

# Neutrino Properties

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## INTRODUCTION TO THE NEUTRINO PROPERTIES LISTINGS

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The following Listings concern measurements of various properties of neutrinos. Nearly all of the measurements, all of which so far are upper limits, actually concern superpositions of the mass eigenstates  $\nu_i$ , which are in turn related to the weak eigenstates  $\nu_\ell$ , via the neutrino mixing matrix

$$|\nu_\ell\rangle = \sum_i U_{\ell i} |\nu_i\rangle.$$

In the analogous case of quark mixing via the CKM matrix, the smallness of the off-diagonal terms (small mixing angles) permits a “dominant eigenstate” approximation. Previous editions of this *Review* had assumed that the dominant eigenstate paradigm applies to neutrinos as well. However, the present results of neutrino oscillation searches show that the mixing matrix contains two large mixing angles. We cannot, therefore, associate any particular state  $|\nu_i\rangle$  with any particular lepton label  $e, \mu$  or  $\tau$ . Nevertheless, neutrinos are produced in weak decays with a definite lepton flavor, and are typically detected by the charged current weak interaction again associated with a specific lepton flavor. The Listings for the neutrino mass that follow are separated into the three associated charged-lepton categories. Other properties (mean lifetime, magnetic moment, charge, and charge radius) are no longer separated this way. If needed, the associated lepton flavor is reported in the footnotes.

Measured quantities (mass-squared, magnetic moments, mean lifetimes, *etc.*) all depend upon the mixing parameters  $|U_{\ell i}|^2$ , but to some extent also on experimental conditions (*e.g.*, on energy resolution). Most of these observables, in particular mass-squared, cannot distinguish between Dirac and Majorana neutrinos, and are unaffected by  $CP$  phases.

Direct neutrino mass measurements are usually based on the analysis of the kinematics of charged particles (leptons, pions) emitted together with neutrinos (flavor states) in various weak decays. The most sensitive neutrino mass measurement to date, involving electron type neutrinos, is based on fitting the shape of the beta spectrum. The quantity  $\langle m_\beta^2 \rangle = \sum_i |U_{ei}|^2 m_{\nu_i}^2$  is determined or constrained, where the sum is over all mass eigenvalues  $m_{\nu_i}$  that are too close together to be resolved experimentally. If the energy resolution is better than  $\Delta m_{ij}^2 \equiv m_{\nu_i}^2 - m_{\nu_j}^2$ , the corresponding heavier  $m_{\nu_i}$  and mixing parameter could be determined by fitting the resulting spectral anomaly (step or kink).

A limit on  $\langle m_\beta^2 \rangle$  implies an *upper* limit on the *minimum* value  $m_{min}^2$  of  $m_{\nu_i}^2$ , independent of the mixing parameters  $U_{ei}$ :  $m_{min}^2 \leq \langle m_\beta^2 \rangle$ . However, if and when the study of neutrino oscillations provides us with the values of *all* neutrino mass-squared differences  $\Delta m_{ij}^2$  and the mixing parameters  $|U_{ei}|^2$ , then the individual neutrino mass squares  $m_{\nu_j}^2 = \langle m_\beta^2 \rangle - \sum_i |U_{ei}|^2 \Delta m_{ij}^2$  can be determined.

Leaving the yet unconfirmed LSND evidence aside, neutrino oscillation experiments using solar, reactor, atmospheric, and accelerator neutrinos can be described using two mass splittings and three mixing angles. Combined three neutrino analyses determine the squared mass differences and two of the mixing angles to within reasonable accuracy. For given  $|\Delta m_{ij}^2|$ , a

limit on  $\langle m_\beta^2 \rangle$  from beta decay defines an *upper* limit on the *maximum* value  $m_{max}$  of  $m_{\nu_i}$ :  $m_{max}^2 \leq \langle m_\beta^2 \rangle + \sum_{i < j} |\Delta m_{ij}^2|$ . The analysis of the low energy beta decay of tritium, combined with the oscillation results, thus limits *all* neutrino masses. Traditionally experimental neutrino mass limits obtained from pion decay  $\pi^+ \rightarrow \mu^+ + \nu_\mu$ , or the shape of the spectrum of decay products of the  $\tau$  lepton, did not distinguish between flavor and mass eigenstates. These results are reported as limits of the  $\mu$  and  $\tau$  based neutrino mass. After the determination of the  $|\Delta m_{ij}^2|$ 's, the corresponding neutrino mass limits are no longer competitive with those derived from low energy beta decays, with the proviso, however, that the oscillation searches, reported below, can be regarded as a reliable source of *all*  $|\Delta m_{ij}^2|$  values.

The spread of arrival times of the neutrinos from SN1987A, coupled with the measured neutrino energies, provides a time-of-flight limit on a quantity similar to  $\langle m_\beta \rangle \equiv \sqrt{\langle m_\beta^2 \rangle}$ . This statement, clothed in various degrees of sophistication, has been the basis for a very large number of papers. The resulting limits, however, are no longer comparable with the limits from tritium beta decay.

Constraint on the sum of the neutrino masses can be obtained from the analysis of the cosmic microwave background anisotropy, combined with the galaxy redshift surveys and other data. These limits are reported in a separate table (Sum of Neutrino Masses,  $m_{tot}$ ). Discussion concerning the model dependence of this limit is continuing.

### $\bar{\nu}$ MASS (electron based)

Those limits given below are for the square root of  $m_{\nu_e}^{2(\text{eff})} \equiv \sum_i |U_{ei}|^2 m_{\nu_i}^2$ . Limits that come from the kinematics of  ${}^3\text{H}\beta^- \bar{\nu}$  decay are the

square roots of the limits for  $m_{\nu_e}^{2(\text{eff})}$ . Obtained from the measurements reported in the Listings for “ $\bar{\nu}$  Mass Squared,” below.

<u>VALUE (eV)</u>	<u>CL%</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
<b>&lt; 2 OUR EVALUATION</b>				
< 2.3	95	<sup>1</sup> KRAUS	05 SPEC	<sup>3</sup> H $\beta$ decay
< 2.5	95	<sup>2</sup> LOBASHEV	99 SPEC	<sup>3</sup> H $\beta$ decay
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
<21.7	90	<sup>3</sup> ARNABOLDI	03A BOLO	<sup>187</sup> Re $\beta$ -decay
< 5.7	95	<sup>4</sup> LOREDO	02 ASTR	SN1987A
< 2.8	95	<sup>5</sup> WEINHEIMER	99 SPEC	<sup>3</sup> H $\beta$ decay
< 4.35	95	<sup>6</sup> BELESEV	95 SPEC	<sup>3</sup> H $\beta$ decay
<12.4	95	<sup>7</sup> CHING	95 SPEC	<sup>3</sup> H $\beta$ decay
<92	95	<sup>8</sup> HIDDEMANN	95 SPEC	<sup>3</sup> H $\beta$ decay
15 <sup>+32</sup> -15		HIDDEMANN	95 SPEC	<sup>3</sup> H $\beta$ decay
<19.6	95	KERNAN	95 ASTR	SN 1987A
< 7.0	95	<sup>9</sup> STOEFFL	95 SPEC	<sup>3</sup> H $\beta$ decay
< 7.2	95	<sup>10</sup> WEINHEIMER	93 SPEC	<sup>3</sup> H $\beta$ decay
<11.7	95	<sup>11</sup> HOLZSCHUH	92B SPEC	<sup>3</sup> H $\beta$ decay
<13.1	95	<sup>12</sup> KAWAKAMI	91 SPEC	<sup>3</sup> H $\beta$ decay
< 9.3	95	<sup>13</sup> ROBERTSON	91 SPEC	<sup>3</sup> H $\beta$ decay
<14	95	AVIGNONE	90 ASTR	SN 1987A
<16		SPERGEL	88 ASTR	SN 1987A
17 to 40		<sup>14</sup> BORIS	87 SPEC	<sup>3</sup> H $\beta$ decay

<sup>1</sup> KRAUS 05 is a continuation of the work reported in WEINHEIMER 99. This result represents the final analysis of data taken from 1997 to 2001. Various sources of systematic uncertainties have been identified and quantified. The background has been reduced compared to the initial running period. A spectral anomaly at the endpoint, reported in LOBASHEV 99, was not observed.

<sup>2</sup> LOBASHEV 99 report a new measurement which continues the work reported in BELESEV 95. This limit depends on phenomenological fit parameters used to derive their best fit to  $m_{\nu}^2$ , making unambiguous interpretation difficult. See the footnote under “ $\bar{\nu}$  Mass Squared.”

<sup>3</sup> ARNABOLDI 03A *et al.* report kinematical neutrino mass limit using  $\beta$ -decay of <sup>187</sup>Re. Bolometric AgReO<sub>4</sub> micro-calorimeters are used. Mass bound is substantially weaker than those derived from tritium  $\beta$ -decays but has different systematic uncertainties.

<sup>4</sup> LOREDO 02 updates LOREDO 89.

<sup>5</sup> WEINHEIMER 99 presents two analyses which exclude the spectral anomaly and result in an acceptable  $m_{\nu}^2$ . We report the most conservative limit, but the other is nearly the same. See the footnote under “ $\bar{\nu}$  Mass Squared.”

<sup>6</sup> BELESEV 95 (Moscow) use an integral electrostatic spectrometer with adiabatic magnetic collimation and a gaseous tritium sources. A fit to a normal Kurie plot above 18300–18350 eV (to avoid a low-energy anomaly) plus a monochromatic line 7–15 eV below the endpoint yields  $m_{\nu}^2 = -4.1 \pm 10.9 \text{ eV}^2$ , leading to this Bayesian limit.

<sup>7</sup> CHING 95 quotes results previously given by SUN 93; no experimental details are given. A possible explanation for consistently negative values of  $m_{\nu}^2$  is given.

- <sup>8</sup> HIDDEMANN 95 (Munich) experiment uses atomic tritium embedded in a metal-dioxide lattice. Bayesian limit calculated from the weighted mean  $m_\nu^2 = 221 \pm 4244 \text{ eV}^2$  from the two runs listed below.
- <sup>9</sup> STOEFL 95 (LLNL) result is the Bayesian limit obtained from the  $m_\nu^2$  errors given below but with  $m_\nu^2$  set equal to 0. The anomalous endpoint accumulation leads to a value of  $m_\nu^2$  which is negative by more than 5 standard deviations.
- <sup>10</sup> WEINHEIMER 93 (Mainz) is a measurement of the endpoint of the tritium  $\beta$  spectrum using an electrostatic spectrometer with a magnetic guiding field. The source is molecular tritium frozen onto an aluminum substrate.
- <sup>11</sup> HOLZSCHUH 92B (Zurich) result is obtained from the measurement  $m_\nu^2 = -24 \pm 48 \pm 61$  ( $1\sigma$  errors), in  $\text{eV}^2$ , using the PDG prescription for conversion to a limit in  $m_\nu$ .
- <sup>12</sup> KAWAKAMI 91 (Tokyo) experiment uses tritium-labeled arachidic acid. This result is the Bayesian limit obtained from the  $m_\nu^2$  limit with the errors combined in quadrature. This was also done in ROBERTSON 91, although the authors report a different procedure.
- <sup>13</sup> ROBERTSON 91 (LANL) experiment uses gaseous molecular tritium. The result is in strong disagreement with the earlier claims by the ITEP group [LUBIMOV 80, BORIS 87 (+ BORIS 88 erratum)] that  $m_\nu$  lies between 17 and 40 eV. However, the probability of a positive  $m^2$  is only 3% if statistical and systematic error are combined in quadrature.
- <sup>14</sup> See also comment in BORIS 87B and erratum in BORIS 88.

### $\bar{\nu}$ MASS SQUARED (electron based)

Given troubling systematics which result in improbably negative estimators of  $m_{\nu_e}^{2(\text{eff})} \equiv \sum_i |U_{ei}|^2 m_{\nu_i}^2$ , in many experiments, we use only KRAUS 05 and LOBASHEV 99 for our average.

VALUE ( $\text{eV}^2$ )	CL%	DOCUMENT ID	TECN	COMMENT
– <b>1.1 ± 2.4</b>				<b>OUR AVERAGE</b>
– 0.6 ± 2.2 ± 2.1		<sup>15</sup> KRAUS	05 SPEC	<sup>3</sup> H $\beta$ decay
– 1.9 ± 3.4 ± 2.2		<sup>16</sup> LOBASHEV	99 SPEC	<sup>3</sup> H $\beta$ decay
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
– 3.7 ± 5.3 ± 2.1		<sup>17</sup> WEINHEIMER	99 SPEC	<sup>3</sup> H $\beta$ decay
– 22 ± 4.8		<sup>18</sup> BELESEV	95 SPEC	<sup>3</sup> H $\beta$ decay
129 ± 6010		<sup>19</sup> HIDDEMANN	95 SPEC	<sup>3</sup> H $\beta$ decay
313 ± 5994		<sup>19</sup> HIDDEMANN	95 SPEC	<sup>3</sup> H $\beta$ decay
– 130 ± 20 ± 15	95	<sup>20</sup> STOEFL	95 SPEC	<sup>3</sup> H $\beta$ decay
– 31 ± 75 ± 48		<sup>21</sup> SUN	93 SPEC	<sup>3</sup> H $\beta$ decay
– 39 ± 34 ± 15		<sup>22</sup> WEINHEIMER	93 SPEC	<sup>3</sup> H $\beta$ decay
– 24 ± 48 ± 61		<sup>23</sup> HOLZSCHUH	92B SPEC	<sup>3</sup> H $\beta$ decay
– 65 ± 85 ± 65		<sup>24</sup> KAWAKAMI	91 SPEC	<sup>3</sup> H $\beta$ decay
– 147 ± 68 ± 41		<sup>25</sup> ROBERTSON	91 SPEC	<sup>3</sup> H $\beta$ decay

- <sup>15</sup> KRAUS 05 is a continuation of the work reported in WEINHEIMER 99. This result represents the final analysis of data taken from 1997 to 2001. Problems with significantly negative squared neutrino masses, observed in some earlier experiments, have been resolved in this work.

- <sup>16</sup> LOBASHEV 99 report a new measurement which continues the work reported in BELESEV 95. The data were corrected for electron trapping effects in the source, eliminating the dependence of the fitted neutrino mass on the fit interval. The analysis assuming a pure beta spectrum yields significantly negative fitted  $m_\nu^2 \approx -(20-10) \text{ eV}^2$ . This problem is attributed to a discrete spectral anomaly of about  $6 \times 10^{-11}$  intensity with a time-dependent energy of 5–15 eV below the endpoint. The data analysis accounts for this anomaly by introducing two extra phenomenological fit parameters resulting in a best fit of  $m_\nu^2 = -1.9 \pm 3.4 \pm 2.2 \text{ eV}^2$  which is used to derive a neutrino mass limit. However, the introduction of phenomenological fit parameters which are correlated with the derived  $m_\nu^2$  limit makes unambiguous interpretation of this result difficult.
- <sup>17</sup> WEINHEIMER 99 is a continuation of the work reported in WEINHEIMER 93. Using a lower temperature of the frozen tritium source eliminated the dewetting of the  $T_2$  film, which introduced a dependence of the fitted neutrino mass on the fit interval in the earlier work. An indication for a spectral anomaly reported in LOBASHEV 99 has been seen, but its time dependence does not agree with LOBASHEV 99. Two analyses, which exclude the spectral anomaly either by choice of the analysis interval or by using a particular data set which does not exhibit the anomaly, result in acceptable  $m_\nu^2$  fits and are used to derive the neutrino mass limit published by the authors. We list the most conservative of the two.
- <sup>18</sup> BELESEV 95 (Moscow) use an integral electrostatic spectrometer with adiabatic magnetic collimation and a gaseous tritium sources. This value comes from a fit to a normal Kurie plot above 18300–18350 eV (to avoid a low-energy anomaly), including the effects of an apparent peak 7–15 eV below the endpoint.
- <sup>19</sup> HIDDEMANN 95 (Munich) experiment uses atomic tritium embedded in a metal-dioxide lattice. They quote measurements from two data sets.
- <sup>20</sup> STOEFFL 95 (LLNL) uses a gaseous source of molecular tritium. An anomalous pileup of events at the endpoint leads to the negative value for  $m_\nu^2$ . The authors acknowledge that “the negative value for the best fit of  $m_\nu^2$  has no physical meaning” and discuss possible explanations for this effect.
- <sup>21</sup> SUN 93 uses a tritiated hydrocarbon source. See also CHING 95.
- <sup>22</sup> WEINHEIMER 93 (Mainz) is a measurement of the endpoint of the tritium  $\beta$  spectrum using an electrostatic spectrometer with a magnetic guiding field. The source is molecular tritium frozen onto an aluminum substrate.
- <sup>23</sup> HOLZSCHUH 92B (Zurich) source is a monolayer of tritiated hydrocarbon.
- <sup>24</sup> KAWAKAMI 91 (Tokyo) experiment uses tritium-labeled arachidic acid.
- <sup>25</sup> ROBERTSON 91 (LANL) experiment uses gaseous molecular tritium. The result is in strong disagreement with the earlier claims by the ITEP group [LUBIMOV 80, BORIS 87 (+ BORIS 88 erratum)] that  $m_\nu$  lies between 17 and 40 eV. However, the probability of a positive  $m_\nu^2$  is only 3% if statistical and systematic error are combined in quadrature.

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### $\nu$ MASS (electron based)

These are measurement of  $m_\nu$  (in contrast to  $m_{\bar{\nu}}$ , given above). The masses can be different for a Dirac neutrino in the absence of  $CPT$  invariance. The possible distinction between  $\nu$  and  $\bar{\nu}$  properties is usually ignored elsewhere in these Listings.

VALUE (eV)	CL%	DOCUMENT ID	TECN	COMMENT
<460	68	YASUMI	94 CNTR	$^{163}\text{Ho}$ decay
<225	95	SPRINGER	87 CNTR	$^{163}\text{Ho}$ decay

### $\nu$ MASS (muon based)

Limits given below are for the square root of  $m_{\nu\mu}^{2(\text{eff})} \equiv \sum_i |U_{\mu i}|^2 m_{\nu_i}^2$ .

In some of the COSM papers listed below, the authors did not distinguish between weak and mass eigenstates.

OUR EVALUATION is based on OUR AVERAGE for the  $\pi^\pm$  mass and the ASSAMAGAN 96 value for the muon momentum for the  $\pi^+$  decay at rest. The limit is calculated using the unified classical analysis of FELDMAN 98 for a Gaussian distribution near a physical boundary. WARNING: since  $m_{\nu\mu}^{2(\text{eff})}$  is calculated from the differences of large numbers, it and the corresponding limits are extraordinarily sensitive to small changes in the pion mass, the decay muon momentum, and their errors. For example, the limits obtained using JECKELMANN 94, LENZ 98, and the weighted averages are 0.15, 0.29, and 0.19 MeV, respectively.

VALUE (MeV)	CL%	DOCUMENT ID	TECN	COMMENT
<b>&lt;0.19 (CL = 90%) OUR EVALUATION</b>				
<0.17	90	<sup>26</sup> ASSAMAGAN	96 SPEC	$m_\nu^2 = -0.016 \pm 0.023$
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
<0.15		<sup>27</sup> DOLGOV	95 COSM	Nucleosynthesis
<0.48		<sup>28</sup> ENQVIST	93 COSM	Nucleosynthesis
<0.3		<sup>29</sup> FULLER	91 COSM	Nucleosynthesis
<0.42		<sup>29</sup> LAM	91 COSM	Nucleosynthesis
<0.50	90	<sup>30</sup> ANDERHUB	82 SPEC	$m_\nu^2 = -0.14 \pm 0.20$
<0.65	90	CLARK	74 ASPK	$K_{\mu 3}$ decay

<sup>26</sup> ASSAMAGAN 96 measurement of  $p_\mu$  from  $\pi^+ \rightarrow \mu^+ \nu$  at rest combined with JECKELMANN 94 Solution B pion mass yields  $m_\nu^2 = -0.016 \pm 0.023$  with corresponding Bayesian limit listed above. If Solution A is used,  $m_\nu^2 = -0.143 \pm 0.024 \text{ MeV}^2$ . Replaces ASSAMAGAN 94.

<sup>27</sup> DOLGOV 95 removes earlier assumptions (DOLGOV 93) about thermal equilibrium below  $T_{\text{QCD}}$  for wrong-helicity Dirac neutrinos (ENQVIST 93, FULLER 91) to set more stringent limits.

<sup>28</sup> ENQVIST 93 bases limit on the fact that thermalized wrong-helicity Dirac neutrinos would speed up expansion of early universe, thus reducing the primordial abundance. FULLER 91 exploits the same mechanism but in the older calculation obtains a larger production rate for these states, and hence a lower limit. Neutrino lifetime assumed to exceed nucleosynthesis time,  $\sim 1 \text{ s}$ .

<sup>29</sup> Assumes neutrino lifetime  $> 1 \text{ s}$ . For Dirac neutrinos only. See also ENQVIST 93.

<sup>30</sup> ANDERHUB 82 kinematics is insensitive to the pion mass.

## $\nu$ MASS (tau based)

The limits given below are the square roots of limits for  $m_{\nu_\tau}^{2(\text{eff})} \equiv \sum_i |U_{\tau i}|^2 m_{\nu_i}^2$ .

In some of the ASTR and COSM papers listed below, the authors did not distinguish between weak and mass eigenstates.

VALUE (MeV)	CL%	EVTS	DOCUMENT ID	TECN	COMMENT
< <b>18.2</b>	95		31 BARATE	98F ALEP	1991–1995 LEP runs
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●					
< 28	95		32 ATHANAS	00 CLEO	$E_{\text{cm}}^{ee} = 10.6$ GeV
< 27.6	95		33 ACKERSTAFF	98T OPAL	1990–1995 LEP runs
< 30	95	473	34 AMMAR	98 CLEO	$E_{\text{cm}}^{ee} = 10.6$ GeV
< 60	95		35 ANASTASSOV	97 CLEO	$E_{\text{cm}}^{ee} = 10.6$ GeV
< 0.37 or >22			36 FIELDS	97 COSM	Nucleosynthesis
< 68	95		37 SWAIN	97 THEO	$m_\tau, \tau_\tau, \tau$ partial widths
< 29.9	95		38 ALEXANDER	96M OPAL	1990–1994 LEP runs
<149			39 BOTTINO	96 THEO	$\pi, \mu, \tau$ leptonic decays
<1 or >25			40 HANNESTAD	96C COSM	Nucleosynthesis
< 71	95		41 SOBIE	96 THEO	$m_\tau, \tau_\tau, B(\tau^- \rightarrow e^- \bar{\nu}_e \nu_\tau)$
< 24	95	25	42 BUSKULIC	95H ALEP	1991–1993 LEP runs
< 0.19			43 DOLGOV	95 COSM	Nucleosynthesis
< 3			44 SIGL	95 ASTR	SN 1987A
< 0.4 or > 30			45 DODELSON	94 COSM	Nucleosynthesis
< 0.1 or > 50			46 KAWASAKI	94 COSM	Nucleosynthesis
155–225			47 PERES	94 THEO	$\pi, K, \mu, \tau$ weak decays
< 32.6	95	113	48 CINABRO	93 CLEO	$E_{\text{cm}}^{ee} \approx 10.6$ GeV
< 0.3 or > 35			49 DOLGOV	93 COSM	Nucleosynthesis
< 0.74			50 ENQVIST	93 COSM	Nucleosynthesis
< 31	95	19	51 ALBRECHT	92M ARG	$E_{\text{cm}}^{ee} = 9.4\text{--}10.6$ GeV
< 0.3			52 FULLER	91 COSM	Nucleosynthesis
< 0.5 or > 25			53 KOLB	91 COSM	Nucleosynthesis
< 0.42			52 LAM	91 COSM	Nucleosynthesis

<sup>31</sup> BARATE 98F result based on kinematics of 2939  $\tau^- \rightarrow 2\pi^- \pi^+ \nu_\tau$  and 52  $\tau^- \rightarrow 3\pi^- 2\pi^+ (\pi^0) \nu_\tau$  decays. If possible 2.5% excited  $a_1$  decay is included in 3-prong sample analysis, limit increases to 19.2 MeV.

<sup>32</sup> ATHANAS 00 bound comes from analysis of  $\tau^- \rightarrow \pi^- \pi^+ \pi^- \pi^0 \nu_\tau$  decays.

<sup>33</sup> ACKERSTAFF 98T use  $\tau \rightarrow 5\pi^\pm \nu_\tau$  decays to obtain a limit of 43.2 MeV (95%CL). They combine this with ALEXANDER 96M value using  $\tau \rightarrow 3h^\pm \nu_\tau$  decays to obtain quoted limit.

<sup>34</sup> AMMAR 98 limit comes from analysis of  $\tau^- \rightarrow 3\pi^- 2\pi^+ \nu_\tau$  and  $\tau^- \rightarrow 2\pi^- \pi^+ 2\pi^0 \nu_\tau$  decay modes.

<sup>35</sup> ANASTASSOV 97 derive limit by comparing their  $m_\tau$  measurement (which depends on  $m_{\nu_\tau}$ ) to BAI 96  $m_\tau$  threshold measurement.



- <sup>36</sup> FIELDS 97 limit for a Dirac neutrino. For a Majorana neutrino the mass region  $< 0.93$  or  $> 31$  MeV is excluded. These bounds assume  $N_\nu < 4$  from nucleosynthesis; a wider excluded region occurs with a smaller  $N_\nu$  upper limit.
- <sup>37</sup> SWAIN 97 derive their limit from the Standard Model relationships between the tau mass, lifetime, branching fractions for  $\tau^- \rightarrow e^- \bar{\nu}_e \nu_\tau$ ,  $\tau^- \rightarrow \mu^- \bar{\nu}_\mu \nu_\tau$ ,  $\tau^- \rightarrow \pi^- \nu_\tau$ , and  $\tau^- \rightarrow K^- \nu_\tau$ , and the muon mass and lifetime by assuming lepton universality and using world average values. Limit is reduced to 48 MeV when the CLEO  $\tau$  mass measurement (BALEST 93) is included; see CLEO's more recent  $m_{\nu_\tau}$  limit (ANASTASSOV 97). Consideration of mixing with a fourth generation heavy neutrino yields  $\sin^2 \theta_L < 0.016$  (95%CL).
- <sup>38</sup> ALEXANDER 96M bound comes from analyses of  $\tau^- \rightarrow 3\pi^- 2\pi^+ \nu_\tau$  and  $\tau^- \rightarrow h^- h^- h^+ \nu_\tau$  decays.
- <sup>39</sup> BOTTINO 96 assumes three generations of neutrinos with mixing, finds consistency with massless neutrinos with no mixing based on 1995 data for masses, lifetimes, and leptonic partial widths.
- <sup>40</sup> HANNESTAD 96C limit is on the mass of a Majorana neutrino. This bound assumes  $N_\nu < 4$  from nucleosynthesis. A wider excluded region occurs with a smaller  $N_\nu$  upper limit. This paper is the corrected version of HANNESTAD 96; see the erratum: HANNESTAD 96B.
- <sup>41</sup> SOBIE 96 derive their limit from the Standard Model relationship between the tau mass, lifetime, and leptonic branching fraction, and the muon mass and lifetime, by assuming lepton universality and using world average values.
- <sup>42</sup> BUSKULIC 95H bound comes from a two-dimensional fit of the visible energy and invariant mass distribution of  $\tau \rightarrow 5\pi(\pi^0)\nu_\tau$  decays. Replaced by BARATE 98F.
- <sup>43</sup> DOLGOV 95 removes earlier assumptions (DOLGOV 93) about thermal equilibrium below  $T_{\text{QCD}}$  for wrong-helicity Dirac neutrinos (ENQVIST 93, FULLER 91) to set more stringent limits. DOLGOV 96 argues that a possible window near 20 MeV is excluded.
- <sup>44</sup> SIGL 95 exclude massive Dirac or Majorana neutrinos with lifetimes between  $10^{-3}$  and  $10^8$  seconds if the decay products are predominantly  $\gamma$  or  $e^+ e^-$ .
- <sup>45</sup> DODELSON 94 calculate constraints on  $\nu_\tau$  mass and lifetime from nucleosynthesis for 4 generic decay modes. Limits depend strongly on decay mode. Quoted limit is valid for all decay modes of Majorana neutrinos with lifetime greater than about 300 s. For Dirac neutrinos limits change to  $< 0.3$  or  $> 33$ .
- <sup>46</sup> KAWASAKI 94 excluded region is for Majorana neutrino with lifetime  $> 1000$  s. Other limits are given as a function of  $\nu_\tau$  lifetime for decays of the type  $\nu_\tau \rightarrow \nu_\mu \phi$  where  $\phi$  is a Nambu-Goldstone boson.
- <sup>47</sup> PERES 94 used PDG 92 values for parameters to obtain a value consistent with mixing. Reexamination by BOTTINO 96 which included radiative corrections and 1995 PDG parameters resulted in two allowed regions,  $m_3 < 70$  MeV and  $140 \text{ MeV} < m_3 < 149$  MeV.
- <sup>48</sup> CINABRO 93 bound comes from analysis of  $\tau^- \rightarrow 3\pi^- 2\pi^+ \nu_\tau$  and  $\tau^- \rightarrow 2\pi^- \pi^+ 2\pi^0 \nu_\tau$  decay modes.
- <sup>49</sup> DOLGOV 93 assumes neutrino lifetime  $> 100$  s. For Majorana neutrinos, the low mass limit is 0.5 MeV. KAWANO 92 points out that these bounds can be overcome for a Dirac neutrino if it possesses a magnetic moment. See also DOLGOV 96.
- <sup>50</sup> ENQVIST 93 bases limit on the fact that thermalized wrong-helicity Dirac neutrinos would speed up expansion of early universe, thus reducing the primordial abundance. FULLER 91 exploits the same mechanism but in the older calculation obtains a larger

production rate for these states, and hence a lower limit. Neutrino lifetime assumed to exceed nucleosynthesis time,  $\sim 1$  s.

<sup>51</sup> ALBRECHT 92M reports measurement of a slightly lower  $\tau$  mass, which has the effect of reducing the  $\nu_\tau$  mass reported in ALBRECHT 88B. Bound is from analysis of  $\tau^- \rightarrow 3\pi^- 2\pi^+ \nu_\tau$  mode.

<sup>52</sup> Assumes neutrino lifetime  $>1$  s. For Dirac neutrinos. See also ENQVIST 93.

<sup>53</sup> KOLB 91 exclusion region is for Dirac neutrino with lifetime  $>1$  s; other limits are given.

Revised April 1998 by K.A. Olive (University of Minnesota).

The limits on low mass ( $m_\nu \lesssim 1$  MeV) neutrinos apply to  $m_{\text{tot}}$  given by

$$m_{\text{tot}} = \sum_{\nu} (g_\nu/2) m_\nu ,$$

where  $g_\nu$  is the number of spin degrees of freedom for  $\nu$  plus  $\bar{\nu}$ :  $g_\nu = 4$  for neutrinos with Dirac masses;  $g_\nu = 2$  for Majorana neutrinos. Stable neutrinos in this mass range make a contribution to the total energy density of the Universe which is given by

$$\rho_\nu = m_{\text{tot}} n_\nu = m_{\text{tot}} (3/11) n_\gamma ,$$

where the factor 3/11 is the ratio of (light) neutrinos to photons. Writing  $\Omega_\nu = \rho_\nu / \rho_c$ , where  $\rho_c$  is the critical energy density of the Universe, and using  $n_\gamma = 412 \text{ cm}^{-3}$ , we have

$$\Omega_\nu h^2 = m_{\text{tot}} / (94 \text{ eV}) .$$

Therefore, a limit on  $\Omega_\nu h^2$  such as  $\Omega_\nu h^2 < 0.25$  gives the limit

$$m_{\text{tot}} < 24 \text{ eV} .$$

The limits on high mass ( $m_\nu > 1$  MeV) neutrinos apply separately to each neutrino type.

## SUM OF THE NEUTRINO MASSES, $m_{\text{tot}}$

(Defined in the above note), of effectively stable neutrinos (i.e., those with mean lives greater than or equal to the age of the universe). These papers assumed Dirac neutrinos. When necessary, we have generalized the results reported so they apply to  $m_{\text{tot}}$ . For other limits, see SZALAY 76, VYSOTSKY 77, BERNSTEIN 81, FREESE 84, SCHRAMM 84, and COWSIK 85.

<u>VALUE (eV)</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
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• • • We do not use the following data for averages, fits, limits, etc. • • •

< 2.0	54	ICHIKAWA	05	COSM	
< 0.75	55	BARGER	04	COSM	
< 1.0	56	CROTTY	04	COSM	
< 1.0	57	HANNESTAD	03B	COSM	
< 0.7	58	SPERGEL	03	COSM	WMAP
< 1.8	59	ELGARROY	02	ASTR	2dF Galaxy Redshift Survey
< 0.9	60	LEWIS	02	COSM	
< 4.2	61	WANG	02	COSM	CMB
< 2.7	62	FUKUGITA	00	COSM	
< 5.5	63	CROFT	99	ASTR	Ly $\alpha$ power spec
<180		SZALAY	74	COSM	
<132		COWSIK	72	COSM	
<280		MARX	72	COSM	
<400		GERSHTEIN	66	COSM	

<sup>54</sup> Constrains the total mass of neutrinos from the CMB experiments alone, assuming  $\Lambda$ CDM Universe.

<sup>55</sup> Constrains the total mass of neutrinos from the power spectrum of fluctuations derived from the Sloan Digital Sky Survey and the 2dF galaxy redshift survey, WMAP and 27 other CMB experiments and measurements by the HST Key project.

<sup>56</sup> Constrains the total mass of neutrinos from the power spectrum of fluctuations derived from the Sloan Digital Sky Survey, the 2dF galaxy redshift survey, WMAP and ACBAR. The limit is strengthened to 0.6 eV when measurements by the HST Key project and supernovae data are included.

<sup>57</sup> Constrains the fractional contribution of neutrinos to the total matter density in the Universe from WMAP data combined with other CMB measurements, the 2dfGRS data, HST data, and SN1a data.

<sup>58</sup> Constrains the fractional contribution of neutrinos to the total matter density in the Universe from WMAP data combined with other CMB measurements, the 2dfGRS data, and Lyman  $\alpha$  data. The limit does not noticeably change if the Lyman  $\alpha$  data are not used.

<sup>59</sup> ELGARROY 02 constrains the fractional contribution of neutrinos to the total matter density in the Universe from the power spectrum of fluctuations derived from the 2 Degree Field Galaxy Redshift Survey. Assumes  $\Omega_{\text{matter}} < 0.5$  and a spectral index of 1.0. Limit softens to  $m_\nu < 2.2$  eV for  $n=1.0 \pm 0.1$ .

<sup>60</sup> LEWIS 02 constrains the total mass of neutrinos from the power spectrum of fluctuations derived from the CMB, HST Key project, 2dF galaxy redshift survey, supernovae type Ia, and BBN.

<sup>61</sup> WANG 02 constrains the total mass of neutrinos from the power spectrum of fluctuations derived from the CMB and other cosmological data sets such as galaxy clustering and the Lyman  $\alpha$  forest.

<sup>62</sup> FUKUGITA 00 is a limit on neutrino masses from structure formation. The constraint is based on the clustering scale  $\sigma_8$  and the COBE normalization and leads to a conservative limit of 0.9 eV assuming 3 nearly degenerate neutrinos. The quoted limit is on the sum of the light neutrino masses.

<sup>63</sup> CROFT 99 result based on the power spectrum of the Ly  $\alpha$  forest. If  $\Omega_{\text{matter}} < 0.5$ , the limit is improved to  $m_\nu < 2.4 (\Omega_{\text{matter}}/0.17-1)$  eV.

### Limits on MASSES of Light Stable Right-Handed $\nu$ (with necessarily suppressed interaction strengths)

VALUE	DOCUMENT ID	TECN	COMMENT
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• • • We do not use the following data for averages, fits, limits, etc. • • •

<100–200	<sup>64</sup> OLIVE	82	COSM Dirac $\nu$
<200–2000	<sup>64</sup> OLIVE	82	COSM Majorana $\nu$

<sup>64</sup> Depending on interaction strength  $G_R$  where  $G_R < G_F$ .

### Limits on MASSES of Heavy Stable Right-Handed $\nu$ (with necessarily suppressed interaction strengths)

VALUE	DOCUMENT ID	TECN	COMMENT
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• • • We do not use the following data for averages, fits, limits, etc. • • •

> 10	<sup>65</sup> OLIVE	82	COSM $G_R/G_F < 0.1$
>100	<sup>65</sup> OLIVE	82	COSM $G_R/G_F < 0.01$

<sup>65</sup> These results apply to heavy Majorana neutrinos and are summarized by the equation:  $m_\nu > 1.2 \text{ GeV } (G_F/G_R)$ . The bound saturates, and if  $G_R$  is too small no mass range is allowed.

## $\nu$ CHARGE

VALUE (units: electron charge)	DOCUMENT ID	TECN	COMMENT
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• • • We do not use the following data for averages, fits, limits, etc. • • •

< $2 \times 10^{-14}$	<sup>66</sup> RAFFELT	99	ASTR Red giant luminosity
< $6 \times 10^{-14}$	<sup>67</sup> RAFFELT	99	ASTR Solar cooling
< $4 \times 10^{-4}$	<sup>68</sup> BABU	94	RVUE BEBC beam dump
< $3 \times 10^{-4}$	<sup>69</sup> DAVIDSON	91	RVUE SLAC electron beam dump
< $2 \times 10^{-15}$	<sup>70</sup> BARBIELLINI	87	ASTR SN 1987A
< $1 \times 10^{-13}$	<sup>71</sup> BERNSTEIN	63	ASTR Solar energy losses

<sup>66</sup> This RAFFELT 99 limit applies to all neutrino flavors which are light enough (<5 keV) to be emitted from globular-cluster red giants.

<sup>67</sup> This RAFFELT 99 limit is derived from the helioseismological limit on a new energy-loss channel of the Sun, and applies to all neutrino flavors which are light enough (<1 keV) to be emitted from the sun.

<sup>68</sup> BABU 94 use COOPER-SARKAR 92 limit on  $\nu$  magnetic moment to derive quoted result. It applies to  $\nu_\tau$ .

- <sup>69</sup> DAVIDSON 91 use data from early SLAC electron beam dump experiment to derive charge limit as a function of neutrino mass. It applies to  $\nu_\tau$ .
- <sup>70</sup> Precise BARBIELLINI 87 limit depends on assumptions about the intergalactic or galactic magnetic fields and about the direct distance and time through the field. It applies to  $\nu_e$ .
- <sup>71</sup> The limit applies to all flavors.

## $\nu$ (MEAN LIFE) / MASS

Measures  $[\sum |U_{\ell j}|^2 \Gamma_j m_j]^{-1}$ , where the sum is over mass eigenstates which cannot be resolved experimentally. Some of the limits constrain the radiative decay and are based on the limit of the corresponding photon flux. Other apply to the decay of a heavier neutrino into the lighter one and a Majoron or other invisible particle. Many of these limits apply to any  $\nu$  within the indicated mass range.

VALUE (s/eV)	CL%	DOCUMENT ID	TECN	COMMENT
> 15.4	90	<sup>72</sup> KRAKAUER	91 CNTR	$\nu_\mu, \bar{\nu}_\mu$ at LAMPF
> 7 × 10 <sup>9</sup>		<sup>73</sup> RAFFELT	85 ASTR	
> 300	90	<sup>74</sup> REINES	74 CNTR	$\bar{\nu}_e$
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
> 0.004	90	<sup>75</sup> AHARMIM	04 SNO	quasidegen. $\nu$ masses
> 4.4 × 10 <sup>-5</sup>	90	<sup>75</sup> AHARMIM	04 SNO	hierarchical $\nu$ masses
$\gtrsim$ 100	95	<sup>76</sup> CECCHINI	04 ASTR	Radiative decay for $\nu$ mass > 0.01 eV
> 0.067	90	<sup>77</sup> EGUCHI	04 KLND	quasidegen. $\nu$ masses
> 1.1 × 10 <sup>-3</sup>	90	<sup>77</sup> EGUCHI	04 KLND	hierarchical $\nu$ masses
> 8.7 × 10 <sup>-5</sup>	99	<sup>78</sup> BANDYOPA...	03 FIT	nonradiative decay
$\geq$ 4200	90	<sup>79</sup> DERBIN	02B CNTR	Solar $pp$ and Be $\nu$
> 2.8 × 10 <sup>-5</sup>	99	<sup>80</sup> JOSHIPURA	02B FIT	nonradiative decay
		<sup>81</sup> DOLGOV	99 COSM	
		<sup>82</sup> BILLER	98 ASTR	$m_\nu = 0.05-1$ eV
> 2.8 × 10 <sup>15</sup>		<sup>83,84</sup> BLUDMAN	92 ASTR	$m_\nu < 50$ eV
none 10 <sup>-12</sup> – 5 × 10 <sup>4</sup>		<sup>85</sup> DODELSON	92 ASTR	$m_\nu = 1-300$ keV
< 10 <sup>-12</sup> or > 5 × 10 <sup>4</sup>		<sup>85</sup> DODELSON	92 ASTR	$m_\nu = 1-300$ keV
		<sup>86</sup> GRANEK	91 COSM	Decaying $L^0$
> 6.4	90	<sup>87</sup> KRAKAUER	91 CNTR	$\nu_e$ at LAMPF
> 1.1 × 10 <sup>15</sup>		<sup>88</sup> WALKER	90 ASTR	$m_\nu = 0.03 - \sim 2$ MeV
> 6.3 × 10 <sup>15</sup>		<sup>84,89</sup> CHUPP	89 ASTR	$m_\nu < 20$ eV
> 1.7 × 10 <sup>15</sup>		<sup>84</sup> KOLB	89 ASTR	$m_\nu < 20$ eV
		<sup>90</sup> RAFFELT	89 RVUE	$\bar{\nu}$ (Dirac, Majorana)
		<sup>91</sup> RAFFELT	89B ASTR	
> 8.3 × 10 <sup>14</sup>		<sup>92</sup> VONFEILIT...	88 ASTR	
> 22	68	<sup>93</sup> OBERAUER	87	$\bar{\nu}_R$ (Dirac)
> 38	68	<sup>93</sup> OBERAUER	87	$\bar{\nu}$ (Majorana)
> 59	68	<sup>93</sup> OBERAUER	87	$\bar{\nu}_L$ (Dirac)
> 30	68	KETOV	86 CNTR	$\bar{\nu}$ (Dirac)
> 20	68	KETOV	86 CNTR	$\bar{\nu}$ (Majorana)

			94	BINETRUY	84	COSM	$m_\nu \sim 1$ MeV
>	0.11		90	95 FRANK	81	CNTR	$\nu\bar{\nu}$ LAMPF
>	2	$\times 10^{21}$		96 STECKER	80	ASTR	$m_\nu = 10\text{--}100$ eV
>	1.0	$\times 10^{-2}$	90	95 BLIETSCHAU	78	HLBC	$\nu_\mu$ , CERN GGM
>	1.7	$\times 10^{-2}$	90	95 BLIETSCHAU	78	HLBC	$\bar{\nu}_\mu$ , CERN GGM
<	3	$\times 10^{-11}$		97 FALK	78	ASTR	$m_\nu < 10$ MeV
>	2.2	$\times 10^{-3}$	90	95 BARNES	77	DBC	$\nu$ , ANL 12-ft
				98 COWSIK	77	ASTR	
>	3.	$\times 10^{-3}$	90	95 BELLOTTI	76	HLBC	$\nu$ , CERN GGM
>	1.3	$\times 10^{-2}$	90	95 BELLOTTI	76	HLBC	$\bar{\nu}$ , CERN GGM

<sup>72</sup> KRAKAUER 91 quotes the limit  $\tau/m_{\nu_1} > (0.75a^2 + 21.65a + 26.3) \text{ s/eV}$ , where  $a$  is a parameter describing the asymmetry in the neutrino decay defined as  $dN_\gamma/d\cos\theta = (1/2)(1 + a\cos\theta)$ . The parameter  $a=0$  for a Majorana neutrino, but can vary from  $-1$  to  $1$  for a Dirac neutrino. The bound given by the authors is the most conservative (which applies for  $a = -1$ ).

<sup>73</sup> RAFFELT 85 limit on the radiative decay is from solar  $x$ - and  $\gamma$ -ray fluxes. Limit depends on  $\nu$  flux from  $pp$ , now established from GALLEX and SAGE to be  $> 0.5$  of expectation.

<sup>74</sup> REINES 74 looked for  $\nu$  of nonzero mass decaying radiatively to a neutral of lesser mass  $+\gamma$ . Used liquid scintillator detector near fission reactor. Finds lab lifetime  $6 \times 10^7$  s or more. Above value of (mean life)/mass assumes average effective neutrino energy of  $0.2$  MeV. To obtain the limit  $6 \times 10^7$  s REINES 74 assumed that the full  $\bar{\nu}_e$  reactor flux could be responsible for yielding decays with photon energies in the interval  $0.1$  MeV –  $0.5$  MeV. This represents some overestimate so their lower limit is an over-estimate of the lab lifetime (VOGEL 84). If so, OBERAUER 87 may be comparable or better.

<sup>75</sup> AHARMIM 04 obtained these results from the solar  $\bar{\nu}_e$  flux limit set by the SNO measurement assuming  $\nu_2$  decay through nonradiative process  $\nu_2 \rightarrow \bar{\nu}_1 X$ , where  $X$  is a Majoron or other invisible particle. Limits are given for the cases of quasidegenerate and hierarchical neutrino masses.

<sup>76</sup> CECCHINI 04 obtained this bound through the observations performed on the occasion of the 21 June 2001 total solar eclipse, looking for visible photons from radiative decays of solar neutrinos. Limit is a  $\tau/m_{\nu_2}$  in  $\nu_2 \rightarrow \nu_1 \gamma$ . Limit ranges from  $\sim 100$  to  $10^7$  s/eV for  $0.01 < m_{\nu_1} < 0.1$  eV.

<sup>77</sup> EGUCHI 04 obtained these results from the solar  $\bar{\nu}_e$  flux limit set by the KamLAND measurement assuming  $\nu_2$  decay through nonradiative process  $\nu_2 \rightarrow \bar{\nu}_1 X$ , where  $X$  is a Majoron or other invisible particle. Limits are given for the cases of quasidegenerate and hierarchical neutrino masses.

<sup>78</sup> The ratio of the lifetime over the mass derived by BANDYOPADHYAY 03 is for  $\nu_2$ . They obtained this result using the following solar-neutrino data: total rates measured in Cl and Ga experiments, the Super-Kamiokande's zenith-angle spectra, and SNO's day and night spectra. They assumed that  $\nu_1$  is the lowest mass, stable or nearly stable neutrino state and  $\nu_2$  decays through nonradiative Majoron emission process,  $\nu_2 \rightarrow \bar{\nu}_1 + J$ , or through nonradiative process with all the final state particles being sterile. The best fit is obtained in the region of the LMA solution.

<sup>79</sup> DERBIN 02B (also BACK 03B) obtained this bound for the radiative decay from the results of background measurements with Counting Test Facility (the prototype of the Borexino detector). The laboratory gamma spectrum is given as  $dN_\gamma/d\cos\theta = (1/2)(1 + \alpha\cos\theta)$  with  $\alpha=0$  for a Majorana neutrino, and  $\alpha$  varying to  $-1$  to  $1$  for a Dirac neutrino. The listed bound is for the case of  $\alpha=0$ . The most conservative bound  $1.5 \times 10^3 \text{ s eV}^{-1}$  is obtained for the case of  $\alpha=-1$ .

<sup>80</sup> The ratio of the lifetime over the mass derived by JOSHIPURA 02B is for  $\nu_2$ . They obtained this result from the total rates measured in all solar neutrino experiments.

They assumed that  $\nu_1$  is the lowest mass, stable or nearly stable neutrino state and  $\nu_2$  decays through nonradiative process like Majoron emission decay,  $\nu_2 \rightarrow \nu_1' + J$  where  $\nu_1'$  state is sterile. The exact limit depends on the specific solution of the solar neutrino problem. The quoted limit is for the LMA solution.

- 81 DOLGOV 99 places limits in the (Majorana)  $\tau$ -associated  $\nu$  mass-lifetime plane based on nucleosynthesis. Results would be considerably modified if neutrino oscillations exist.
- 82 BILLER 98 use the observed TeV  $\gamma$ -ray spectra to set limits on the mean life of any radiatively decaying neutrino between 0.05 and 1 eV. Curve shows  $\tau_\nu/B_\gamma > 0.15 \times 10^{21}$  s at 0.05 eV,  $> 1.2 \times 10^{21}$  s at 0.17 eV,  $> 3 \times 10^{21}$  s at 1 eV, where  $B_\gamma$  is the branching ratio to photons.
- 83 BLUDMAN 92 sets additional limits by this method for higher mass ranges. Cosmological limits are also obtained.
- 84 Limit on the radiative decay based on nonobservation of  $\gamma$ 's in coincidence with  $\nu$ 's from SN 1987A.
- 85 DODELSON 92 range is for wrong-helicity keV mass Dirac  $\nu$ 's from the core of neutron star in SN 1987A decaying to  $\nu$ 's that would have interacted in KAM2 or IMB detectors.
- 86 GRANEK 91 considers heavy neutrino decays to  $\gamma\nu_L$  and  $3\nu_L$ , where  $m_{\nu_L} < 100$  keV. Lifetime is calculated as a function of heavy neutrino mass, branching ratio into  $\gamma\nu_L$ , and  $m_{\nu_L}$ .
- 87 KRAKAUER 91 quotes the limit for  $\nu_e$ ,  $\tau/m_\nu > (0.3a^2 + 9.8a + 15.9)$  s/eV, where  $a$  is a parameter describing the asymmetry in the radiative neutrino decay defined as  $dN_\gamma/d\cos\theta = (1/2)(1 + a\cos\theta)$   $a=0$  for a Majorana neutrino, but can vary from  $-1$  to  $1$  for a Dirac neutrino. The bound given by the authors is the most conservative (which applies for  $a = -1$ ).
- 88 WALKER 90 uses SN 1987A  $\gamma$  flux limits after 289 days.
- 89 CHUPP 89 should be multiplied by a branching ratio (about 1) and a detection efficiency (about 1/4), and pertains to radiative decay of any neutrino to a lighter or sterile neutrino.
- 90 RAFFELT 89 uses KYULDJIEV 84 to obtain  $\tau m^3 > 3 \times 10^{18}$  s eV<sup>3</sup> (based on  $\bar{\nu}_e e^-$  cross sections). The bound for the radiative decay is not valid if electric and magnetic transition moments are equal for Dirac neutrinos.
- 91 RAFFELT 89B analyze stellar evolution and exclude the region  $3 \times 10^{12} < \tau m^3 < 3 \times 10^{21}$  s eV<sup>3</sup>.
- 92 Model-dependent theoretical analysis of SN1987A neutrinos. Quoted limit is for  $[\sum_j |U_{\ell j}|^2 \Gamma_j m_j]^{-1}$ , where  $\ell = \mu, \tau$ . Limit is  $3.3 \times 10^{14}$  s/eV for  $\ell = e$ .
- 93 OBERAUER 87 looks for photons and  $e^+ e^-$  pairs from radiative decays of reactor neutrinos.
- 94 BINETRUY 84 finds  $\tau < 10^8$  s for neutrinos in a radiation-dominated universe.
- 95 These experiments look for  $\nu_k \rightarrow \nu_j \gamma$  or  $\bar{\nu}_k \rightarrow \bar{\nu}_j \gamma$ .
- 96 STECKER 80 limit based on UV background; result given is  $\tau > 4 \times 10^{22}$  s at  $m_\nu = 20$  eV.
- 97 FALK 78 finds lifetime constraints based on supernova energetics.
- 98 COWSIK 77 considers variety of scenarios. For neutrinos produced in the big bang, present limits on optical photon flux require  $\tau > 10^{23}$  s for  $m_\nu \sim 1$  eV. See also COWSIK 79 and GOLDMAN 79.

## $\nu$ MAGNETIC MOMENT

The coupling of neutrinos to an electromagnetic field is characterized by a  $3 \times 3$  matrix  $\lambda$  of the magnetic ( $\mu$ ) and electric ( $d$ ) dipole moments ( $\lambda = \mu - id$ ). For Majorana neutrinos the matrix  $\lambda$  is antisymmetric and only transition moments are allowed, while for Dirac neutrinos  $\lambda$  is a general  $3 \times 3$  matrix. In the standard electroweak theory extended to include neutrino masses (see Fujikawa 80)  $\mu_\nu = 3eG_F m_\nu / (8\pi^2 \sqrt{2}) = 3.2 \times 10^{-19} (m_\nu / \text{eV}) \mu_B$ , i.e. it is unobservably small given the known small neutrino masses. In more general models there is no longer a proportionality between neutrino mass and its magnetic moment, even though only massive neutrinos have nonvanishing magnetic moments without fine tuning.

Laboratory bounds on  $\lambda$  are obtained via elastic  $\nu - e$  scattering, where the scattered neutrino is not observed. The combinations of matrix elements of  $\lambda$  that are constrained by various experiments depend on the initial neutrino flavor and on its propagation between source and detector (e.g., solar  $\nu_e$  and reactor  $\bar{\nu}_e$  do not constrain the same combinations). The listings below therefore identify the initial neutrino flavor.

Other limits, e.g. from various stellar cooling processes, apply to all neutrino flavors. Analogous flavor independent, but weaker, limits are obtained from the analysis of  $e^+ e^- \rightarrow \nu \bar{\nu} \gamma$  collider experiments.

VALUE ( $10^{-10} \mu_B$ )	CL%	DOCUMENT ID	TECN	COMMENT
<b>&lt; 0.9</b>		<b>(CL = 90%) OUR LIMIT</b>		
< 0.9	90	<sup>99</sup> DARAKTCH... 05		Reactor $\bar{\nu}_e$
< 6.8	90	<sup>100</sup> AUERBACH 01	LSND	$\nu_{ee}, \nu_{\mu e}$ scattering
< 3900	90	<sup>101</sup> SCHWIENHO...01	DONU	$\nu_\tau e^- \rightarrow \nu_\tau e^-$
• • • We do not use the following data for averages, fits, limits, etc. • • •				
< 37	95	<sup>102</sup> GRIFOLS 04	FIT	Solar $^8\text{B}$ $\nu$ (SNO NC)
< 3.6	90	<sup>103</sup> LIU 04	SKAM	Solar $\nu$ spectrum shape
< 1.1	90	<sup>104</sup> LIU 04	SKAM	Solar $\nu$ spectrum shape (LMA region)
< 5.5	90	<sup>105</sup> BACK 03B	CNTR	Solar $pp$ and Be $\nu$
< 1.0	90	<sup>106</sup> DARAKTCH... 03		Reactor $\bar{\nu}_e$
< 1.3	90	<sup>107</sup> LI 03B	CNTR	Reactor $\bar{\nu}_e$
< 2	90	<sup>108</sup> GRIMUS 02	FIT	solar + reactor (Majorana $\nu$ )
< 80000	90	<sup>109</sup> TANIMOTO 00	RVUE	$e^+ e^- \rightarrow \nu \bar{\nu} \gamma$
< 0.01–0.04		<sup>110</sup> AYALA 99	ASTR	$\nu_L \rightarrow \nu_R$ in SN 1987A
< 1.5	90	<sup>111</sup> BEACOM 99	SKAM	$\nu$ spectrum shape
< 0.03		<sup>112</sup> RAFFELT 99	ASTR	Red giant luminosity
< 4		<sup>113</sup> RAFFELT 99	ASTR	Solar cooling
< 44000	90	ABREU 97J	DLPH	$e^+ e^- \rightarrow \nu \bar{\nu} \gamma$ at LEP
< 33000	90	<sup>114</sup> ACCIARRI 97Q	L3	$e^+ e^- \rightarrow \nu \bar{\nu} \gamma$ at LEP
< 0.62		<sup>115</sup> ELMFORS 97	COSM	Depolarization in early universe plasma
< 27000	95	<sup>116</sup> ESCRIBANO 97	RVUE	$\Gamma(Z \rightarrow \nu \nu)$ at LEP



< 30	90	VILAIN	95B CHM2	$\nu_\mu e \rightarrow \nu_\mu e$
<55000	90	GOULD	94 RVUE	$e^+ e^- \rightarrow \nu \bar{\nu} \gamma$ at LEP
< 1.9	95	117 DERBIN	93 CNTR	Reactor $\bar{\nu} e \rightarrow \bar{\nu} e$
< 5400	90	118 COOPER-...	92 BEBC	$\nu_\tau e^- \rightarrow \nu_\tau e^-$
< 2.4	90	119 VIDYAKIN	92 CNTR	Reactor $\bar{\nu} e \rightarrow \bar{\nu} e$
<56000	90	DESHPANDE	91 RVUE	$e^+ e^- \rightarrow \nu \bar{\nu} \gamma$
< 100	95	120 DORENBOS...	91 CHRM	$\nu_\mu e \rightarrow \nu_\mu e$
< 8.5	90	AHRENS	90 CNTR	$\nu_\mu e \rightarrow \nu_\mu e$
< 10.8	90	121 KRAKAUER	90 CNTR	LAMPF $\nu e \rightarrow \nu e$
< 7.4	90	121 KRAKAUER	90 CNTR	LAMPF $(\nu_\mu, \bar{\nu}_\mu) e$ elast.
< 0.02		122 RAFFELT	90 ASTR	Red giant luminosity
< 0.1		123 RAFFELT	89B ASTR	Cooling helium stars
		124 FUKUGITA	88 COSM	Primordial magn. fields
<40000	90	125 GROTCHE	88 RVUE	$e^+ e^- \rightarrow \nu \bar{\nu} \gamma$
$\leq$ .3		123 RAFFELT	88B ASTR	He burning stars
< 0.11		123 FUKUGITA	87 ASTR	Cooling helium stars
< 0.0006		126 NUSSINOV	87 ASTR	Cosmic EM backgrounds
< 0.1–0.2		MORGAN	81 COSM	$^4\text{He}$ abundance
< 0.85		BEG	78 ASTR	Stellar plasmons
< 0.6		127 SUTHERLAND	76 ASTR	Red giants + degenerate dwarfs
< 81		128 KIM	74 RVUE	$\bar{\nu}_\mu e \rightarrow \bar{\nu}_\mu e$
< 1		BERNSTEIN	63 ASTR	Solar cooling
< 14		COWAN	57 CNTR	Reactor $\bar{\nu}$

- <sup>99</sup> DARAKTCHIEVA 05 present the final analysis of the search for non-standard  $\bar{\nu}_e - e$  scattering component at Bugey nuclear reactor. Full kinematical event reconstruction of both the kinetic energy above 700 keV and scattering angle of the recoil electron, by use of TPC. Most stringent laboratory limit on magnetic moment. Supersedes DARAKTCHIEVA 03.
- <sup>100</sup> AUERBACH 01 limit is based on the LSND  $\nu_e$  and  $\nu_\mu$  electron scattering measurements. The limit is slightly more stringent than KRAKAUER 90.
- <sup>101</sup> SCHWIENHORST 01 quote an experimental sensitivity of  $4.9 \times 10^{-7}$ .
- <sup>102</sup> GRIFOLS 04 obtained this bound using the SNO data of the solar  $^8\text{B}$  neutrino flux measured with deuteron breakup. This bound applies to  $\mu_{\text{eff}} = (\mu_{21}^2 + \mu_{22}^2 + \mu_{23}^2)^{1/2}$ .
- <sup>103</sup> LIU 04 obtained this limit using the shape of the recoil electron energy spectrum from the Super-Kamiokande-I 1496 days of solar neutrino data. Neutrinos are assumed to have only diagonal magnetic moments,  $\mu_{\nu 1} = \mu_{\nu 2}$ . This limit corresponds to the oscillation parameters in the vacuum oscillation region.
- <sup>104</sup> LIU 04 obtained this limit using the shape of the recoil electron energy spectrum from the Super-Kamiokande-I 1496 live-day solar neutrino data, by limiting the oscillation parameter region in the LMA region allowed by solar neutrino experiments plus KamLAND.  $\mu_{\nu 1} = \mu_{\nu 2}$  is assumed. In the LMA region, the same limit would be obtained even if neutrinos have off-diagonal magnetic moments.
- <sup>105</sup> BACK 03B obtained this bound from the results of background measurements with Counting Test Facility (the prototype of the Borexino detector). Standard Solar Model flux was assumed. This  $\mu_\nu$  can be different from the reactor  $\mu_\nu$  in certain oscillation scenarios (see BEACOM 99).
- <sup>106</sup> DARAKTCHIEVA 03 searched for non-standard  $\bar{\nu}_e - e$  scattering component at Bugey nuclear reactor. Full kinematical event reconstruction by use of TPC. Superseded by DARAKTCHIEVA 05.

- 107 LI 03B used Ge detector in active shield near nuclear reactor to test for nonstandard  $\bar{\nu}_e$ -e scattering.
- 108 GRIMUS 02 obtain stringent bounds on all Majorana neutrino transition moments from a simultaneous fit of LMA-MSW oscillation parameters and transition moments to global solar neutrino data + reactor data. Using only solar neutrino data, a 90% CL bound of  $6.3 \times 10^{-10} \mu_B$  is obtained.
- 109 TANIMOTO 00 combined  $e^+ e^- \rightarrow \nu \bar{\nu} \gamma$  data from VENUS, TOPAZ, and AMY.
- 110 AYALA 99 improves the limit of BARBIERI 88.
- 111 BEACOM 99 obtain the limit using the shape, but not the absolute magnitude which is affected by oscillations, of the solar neutrino spectrum obtained by Superkamiokande (825 days). This  $\mu_\nu$  can be different from the reactor  $\mu_\nu$  in certain oscillation scenarios.
- 112 RAFFELT 99 is an update of RAFFELT 90. This limit applies to all neutrino flavors which are light enough ( $< 5$  keV) to be emitted from globular-cluster red giants. This limit pertains equally to electric dipole moments and magnetic transition moments, and it applies to both Dirac and Majorana neutrinos.
- 113 RAFFELT 99 is essentially an update of BERNSTEIN 63, but is derived from the helioseismological limit on a new energy-loss channel of the Sun. This limit applies to all neutrino flavors which are light enough ( $< 1$  keV) to be emitted from the Sun. This limit pertains equally to electric dipole and magnetic transition moments, and it applies to both Dirac and Majorana neutrinos.
- 114 ACCIARRI 97Q result applies to both direct and transition magnetic moments and for  $q^2=0$ .
- 115 ELMFORS 97 calculate the rate of depolarization in a plasma for neutrinos with a magnetic moment and use the constraints from a big-bang nucleosynthesis on additional degrees of freedom.
- 116 Applies to absolute value of magnetic moment.
- 117 DERBIN 93 determine the cross section for 0.6–2.0 MeV electron energy as  $(1.28 \pm 0.63) \times \sigma_{\text{weak}}$ . However, the (reactor on – reactor off)/(reactor off) is only  $\sim 1/100$ .
- 118 COOPER-SARKAR 92 assume  $f_{D_s}/f_\pi = 2$  and  $D_s, \bar{D}_s$  production cross section =  $2.6 \mu\text{b}$  to calculate  $\nu$  flux.
- 119 VIDYAKIN 92 limit is from a  $e\bar{\nu}_e$  elastic scattering experiment. No experimental details are given except for the cross section from which this limit is derived. Signal/noise was 1/10. The limit uses  $\sin^2\theta_W = 0.23$  as input.
- 120 DORENBOSCH 91 corrects an incorrect statement in DORENBOSCH 89 that the  $\nu$  magnetic moment is  $< 1 \times 10^{-9}$  at the 95%CL. DORENBOSCH 89 measures both  $\nu_\mu e$  and  $\bar{\nu} e$  elastic scattering and assume  $\mu(\nu) = \mu(\bar{\nu})$ .
- 121 KRAKAUER 90 experiment fully reported in ALLEN 93.
- 122 RAFFELT 90 limit applies for a diagonal magnetic moment of a Dirac neutrino, or for a transition magnetic moment of a Majorana neutrino. In the latter case, the same analysis gives  $< 1.4 \times 10^{-12}$ . Limit at 95%CL obtained from  $\delta M_c$ .
- 123 Significant dependence on details of stellar models.
- 124 FUKUGITA 88 find magnetic dipole moments of any two neutrino species are bounded by  $\mu < 10^{-16} [10^{-9} G/B_0]$  where  $B_0$  is the present-day intergalactic field strength.
- 125 GROTCHE 88 combined data from MAC, ASP, CELLO, and Mark J.
- 126 For  $m_\nu = 8\text{--}200$  eV. NUSSINOV 87 examines transition magnetic moments for  $\nu_\mu \rightarrow \nu_e$  and obtain  $< 3 \times 10^{-15}$  for  $m_\nu > 16$  eV and  $< 6 \times 10^{-14}$  for  $m_\nu > 4$  eV.

127 We obtain above limit from SUTHERLAND 76 using their limit  $f < 1/3$ .

128 KIM 74 is a theoretical analysis of  $\bar{\nu}_\mu$  reaction data.

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## NEUTRINO CHARGE RADIUS SQUARED

We report limits on the so-called neutrino charge radius squared. While the straight-forward definition of a neutrino charge radius has been proven to be gauge-dependent and, hence, unphysical (LEE 77C), there have been recent attempts to define a physically observable neutrino charge radius (BERNABEU 00, BERNABEU 02). The issue is still controversial (FUJIKAWA 03, BERNABEU 03). A more general interpretation of the experimental results is that they are limits on certain nonstandard contributions to neutrino scattering.

<u>VALUE (<math>10^{-32}</math> cm<sup>2</sup>)</u>	<u>CL%</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
-2.97 to 4.14	90	129 AUERBACH	01 LSND	$\nu_e e \rightarrow \nu_e e$
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●				
<0.68, > -0.53	90	130 HIRSCH	03	$\nu_\mu e$ scat.
<9.9 and > -8.2	90	131 HIRSCH	03	anomalous $e^+ e^- \rightarrow \nu \bar{\nu} \gamma$
<  0.6	90	VILAIN	95B CHM2	$\nu_\mu e$ elastic scat.
0.9 ± 2.7		ALLEN	93 CNTR	LAMPF $\nu e \rightarrow \nu e$
< 2.3	95	MOURAO	92 ASTR	HOME/KAM2 $\nu$ rates
< 7.3	90	132 VIDYAKIN	92 CNTR	Reactor $\bar{\nu} e \rightarrow \bar{\nu} e$
1.1 ± 2.3		ALLEN	91 CNTR	Repl. by ALLEN 93
-1.1 ± 1.0		133 AHRENS	90 CNTR	$\nu_\mu e$ elastic scat.
-0.3 ± 1.5		133 DORENBOS...	89 CHRM	$\nu_\mu e$ elastic scat.
		134 GRIFOLS	89B ASTR	SN 1987A

129 AUERBACH 01 measure  $\nu_e e$  elastic scattering with LSND detector. The cross section agrees with the Standard Model expectation, including the charge and neutral current interference. The 90% CL applies to the range shown.

130 Based on analysis of CCFR 98 results. Limit is on  $\langle r_V^2 \rangle + \langle r_A^2 \rangle$ . The CHARM II and E734 at BNL results are reanalyzed, and weaker bounds on the charge radius squared than previously published are obtained. The NuTeV result is discussed; when tentatively interpreted as  $\nu_\mu$  charge radius it implies  $\langle r_V^2 \rangle + \langle r_A^2 \rangle = (4.20 \pm 1.64) \times 10^{-33}$  cm<sup>2</sup>.

131 Results of LEP-2 are interpreted as limits on the axial-vector charge radius squared of a Majorana  $\nu_\tau$ . Slightly weaker limits for both vector and axial-vector charge radius squared are obtained for the Dirac case, and somewhat weaker limits are obtained from the analysis of lower energy data (LEP-1.5 and TRISTAN).

132 VIDYAKIN 92 limit is from a  $e\bar{\nu}$  elastic scattering experiment. No experimental details are given except for the cross section from which this limit is derived. Signal/noise was 1/10. The limit uses  $\sin^2\theta_W = 0.23$  as input.

133 Result is obtained from reanalysis given in ALLEN 91, followed by our reduction to obtain 1  $\sigma$  errors.

134 GRIFOLS 89B sets a limit of  $\langle r^2 \rangle < 0.2 \times 10^{-32}$  cm<sup>2</sup> for right-handed neutrinos.

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FALK	78	PL 79B 511	S.W. Falk, D.N. Schramm	(CHIC)
BARNES	77	PRL 38 1049	V.E. Barnes <i>et al.</i>	(PURD, ANL)
COWSIK	77	PRL 39 784	R. Cowsik	(MPIM, TATA)
LEE	77C	PR D16 1444	B.W. Lee, R.E. Shrock	(STON)
VYSOTSKY	77	JETPL 26 188	M.I. Vysotsky, A.D. Dolgov, Y.B. Zeldovich	(ITEP)
		Translated from ZETFP 26 200.		
BELLOTTI	76	LNC 17 553	E. Bellotti <i>et al.</i>	(MILA)
SUTHERLAND	76	PR D13 2700	P. Sutherland <i>et al.</i>	(PENN, COLU, NYU)
SZALAY	76	AA 49 437	A.S. Szalay, G. Marx	(EOTV)
CLARK	74	PR D9 533	A.R. Clark <i>et al.</i>	(LBL)
KIM	74	PR D9 3050	J.E. Kim, V.S. Mathur, S. Okubo	(ROCH)
REINES	74	PRL 32 180	F. Reines, H.W. Sobel, H.S. Gurr	(UCI)
SZALAY	74	APAH 35 8	A.S. Szalay, G. Marx	(EOTV)
COWSIK	72	PRL 29 669	R. Cowsik, J. McClelland	(UCB)
MARX	72	Nu Conf. Budapest	G. Marx, A.S. Szalay	(EOTV)
GERSHTEIN	66	JETPL 4 120	S.S. Gershtein, Y.B. Zeldovich	(KIAM)
		Translated from ZETFP 4 189.		
BERNSTEIN	63	PR 132 1227	J. Bernstein, M. Ruderman, G. Feinberg	(NYU+)
COWAN	57	PR 107 528	C.L. Cowan, F. Reines	(LANL)