	PL 74B 134	P. Alibran <i>et al.</i>	(Gargamelle Collab.)
ASRATYAN	78B	PL 79B 497	A.E. Asratyan <i>et al.</i>	(ITEP, SERP)
BELLOTTI	78	PL 76B 223	E. Bellotti, E. Fiorini, L. Zanotti	(MILA)
BOSETTI	78B	PL 74B 143	P.C. Bosetti <i>et al.</i>	(BEBC Collab.)
DICUS	78C	PR D18 1829	D.A. Dicus <i>et al.</i>	(TEXA, VPI, STAN)
DONNELLY	78	PR D18 1607	T.W. Donnelly <i>et al.</i>	(STAN)
Also	76	PRL 37 315	F. Reines, H.S. Gurr, H.W. Sobel	(UCI)
Also	74	PRL 33 179	H.S. Gurr, F. Reines, H.W. Sobel	(UCI)

HANSL	78D	PL 74B 139	T. Hansl <i>et al.</i>	(CDHS Collab.)
MICELMAC...	78	LNC 21 441	G.V. Mitselmakher, B. Pontecorvo	(JINR)
MIKAELIAN	78	PR D18 3605	K.O. Mikaelian	(FNAL, NWES)
SATO	78	PTP 60 1942	K. Sato	(KYOT)
VYSOTSKII	78	JETPL 27 502	M.I. Vysotsky <i>et al.</i>	(ASCI)
YANG	78	PRL 41 523	T.C. Yang	(MASA)
PECCEI	77	PR D16 1791	R.D. Peccei, H.R. Quinn	(STAN, SLAC)
Also	77B	PRL 38 1440	R.D. Peccei, H.R. Quinn	(STAN, SLAC)
REINES	76	PRL 37 315	F. Reines, H.S. Gurr, H.W. Sobel	(UCI)
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ANAND	53	PRSL A22 183	B.M. Anand	

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