

## 65. Three-Neutrino Mixing Parameters

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### 65.1. Introduction and Notation

With the exception of possible short-baseline anomalies (such as LSND), current accelerator, reactor, solar and atmospheric neutrino data can be described within the framework of a  $3 \times 3$  mixing matrix between the flavor states  $\nu_e$ ,  $\nu_\mu$  and  $\nu_\tau$  and mass eigenstates  $\nu_1$ ,  $\nu_2$  and  $\nu_3$ . (See equation 14.34 of the review “Neutrino Mass, Mixing and Oscillations” by M.C. Gonzalez-Garcia and M. Yokoyama.) Whether or not this is the ultimately correct framework, it is currently widely used to parametrize neutrino mixing data and to plan new experiments.

The mass differences are called  $\Delta m_{21}^2 \equiv m_2^2 - m_1^2$  and  $\Delta m_{32}^2 \equiv m_3^2 - m_2^2$ . Until recently, we assumed

$$\Delta m_{32}^2 \sim \Delta m_{31}^2. \quad (65.1)$$

But the experimental error is comparable to the difference  $\Delta m_{31}^2 - \Delta m_{32}^2 = \Delta m_{21}^2$ , so we quote them separately when appropriate. The measurements made by  $\nu_\mu$  disappearance at accelerators and by  $\nu_e$  disappearance at reactors are slightly different mixtures of  $\Delta m_{32}^2$  and  $\Delta m_{31}^2$ . The angles are labeled  $\theta_{12}$ ,  $\theta_{23}$  and  $\theta_{13}$ . The CP violating phase is called  $\delta_{CP}$ .

The familiar two neutrino form for oscillations is

$$P(\nu_a \rightarrow \nu_b; a \neq b) = \sin^2(2\theta) \sin^2(\Delta m^2 L/4E). \quad (65.2)$$

Despite the fact that the mixing angles have been measured to be much larger than in the quark sector, the two neutrino form is often a very good approximation and is used in many situations.

The angles appear in the equations below in many forms. They often appear as  $\sin^2(2\theta)$ . The listings currently now use  $\sin^2(\theta)$  because this distinguishes the octant, i.e. whether  $\theta_{23}$  is larger or smaller than  $45^\circ$ .

### 65.2. Accelerator neutrino experiments

Ignoring  $\Delta m_{21}^2$ , CP violation, and matter effects, the equations for the probability of appearance in an accelerator oscillation experiment are:

$$P(\nu_\mu \rightarrow \nu_\tau) = \sin^2(2\theta_{23}) \cos^4(\theta_{13}) \sin^2(\Delta m_{32}^2 L/4E) \quad (65.3)$$

$$P(\nu_\mu \rightarrow \nu_e) = \sin^2(2\theta_{13}) \sin^2(\theta_{23}) \sin^2(\Delta m_{32}^2 L/4E) \quad (65.4)$$

$$P(\nu_e \rightarrow \nu_\mu) = \sin^2(2\theta_{13}) \sin^2(\theta_{23}) \sin^2(\Delta m_{32}^2 L/4E) \quad (65.5)$$

$$P(\nu_e \rightarrow \nu_\tau) = \sin^2(2\theta_{13}) \cos^2(\theta_{23}) \sin^2(\Delta m_{32}^2 L/4E). \quad (65.6)$$

Current and future long-baseline accelerator experiments are studying non-zero  $\theta_{13}$  through  $P(\nu_\mu \rightarrow \nu_e)$ . Including the CP terms and low mass scale, the equation for

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neutrino oscillation in vacuum is:

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) &= P1 + P2 + P3 + P4 \\
 P1 &= \sin^2(\theta_{23}) \sin^2(2\theta_{13}) \sin^2(\Delta m_{32}^2 L/4E) \\
 P2 &= \cos^2(\theta_{23}) \sin^2(2\theta_{13}) \sin^2(\Delta m_{21}^2 L/4E) \\
 P3 &= -/+ J \sin(\delta_{CP}) \sin(\Delta m_{32}^2 L/4E) \\
 P4 &= J \cos(\delta_{CP}) \cos(\Delta m_{32}^2 L/4E)
 \end{aligned} \tag{65.7}$$

where

$$\begin{aligned}
 J &= \cos(\theta_{13}) \sin(2\theta_{12}) \sin(2\theta_{13}) \sin(2\theta_{23}) \times \\
 &\quad \sin(\Delta m_{32}^2 L/4E) \sin(\Delta m_{21}^2 L/4E)
 \end{aligned} \tag{65.8}$$

and the sign in P3 is negative for neutrinos and positive for anti-neutrinos respectively. For most new long-baseline accelerator experiments, P2 can safely be neglected. Also, depending on the distance and the mass order, matter effects need to be included.

### 65.3. Reactor neutrino experiments

Nuclear reactors are prolific sources of  $\bar{\nu}_e$  with an energy near 4 MeV. The oscillation probability can be expressed

$$\begin{aligned}
 P(\bar{\nu}_e \rightarrow \bar{\nu}_e) &= 1 - \cos^4(\theta_{13}) \sin^2(2\theta_{12}) \sin^2(\Delta m_{21}^2 L/4E) \\
 &\quad - \cos^2(\theta_{12}) \sin^2(2\theta_{13}) \sin^2(\Delta m_{31}^2 L/4E) \\
 &\quad - \sin^2(\theta_{12}) \sin^2(2\theta_{13}) \sin^2(\Delta m_{32}^2 L/4E)
 \end{aligned} \tag{65.9}$$

not using the approximation in Eq. (65.1). For short distances ( $L < 5$  km) we can ignore the second term on the right and can reimpose approximation Eq. (65.1). This takes the familiar two neutrino form with  $\theta_{13}$  and  $\Delta m_{32}^2$ :

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) = 1 - \sin^2(2\theta_{13}) \sin^2(\Delta m_{32}^2 L/4E). \tag{65.10}$$

### 65.4. Solar and Atmospheric neutrino experiments

Solar neutrino experiments are sensitive to  $\nu_e$  disappearance and have allowed the measurement of  $\theta_{12}$  and  $\Delta m_{21}^2$ . They are also sensitive to  $\theta_{13}$ . We identify  $\Delta m_{\odot}^2 = \Delta m_{21}^2$  and  $\theta_{\odot} = \theta_{12}$ .

Atmospheric neutrino experiments are primarily sensitive to  $\nu_\mu$  disappearance through  $\nu_\mu \rightarrow \nu_\tau$  oscillations, and have allowed the measurement of  $\theta_{23}$  and  $\Delta m_{32}^2$ . We identify  $\Delta m_A^2 = \Delta m_{32}^2$  and  $\theta_A = \theta_{23}$ . Despite the large  $\nu_e$  component of the atmospheric neutrino flux, it is difficult to measure  $\Delta m_{21}^2$  effects. This is because of a cancellation between  $\nu_\mu \rightarrow \nu_e$  and  $\nu_e \rightarrow \nu_\mu$  together with the fact that the ratio of  $\nu_\mu$  and  $\nu_e$  atmospheric fluxes, which arise from sequential  $\pi$  and  $\mu$  decay, is near 2.

## 65.5. Oscillation Parameter Listings

In Section (B) we encode the three mixing angles  $\theta_{12}$ ,  $\theta_{23}$ ,  $\theta_{13}$ ,  $\delta_{CP}$ , and two mass squared differences  $\Delta m_{21}^2$  and  $\Delta m_{32}^2$ . Our knowledge of  $\theta_{12}$  and  $\Delta m_{21}^2$  comes from the KamLAND reactor neutrino experiment together with solar neutrino experiments. Our knowledge of  $\theta_{23}$  and  $\Delta m_{32}^2$  comes from atmospheric, reactor and long-baseline accelerator neutrino experiments. For the earlier experiments, we identified the large mass splitting as  $\Delta m_{32}^2$ . Now that  $\sigma(\Delta m_{32}^2) \approx \Delta m_{21}^2$ , some experiments report separate values for the two mass orders. Results on  $\theta_{13}$  come from reactor antineutrino disappearance experiments. There are also results from long-baseline accelerator experiments looking for  $\nu_e$  appearance. The interpretation of both kinds of results depends on  $\Delta m_{32}^2$ , and the accelerator results also depend on the mass order,  $\theta_{23}$  and the CP violating phase  $\delta_{CP}$ .

Accelerator and atmospheric experiments have some sensitivity to the CP violation phase  $\delta_{CP}$  through Eq. (65.7). Note that P3 depends on the sign of  $\Delta m_{32}^2$  so the sensitivity depends on the mass order. For non-maximal  $\theta_{23}$  mixing, it also depends on the octant of  $\theta_{23}$ , i.e. whether  $\theta_{23} > \pi/4$  or  $\theta_{23} < \pi/4$ .