51. Neutrino Cross Section Measurements

Revised August 2017 by G.P. Zeller (Fermilab)

Neutrino cross sections are an essential ingredient in all neutrino experiments. Interest in neutrino scattering has recently increased due to the need for such information in the interpretation of neutrino oscillation data [1]. Historically, neutrino scattering results on both charged current (CC) and neutral current (NC) channels have been collected over many decades using a variety of targets, analysis techniques, and detector technologies. With the advent of intense neutrino sources constructed for neutrino oscillation investigations, experiments are now remeasuring these cross sections with a renewed appreciation for nuclear effects† and the importance of improved neutrino flux estimations. This work summarizes accelerator-based neutrino cross section measurements performed in the ~ 0.1 – 300 GeV range with an emphasis on inclusive, quasi-elastic, and pion production processes, areas where we have the most experimental input at present (Table 51.1). For a more comprehensive discussion of neutrino cross sections, including neutrino-electron elastic scattering and lower energy neutrino measurements, the reader is directed to a review of this subject [2]. Here, we survey existing experimental data on neutrino interactions and do not attempt to provide a census of the associated theoretical calculations [3], which are both important and plentiful.

<table>
<thead>
<tr>
<th>Experiment</th>
<th>beam</th>
<th>$\langle E_\nu \rangle$, $\langle E_\bar{\nu} \rangle$ GeV</th>
<th>neutrino target(s)</th>
<th>run period</th>
</tr>
</thead>
<tbody>
<tr>
<td>ArgoNeuT</td>
<td>$\nu, \bar{\nu}$</td>
<td>4.3, 3.6</td>
<td>Ar</td>
<td>2009 – 2010</td>
</tr>
<tr>
<td>ICARUS (at CNGS)</td>
<td>$\nu$</td>
<td>20.0</td>
<td>Ar</td>
<td>2010 – 2012</td>
</tr>
<tr>
<td>K2K</td>
<td>$\nu$</td>
<td>1.3</td>
<td>CH, H$_2$O</td>
<td>2003 – 2004</td>
</tr>
<tr>
<td>MicroBooNE</td>
<td>$\nu$</td>
<td>0.8</td>
<td>Ar</td>
<td>2015 –</td>
</tr>
<tr>
<td>MINERvA</td>
<td>$\nu, \bar{\nu}$</td>
<td>3.5 (LE), 5.5 (ME)</td>
<td>He, C, CH, H$_2$O, Fe, Pb</td>
<td>2009 –</td>
</tr>
<tr>
<td>MiniBooNE</td>
<td>$\nu, \bar{\nu}$</td>
<td>0.8, 0.7</td>
<td>CH$_2$</td>
<td>2002 – 2012</td>
</tr>
<tr>
<td>MINOS</td>
<td>$\nu, \bar{\nu}$</td>
<td>3.5, 6.1</td>
<td>Fe</td>
<td>2004 – 2016</td>
</tr>
<tr>
<td>NOMAD</td>
<td>$\nu, \bar{\nu}$</td>
<td>23.4, 19.7</td>
<td>C–based</td>
<td>1995 – 1998</td>
</tr>
<tr>
<td>NOvA</td>
<td>$\nu, \bar{\nu}$</td>
<td>2.0, 2.0</td>
<td>CH$_2$</td>
<td>2010 –</td>
</tr>
<tr>
<td>SciBooNE</td>
<td>$\nu, \bar{\nu}$</td>
<td>0.8, 0.7</td>
<td>CH</td>
<td>2007 – 2008</td>
</tr>
<tr>
<td>T2K</td>
<td>$\nu, \bar{\nu}$</td>
<td>0.6, 0.6</td>
<td>CH, H$_2$O, Fe</td>
<td>2010 –</td>
</tr>
</tbody>
</table>

† Nuclear effects refer to kinematic and final state effects which impact neutrino scattering off nuclei. Such effects can be significant and are particularly relevant given that modern neutrino experiments make use of nuclear targets to increase their event yields.

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51.1. Inclusive Scattering

Over the years, many experiments have measured the total inclusive charged current cross section for neutrino \((\nu_\mu N \rightarrow \mu^- X)\) and antineutrino \((\bar{\nu_\mu} N \rightarrow \mu^+ X)\) scattering off nucleons covering a broad range of neutrino energies. As can be seen in Fig. 51.1, the inclusive cross section approaches a linear dependence on neutrino energy. Such behavior is expected for point-like scattering of neutrinos from quarks, an assumption which breaks down at lower energies. Modern measurements of inclusive scattering cross sections and their target nuclei are summarized in Table 51.2.

Table 51.2: Summary of published measurements of neutrino CC inclusive cross sections from modern accelerator-based neutrino experiments.

<table>
<thead>
<tr>
<th>experiment</th>
<th>measurement</th>
<th>target</th>
</tr>
</thead>
<tbody>
<tr>
<td>ArgoNeuT</td>
<td>(\nu_\mu) CC [4,5], (\bar{\nu}_\mu) CC [5]</td>
<td>Ar</td>
</tr>
<tr>
<td>MINERvA</td>
<td>(\nu_\mu) CC [6,7,8], (\bar{\nu}<em>\mu) CC [7], (\nu</em>\mu/\bar{\nu}_\mu) CC [9]</td>
<td>CH, C/CH, Fe/CH, Pb/CH</td>
</tr>
<tr>
<td>MINOS</td>
<td>(\nu_\mu) CC [10], (\bar{\nu}_\mu) CC [10]</td>
<td>Fe</td>
</tr>
<tr>
<td>NOMAD</td>
<td>(\nu_\mu) CC [11]</td>
<td>C</td>
</tr>
<tr>
<td>SciBooNE</td>
<td>(\nu_\mu) CC [12]</td>
<td>CH</td>
</tr>
<tr>
<td>T2K</td>
<td>(\nu_\mu) CC [13,14,15], (\nu_e) CC [16,17]</td>
<td>CH, H(_2)O, Fe</td>
</tr>
</tbody>
</table>

To provide a more complete picture, differential cross sections for such inclusive scattering processes have also been reported – these include measurements on iron from NuTeV [18] and, more recently, at lower neutrino energies on argon from ArgoNeuT [4,5] and carbon from T2K [13]. MINERvA has also provided double differential cross sections [8] as well as ratio measurements of muon neutrino inclusive and deep inelastic scattering (DIS) cross sections on a variety of nuclear targets such as lead, iron, and carbon [6,19]. At high energy, the inclusive cross section is dominated by deep inelastic scattering. Several high energy neutrino experiments have measured the DIS cross sections for specific final states, for example opposite-sign dimuon production. The most recent dimuon cross section measurements include those from CHORUS [20], NOMAD [21], and NuTeV [22]. At lower neutrino energies, the inclusive cross section is an additionally complex combination of quasi-elastic scattering and pion production processes, two areas we discuss next.

51.2. Quasi-elastic scattering

Quasi-elastic (QE) scattering is the dominant neutrino interaction for neutrino energies less than \(\sim 1\) GeV and represents a large fraction of the signal samples in many neutrino oscillation experiments, which is why this process is particularly important. Historically, neutrino (antineutrino) quasi-elastic scattering refers to the process, \(\nu_\mu n \rightarrow \mu^- p \quad (\bar{\nu}_\mu p \rightarrow \mu^+ n)\), where a charged lepton and single nucleon are ejected in the elastic interaction of a neutrino (or antineutrino) with a nucleon in the target material. This is
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Figure 51.1: Measurements of per nucleon $\nu_\mu$ and $\bar{\nu}_\mu$ CC inclusive scattering cross sections divided by neutrino energy as a function of neutrino energy. Note the transition between logarithmic and linear scales occurring at 100 GeV. Neutrino cross sections are typically twice as large as their corresponding antineutrino counterparts, although this difference can be larger at lower energies. NC cross sections (not shown) are generally smaller compared to the CC case.

the final state one would strictly observe, for example, in scattering off of a free nucleon target. There were many early measurements of neutrino QE scattering that span back to the 1970’s [2]. In many of these initial measurements of the neutrino QE cross section, bubble chamber experiments employed light targets ($H_2$ or $D_2$) and required both the detection of the final state muon and single nucleon‡; thus the final state was clear and elastic kinematic conditions could be verified. The situation is more complicated, of course, for the heavier nuclear targets used in modern neutrino experiments. In this case, nuclear effects can impact the size and shape of the cross section as well as the final state composition, kinematics, and topology. Due to intranuclear hadron rescattering and the possible effects of correlations between target nucleons, additional nucleons may be ejected in the final state; hence, a QE interaction on a nuclear target does not necessarily imply the ejection of a single nucleon. One therefore needs to take some care in defining what one means by neutrino QE scattering when scattering off targets heavier than $H_2$ or $D_2$. Modern experiments tend to instead report cross sections for processes involving nucleon-only final states (often referred to as “CC 0π” or “QE-like” reactions).

‡ In the case of $D_2$, many experiments additionally observed the spectator proton.
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Such measurements are summarized in Table 51.3. Many modern experiments have also recently opted to report nucleon-only cross sections as a function of final state particle kinematics [23,24,25,26]. Such distributions can be more difficult to directly compare between experiments but are much less model-dependent and provide more stringent tests of the theory than historical cross sections as a function of neutrino energy ($E_\nu$) or 4-momentum transfer ($Q^2$).

**Table 51.3:** Published measurements of CC and NC scattering cross sections with nucleon-only final states from modern neutrino experiments.

<table>
<thead>
<tr>
<th>experiment</th>
<th>measurement</th>
<th>target</th>
</tr>
</thead>
<tbody>
<tr>
<td>ArgoNeuT</td>
<td>$2p$ [27]</td>
<td>Ar</td>
</tr>
<tr>
<td>K2K</td>
<td>$M_A$ [28]</td>
<td>H$_2$O</td>
</tr>
<tr>
<td>MINERvA</td>
<td>$\frac{d\sigma}{dQ^2}$ [29,30,31], 1p [32], $\nu_e$ [33]</td>
<td>C, CH, Fe, Pb</td>
</tr>
<tr>
<td>MiniBooNE</td>
<td>$\frac{d^2\sigma}{dT_\mu d\theta_\mu}$ [23,24], $M_A$ [34], NC [35,36]</td>
<td>CH$_2$</td>
</tr>
<tr>
<td>MINOS</td>
<td>$M_A$ [37]</td>
<td>Fe</td>
</tr>
<tr>
<td>NOMAD</td>
<td>$M_A, \sigma(E_\nu)$ [38]</td>
<td>C</td>
</tr>
<tr>
<td>T2K</td>
<td>$\frac{d^2\sigma}{dT_\mu d\theta_\mu}$ [26], $\sigma(E_\nu)$ [39], $M_A$ [40], NC [41]</td>
<td>CH</td>
</tr>
</tbody>
</table>

Adding to this complexity, MiniBooNE measurements of the $\nu_\mu$ and $\tau_\mu$ QE scattering cross sections on carbon near 1 GeV revealed a significantly larger cross section than originally anticipated [23,24]. Such an enhancement was observed many years prior in electron-nucleus scattering [42] and is believed to be due to the presence of correlations between target nucleons in the nucleus. As a result, the impact of such nuclear effects on neutrino QE scattering has recently been the subject of intense experimental and theoretical scrutiny with potential implications on event rates, nucleon emission, neutrino energy reconstruction, and neutrino versus antineutrino cross sections. The reader is referred to recent reviews of the situation in [3,43,44]. Additional measurements are clearly needed before a complete understanding is achieved. To help drive further progress, nucleon-only cross sections have been reported for the first time in the form of double-differential distributions in muon kinematics, $d^2\sigma/dT_\mu d\cos\theta_\mu$, by both MiniBooNE [23,24] and T2K [26] thus reducing some of the model-dependence of the reported data and allowing a more rigorous two-dimensional test of the underlying nuclear theory. Such double-differential cross sections in terms of final state particle kinematics provide the most robust measurements available. In addition, experiments such as ArgoNeuT have begun to provide the first measurements of proton multiplicities in neutrino-argon scattering [25,27], a critical ingredient in understanding the hadronic side of these interactions and the impact of final state effects. MINOS, NOvA, and T2K have also started to study nucleon-only final states in their near detectors with sizable statistics [26,37,39,40,45]. Most recently, MINERvA has produced a large body of work exploring this reaction channel, having measured differential cross sections [8,29,30],
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With the MiniBooNE results having first revealed these additional complexities in neutrino-nucleus QE scattering, measurements from other neutrino experiments are crucial for getting a better handle on the underlying nuclear physics impacting neutrino-nucleus interactions. What we once thought was “simple” QE scattering is in fact not so simple.

In addition to such charged current investigations, measurements of the neutral current counterpart of this channel have also been performed. The most recent NC elastic scattering cross section measurements include those from BNL E734 [46], MiniBooNE [35,36], and T2K [41]. A number of measurements of the Cabibbo-suppressed antineutrino QE hyperon production cross section have additionally been reported [47,48], although not in recent years.

51.3. Pion Production

In addition to such elastic processes, neutrinos can also inelastically scatter producing a nucleon excited state ($\Delta, N^*$). Such baryonic resonances quickly decay, most often to a nucleon and single-pion final state. Historically, experiments have measured various exclusive final states associated with these reactions, the majority of which have been on hydrogen and deuterium targets [2]. There have been several recent re-analyses of this data to better understand the consistency between data sets [49], nucleon form factors [50], and non-resonant contributions [51]. Also, modern measurements of neutrino-induced pion production have since been performed on a variety of nuclear targets (Table 51.4).

<table>
<thead>
<tr>
<th>experiment</th>
<th>$\pi^\pm$ measurement</th>
<th>$\pi^0$ measurement</th>
<th>target</th>
</tr>
</thead>
<tbody>
<tr>
<td>ArgoNeuT</td>
<td>CC [52]</td>
<td>NC [53]</td>
<td>Ar</td>
</tr>
<tr>
<td>K2K</td>
<td>CC[54,55]</td>
<td></td>
<td></td>
</tr>
<tr>
<td>MINER\nuA</td>
<td>CC [58,59,60]</td>
<td>CC [59,61,62], NC [63]</td>
<td>CH, H$_2$O</td>
</tr>
<tr>
<td>MiniBooNE</td>
<td>CC [64,65]</td>
<td>NC [66], NC [67,68]</td>
<td>CH$_2$</td>
</tr>
<tr>
<td>MINOS</td>
<td>–</td>
<td>NC [69]</td>
<td>Fe</td>
</tr>
<tr>
<td>NOMAD</td>
<td>–</td>
<td>NC [70]</td>
<td>C</td>
</tr>
<tr>
<td>SciBooNE</td>
<td>CC [71]</td>
<td>NC [72,73]</td>
<td>CH</td>
</tr>
<tr>
<td>T2K</td>
<td>CC [74,75]</td>
<td>–</td>
<td>CH, H$_2$O</td>
</tr>
</tbody>
</table>

In addition to resonance production processes, neutrinos can also coherently scatter off of the entire nucleus and produce a distinctly forward-scattered single pion final state. Both CC ($\nu_\mu A \rightarrow \mu^- A \pi^+, \bar{\nu}_\mu A \rightarrow \mu^+ A \pi^-$) and NC ($\nu_\mu A \rightarrow \nu_\mu A \pi^0, \bar{\nu}_\mu A \rightarrow \bar{\nu}_\mu A \pi^0$) processes are possible in this case. Even though the level of coherent pion production is small compared to resonant processes, observations exist across a broad energy range and on multiple nuclear targets [76]. More recently, several modern neutrino...
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Experiments have measured or set limits on coherent pion production cross sections including ArgoNeuT [52], K2K [55], MINERvA [60], MiniBooNE [68], MINOS [69], NOMAD [70], SciBooNE [71,73], and T2K [75].

As with QE scattering, a new appreciation for the significance of nuclear effects has surfaced in pion production channels, again due to the use of heavy nuclear targets in modern neutrino experiments. Many experiments have been careful to report cross sections for various detected final states, thereby not correcting for large and uncertain nuclear effects (e.g., pion rescattering, charge exchange, and absorption) which can introduce significant sources of uncertainty and model dependence. Providing the most comprehensive survey of neutrino single-pion production to date, MiniBooNE has published a total of 16 single- and double-differential cross sections for both the final state muon (in the case of CC scattering) and pions in these interactions; thus, providing the first measurements of these distributions (Fig. 51.2) [64–67]. MINERvA has recently produced similar kinematic measurements at higher neutrino energies [59,62] and T2K at lower energies [74]. Importantly, MINERvA has been working towards an improved nuclear model that can describe all of the pion reaction channels simultaneously, an issue that many experiments have struggled with up until now [59]. Regardless of the interaction channel, such differential cross section measurements in terms of observed final state particle kinematics are now preferred for their reduced model dependence and for the additional kinematic information they provide. Such a new direction has been the focus of modern measurements as opposed to the reporting of more model-dependent, historical cross sections as a function of $E_\nu$ or $Q^2$. Together with similar results for other interaction channels, a better understanding and modeling of nuclear effects will be possible moving forward.

![Figure 51.2](image_url)

**Figure 51.2:** Differential cross sections for CC and NC pion production from MiniBooNE at a mean neutrino energy of 0.8 GeV. Shown here are the measurements as a function of the momentum of the outgoing pion in the interaction, a kinematic that is particularly sensitive to final state interactions. Other distributions are also available in the publications listed in the legend.
Figure 51.3: Differential cross sections for neutrino (W < 1.4 GeV) and antineutrino (W < 1.8 GeV) CC $\pi^0$ production from MINERvA at a mean neutrino energy of 3.3 GeV. Shown here are the measurements as a function of the momentum of the outgoing pion in the interaction, a kinematic that is particularly sensitive to final state interactions. Other distributions are available in the publications listed in the legend as well as for charged pion production [59].

It should be noted that baryonic resonances can also decay to multi-pion, other mesonic ($K, \eta, \rho$, etc.), and even photon final states. Experimental results for these channels are typically sparse or non-existent [2]; however, photon production processes can be an important background for $\nu_\mu \rightarrow \nu_e$ appearance searches and thus have become the focus of some recent experimental investigations; for example, in NOMAD [77]. There have also been several recent measurements of kaon final states produced in neutrino NC and CC scattering in MINERvA [78,79,80].

51.4. Outlook

Currently operating experiments will continue to produce additional neutrino cross section measurements as they accumulate additional statistics, while a few new experiments will soon be coming online. In the coming years, analysis of a broad energy range of data on a variety of targets in the MINERvA experiment will provide the most detailed analysis yet of nuclear effects in neutrino interactions. Data from ArgoNeuT, ICARUS, MicroBooNE, and SBND will probe deeper into complex neutrino final states using the superior capabilities of liquid argon time projection chambers, while the T2K and NOvA near detectors will collect high statistics samples in intense neutrino beams. Together, these investigations should significantly advance our understanding of neutrino-nucleus scattering in the next decade.
51.5. Acknowledgments

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References: